# Low dislocation rate one year after total hip arthroplasty at a tertiary hospital in South Africa

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## Abstract

#### Background

Total hip arthroplasty (THA) is one of the most performed and most researched procedures worldwide, and there is an ever-growing demand for THA in an already resource-constrained system in South Africa. Early dislocation after THA remains a serious and costly problem; however, few THA outcome studies have been performed locally. This study therefore aimed to calculate the incidence of dislocation after THA and to identify risk factors for dislocation after THA in a South African academic hospital.

#### Methods

In this retrospective cohort review, files and radiographs of 543 patients were reviewed for dislocation during the first year after primary THA. The reason for the THA, the surgical data, the implant data, and whether and when dislocation occurred were recorded for each patient. Fisher's exact tests and independent t-tests were done to analyse the association between variables and a patient's odds of experiencing a dislocation after THA.

#### Results

Twenty (3.7%) out of 543 THAs dislocated during the first year, 17 of these within the first three months. The surgical approach used was not shown to be a significant risk factor (p = 0.650) for dislocation, although the Hardinge approach had been used for all 20 cases of dislocation. Similar dislocation rates (p = 0.967) were found for THAs done for displaced neck of femur (NOF) fractures (3.6%) and for elective THAs (3.7%). Trauma THAs made up more than half (55%) of our study population. Femoral head sizes  $\leq$  32 mm (p = 0.390 for neck of femur THA and p = 0.451 for elective THA) and a single mobility design (p = 0.494) both produced a higher dislocation rate, although this was not statistically significant. Surgeon experience did not prove to be significant for our study population (p = 0.570).

#### Conclusion

The dislocation rate after THA at our institution is lower than rates reported in the literature for NOF THA and similar to rates reported for elective THA. This was found despite the dislocation rate for the Hardinge approach being nearly eight times higher than expected. Minimal surgeon experience, implant coupling and smaller femoral head size did not prove to be significant risk factors for dislocation after THA.

Level of evidence: Level 4

Keywords: total hip replacement, dislocation, South Africa

# Introduction

Total hip arthroplasty (THA) is widely considered to be one of the most successful surgical procedures in orthopaedics and the most successful surgery of the 20th century. It is associated with high satisfaction rates in relieving pain and restoring function.<sup>1.4</sup> According to the Australian Arthroplasty Registry, there was an increase of 109% in the number of THAs performed between 2003 and 2016 and an increase of 170% internationally by 2030 is projected. Despite a registry-proven 93% 13-year survivorship rate for THA and more than 20-year survivorship of implants, complications can arise with THA. The second most common complication of THA is dislocation (with aseptic loosening being the most common). Dislocation rates vary from 1% to 5% for elective surgery to as high as 22% for neck of femur (NOF) fractures.<sup>4-6</sup> Most dislocations occur shortly after surgery: up to 60% of dislocations occur in the first five weeks and more than 75% in the first year after the index procedure.<sup>6-8</sup> A review of revision THA databases suggests that patient-, surgery- and implant-related factors can contribute to the dislocation rate after THA.

Important patient factors include neuromuscular disorders, alcohol abuse, advanced age, female sex and increased body mass index (BMI).<sup>2,9</sup> Analysis of indications for THA found a higher

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incidence of instability when THA was performed for avascular necrosis, rheumatoid and inflammatory arthritis, and trauma, compared with degenerative osteoarthritis.<sup>2,6,7</sup>

The surgical approach used has a definite influence on the dislocation rate. The most used approaches for THA include the posterior approach, direct lateral approach and direct anterior approach, with the posterior approach being the most used approach worldwide. The dislocation risk has been quoted as 3.23% for the posterior approach, 2.18% for the anterolateral approach, 1.27% for the transtrochanteric approach and 0.55% for the direct lateral (Hardinge) approach.<sup>10</sup> More recently, the anterior approach has become popular, achieving dislocation rates of 0.6% to 1.3%.<sup>6</sup>

Another important surgical factor is implant size. Larger femoral heads have been shown to improve stability by increasing jumping distance and range of motion until impingement.<sup>7,11-13</sup> This has led to the use of larger femoral heads internationally. Implant coupling

Table I: Domographic data and variables investigated for dislocation risk

design also needs consideration, as implants with dual mobility coupling significantly reduce dislocation risk compared with single mobility coupling even in high-risk patients.<sup>14</sup>

Surgeon experience is paramount to the success of THA. Surgeons who have performed fewer than 30 procedures have a markedly increased dislocation rate compared with more experienced and better-trained surgeons.<sup>2,6</sup> Dislocation is a dire complication after THA that leads to increased morbidity, mortality and cost of care. Limited data regarding THA in South Africa is available, as most research has been conducted in a developed world setting.<sup>15</sup> This study therefore aimed to calculate the incidence of dislocation after THA and to identify risk factors for dislocation after THA in a South African academic hospital.

## **Methods**

This was a retrospective cohort review of all patients who had undergone a primary THA at a single tertiary level hospital, Steve

Table I. Demographic data a		No dislocation (n)	Dislocated (n)	Dislocation rate	n-value
	5/3	523	20	Disiocation rate	p-value
	545	525	20		0.501
Age (years)	62 4 (12 6)	62.2 (12.6)	62.0 (11.6)		0.591
Banga	02.4 (12.0)	02.3 (12.0)	41.92		
Range	22-91	22–91	41-83		0.040
Sex	400 (05 40())	100 (05 00())	0 (00 00())		0.812
	192 (35.4%)	186 (35.6%)	6 (30.0%)		
Female	351 (64.6%)	337 (64.4%)	14 (70.0%)		
Side					0.824
Left	260 (47.9%)	251 (48.0%)	9 (45.0%)		
Right	283 (52.1%)	272 (52.0%)	11 (55.0%)		
Indication					0.967
Elective	241 (44.4%)	232 (44.4%)	9 (45.0%)	3.7%	
OAª	122 (22.5%)	117 (22.4%)	5 (25.0%)	4.1%	
AVN <sup>b</sup>	109 (20.1%)	105 (20.1%)	4 (20.0%)	3.7%	
Dysplasia	6 (1.1%)	6 (1.1%)	0 (0.0%)	0.0%	
Acetabular protrusion	4 (0.7%)	4 (0.8%)	0 (0.0%)	0.0%	
NOF fracture <sup>c</sup>	302 (55.6%)	291 (55.6%)	11 (55.0%)	3.6%	
Approach					0.650
Lateral (Hardinge)	509 (93.7%)	489 (93.5%)	20 (100.0%)	3.9%	
Anterior	32 (5.9%)	32 (6.1%)	0 (0.0%)	0.0%	
Posterior (Southern)	2 (0.4%)	2 (0.4%)	0 (0.0%)	0.0%	
Femoral head size (mm)					0.175
22	16 (2.9%)	16 (3.1%)	0 (0.0%)	0.0%	
28	97 (17.9%)	93 (17.8%)	4 (20.0%)	4.1%	
32	315 (58.0%)	303 (57.9%)	12 (60.0%)	3.8%	
36	113 (20.8%)	110 (21.0%)	3 (15.0%)	2.7%	
40	2 (0.4%)	1 (0.2%)	1 (5.0%)	50.0%	
Implant design					0.494
Single mobility cup	479 (88.2%)	460 (88.0%)	19 (95.0%)	4.0%	
Dual mobility cup	64 (11.8%)	63 (12.0%)	1 (5.0%)	1.6%	
Surgeon experience					0.570
Con <sup>d</sup> /Reg <sup>e</sup>	175 (32.2%)	168 (32.1%)	7 (35.0%)	4.0%	
Reg/Con	147 (27.1%)	140 (26.8%)	7 (35.0%)	4.8%	
Reg/Reg	221 (40.7%)	215 (41.1%)	6 (30.0%)	2.7%	

 $^{\rm a}$  osteoarthritis;  $^{\rm b}$  avascular necrosis;  $^{\circ}$  neck of femur;  $^{\rm d}$  consultant;  $^{\rm e}$  registrar

Biko Academic Hospital, from January 2009 to December 2019 and who had at least one year of follow-up. The endpoint of the review was hip dislocation after primary THA. THA patients were identified from the arthroplasty theatre register (name, hospital number, date of the procedure, size of implants). Preoperative radiographs were reviewed by the authors to exclude extracapsular fractures and patients who had undergone surgery prior to the THA. The patients' files were then retrieved from the records department and reviewed by the author and co-author. Patient files and radiographs on the PACS system were used to confirm non-dislocation after THA. Patients who had incomplete clinical follow-up at one year were contacted telephonically to confirm non-dislocation during the first year after THA. Exclusion criteria included extracapsular fracture and failed acetabular or proximal femur open reduction and internal fixation. Patients with less than one-year follow-up, missing files and incomplete follow-up who could not be contacted were also excluded from the study.

The indication for surgery, surgical approach, implant size, implant design (dual mobility coupling vs single mobility coupling), incidence of dislocation and number of days after THA that it occurred, surgical team's rank (consultant or registrar) and patient comorbidities were recorded. Data was captured directly into an electronic database using Microsoft Excel. The data analysis consisted of analytical statistical investigations which aimed to determine the dislocation rate after THA. These consisted of descriptive statistics such as mean (standard deviation) and the range for the quantitative variables and frequencies with percentages to describe the categorical results. Fisher's exact test and the independent t-test were used to evaluate the difference between outcomes. The dislocation rate was measured against the indication for THA, the surgical approach used and surgeon experience to identify risk factors for dislocation after THA.

## Results

A total of 624 patients were identified for review, and 543 patients with a complete one-year follow-up were included. Eighty-one

Table II: Dislocations after total hip arthroplasty for neck of femur fractures

patients were excluded: 59 patients had missing files and could not be contacted, and 22 patients had incomplete files or followup and could not be contacted telephonically. The mean age of the population was 62.4 years (range 22–91), and there were 351 female patients (64.6%) and 192 male patients (35.4%). There was an even distribution of left-sided (260; 47.9%) and right-sided replacements (283; 52.1%) (*Table I*). This study did not find age (p = 0.591), sex (p = 0.812) or side of THA (p = 0.824) to have any influence on dislocation after THA (*Table I*). More than half of the patients (302; 55.6%) presented with NOF fractures. Other indications were osteoarthritis (122; 22.5%), avascular necrosis (109; 20.1%), dysplasia (6; 1.1%) and acetabular protrusion (4; 0.7%) (*Table I*).

The vast majority of THAs (509; 93.7%) were done using the Hardinge (lateral) approach, as is dictated by the departmental protocol. The anterior approach was used in 32 (5.9%) cases: the anterior minimally invasive technique in 30 cases (5.5%) and the Smith-Petersen with conventional technique in two cases (0.4%). The Southern approach (posterior) was used twice (0.4%; *Table I*). The median femoral head size used was 32 mm (315 THAs; 64.6%), with sizes ranging from 22 mm to 40 mm. Four hundred and seventy-nine THAs (88.2%) were done using single mobility cups and 64 (11.8%) using dual mobility cups.

Surgeon experience was documented according to the highest qualification of the surgeon, as experience could not be controlled for – this was not a significant risk factor for dislocation.

Twenty (3.7%) of the patients had confirmed dislocations during the first year after surgery. Dislocation occurred after one of the 64 THAs performed with dual mobility cup implants (1.5%) and 19 of the 479 THAs performed with single mobility cup implants (3.9%) (*Tables II and III*).

We first compared the  $\leq 32$  mm group to the  $\geq 36$  mm group (*Table IV*), since there was no  $\leq 28$  mm head dislocation in the elective group to which to compare (*Table III*). Although not statistically significant, the  $\leq 32$  mm group had a higher dislocation rate compared with the  $\geq 36$  mm group for both the elective THA group (p = 0.451) and total sample (p = 1.000).

	Sex	Age (years)	Indication	Approach	Femoral head size (mm)	Surgeon experience	Implant design	Days to dislocation	Year of dislocation	Comorbidity
1	F	62	NOF fracture <sup>a</sup>	Lateral	40	Con⁵/Reg°	Single mobility cup	6	2010	Not documented
2	М	69	NOF fracture	Lateral	32	Reg/Reg	Single mobility cup	7	2015	Epilepsy
3	F	77	NOF fracture	Lateral	28	Con/Reg	Single mobility cup	7	2017	MDD, <sup>d</sup> Parkinson's, dementia
4	М	56	NOF fracture	Lateral	36	Con/Reg	Single mobility cup	7	2013	Smoker, HPT, <sup>e</sup> ETOH, <sup>f</sup> sepsis after THA <sup>g</sup>
5	М	64	NOF fracture	Lateral	36	Reg/Reg	Single mobility cup	21	2018	HPT
6	М	71	NOF fracture	Lateral	28	Reg/Reg	Dual mobility cup	24	2019	Asthma, HPT
7	F	55	NOF fracture	Lateral	28	Reg/Reg	Single mobility cup	28	2009	Not documented
8	F	59	NOF fracture	Lateral	28	Con/Reg	Single mobility cup	32	2015	HPT, hyperthyroidism
9	F	53	NOF fracture	Lateral	32	Reg/Con	Single mobility cup	140	2015	HPT
10	F	77	NOF fracture	Lateral	32	Con/Reg	Single mobility cup	275, 350	2016 2017	HPT, hypothyroidism
11	F	83	NOF fracture	Lateral	32	Con/Reg	Single mobility cup	300	2011	Nil

<sup>a</sup> neck of femur; <sup>b</sup> consultant; <sup>c</sup> registrar; <sup>d</sup> major depressive disorder; <sup>e</sup> hyperparathyroidism; <sup>f</sup> ethanol abuse; <sup>g</sup> total hip arthroplasty

#### Table III: Dislocations after elective total hip arthroplasty

	Sex	Age (years)	Indication	Approach	Femoral head size (mm)	Surgeon experience	Implant design	Days to dislocation	Year of dislocation	Comorbidity
12	F	69	OAª	Lateral	32	Con⁰/Reg <sup>d</sup>	Single mobility cup	3	2011	Not documented
13	М	57	OA	Lateral	36	Reg/Con	Single mobility cup	14	2009	Not documented
14	F	67	<b>AVN</b> <sup>b</sup>	Lateral	32	Reg/Reg	Single mobility cup	14	2018	HPT, <sup>e</sup> DM, <sup>f</sup> melanoma
15	F	74	OA	Lateral	32	Reg/Con	Single mobility cup	16	2017	HPT, triple bypass
16	F	63	AVN	Lateral	32	Con/Reg	Single mobility cup	27	2013	Not documented
17	F	79	OA	Lateral	32	Reg/Con	Single mobility cup	58	2011	Not documented
18	М	60	OA	Lateral	32	Reg/Reg	Single mobility cup	65	2018	HPT
19	F	41	AVN	Lateral	32	Con/Reg	Single mobility cup	72	2018	HPT, dyslipidaemia, PUD <sup>g</sup>
20	F	41	AVN	Lateral	32	Con/Reg	Single mobility cup	278	2019	Not documented

<sup>a</sup> osteoarthritis; <sup>b</sup> avascular necrosis; <sup>c</sup> consultant; <sup>d</sup> registrar; <sup>e</sup> hyperparathyroidism; <sup>f</sup> diabetes mellitus; <sup>g</sup> peptic ulcer disease

#### **Table IV**: Comparison of $\leq$ 32 mm and $\geq$ 36 mm femoral heads

	THA (n)	No dislocation (n)	Dislocation (n)	Dislocation rate	p-value
THA <sup>a</sup> population					1.000
	543	523	20	3.7%	
≤ 32 mm	428 (78.8%)	412 (78.8%)	16 (80.0%)	3.7%	
≥ 36 mm	115 (21.2%)	111 (21.2%)	4 (20.0%)	3.5%	
≤ 32 mm excl. DM⁵	364 (76.0%)	349 (75.9%)	15 (78.9%)	4.0%	1.000
Elective THA					0.451
	241	232	9	3.7%	
Anterior approach	11 (4.6%)	11 (4.7%)	0 (0.0%)	0.0%	
Lateral approach	230 (95.4%)	221 (95.3%)	9 (100.0%)	4.0%	
≤ 32 mm	174 (72.2%)	166 (71.6%)	8 (88.9%)	4.6%	
≥ 36 mm	67 (27.8%)	66 (28.4%)	1 (11.1%)	1.5%	
≤ 32 mm excl. DM	159 (70.4%)	151 (69.9%)	0 (0.0%)	0.0%	0.287
NOF <sup>c</sup> fracture THA					0.390
	302	291	11	3.6%	
Anterior approach	21 (7.0%)	21 (7.2%)	0 (0.0%)	0.0%	
Lateral approach	279 (92.4%)	268 (92.1%)	11 (100.0%)	3.9%	
≤ 32 mm	254 (84.1%)	246 (84.5%)	8 (72.7%)	3.2%	
≥ 36 mm	48 (15.9%)	45 (15.5%)	3 (27.3%)	6.3%	
≤ 32 mm excl. DM	205 (81.0%)	198 (81.5%)	7 (70%)	3.4%	0.406

 $^{\rm a}$  total hip arthroplasty;  $^{\rm b}$  dual mobility;  $^{\rm c}$  neck of femur

In the NOF fracture group, femoral head size had a greater influence on dislocation risk (p = 0.079; Table V). Contradictory to the elective group and most literature, the outcome for the  $\geq$  36 mm group was worse, having a dislocation rate of more than 6.25%. Of note is that all three ≥ 36 mm heads in the NOF fracture group dislocated within 21 days of the index procedure, indicating that there were factors besides head size that contributed to dislocation. Patient 1 (Table II) in the dislocated group, with a 40 mm femoral head, suffered dislocation after six days, and this was due to acetabular component mispositioning - an apparent inclination of > 70° and anteversion of > 30°. Patient 4 (Table II), a smoker and ethanol abuser, suffered dislocation after seven days, and this was attributed to sepsis. The third dislocation, in patient 5 (Table II), was attributed to poor patient compliance and an immature scar. Of note: patient 10 (32 mm head - single mobility design) dislocated twice.

We then compared the  $\leq 28$  mm femoral head group to the  $\geq 32$  mm group (*Table VI*). The dislocation rate was higher in the  $\leq 28$  mm group for the total sample and the NOF fracture group, but this was not statistically significant (p = 0.520). Forty-nine of the 113 heads in the  $\leq 28$  mm group were part of a dual mobility coupling implant, and this could explain the lack of significance for this analysis. After exclusion of the dual mobility couplings, the dislocation rate for heads  $\leq 28$  mm was 7.89% for NOF fracture THAs, more than double that of the  $\geq 32$  mm group. This demonstrates the benefit of using larger femoral heads.

Post-hoc power analysis revealed that all calculations were underpowered. This was due to the small dislocation group, resulting in effect sizes of less than 0.5 for all variables. For categorical variables the Cramer's Vs were calculated and for age the Cohen's d was used to calculate the effect size. 
 Table V: Total hip arthroplasties for neck of femur fractures and variables for dislocation risk

	THAª (n)	No dislocation (n)	Dislocated (n)		p-value
	302	291	11		
Age (years)					0.913
Mean (SD)	65.6 (11.1)	65.6 (11.2)	66.0 (10.1)		
Range	22–91	22–91	53–83		
Sex					0.754
Female	203 (67.2%)	196 (67.4%)	7 (63.6%)		
Male	99 (32.8%)	95 (32.6%)	4 (36.4%)		
Side					1.000
Left	149 (49.3%)	144 (49.5%)	5 (45.5%)		
Right	153 (50.7%)	147 (50.5%)	6 (54.5%)		
				Dislocation rate	
Approach					1.000
Lateral (Hardinge)	279 (92.4%)	268 (92.1%)	11 (100.0%)	4.0%	
Anterior	21 (6.9%)	21 (7.2%)	0 (0.0%)	0.0%	
Posterior (Southern)	2 (0.7%)	2 (0.7%)	0 (0.0%)	0.0%	
Femoral head size (mm)					0.079
22	16 (5.3%)	16 (5.5%)	0 (0.0%)	0.0%	
28	71 (23.5%)	67 (23.0%)	4 (36.4%)	5.6%	
32	167 (55.3%)	163 (56.0%)	4 (36.4%)	2.3%	
36	46 (15.2%)	44 (15.1%)	2 (18.2%)	4.4%	
40	2 (0.7%)	1 (0.3%)	1 (9.1%)	50.0%	
Implant design					1.000
Single mobility cup	253 (83.8%)	243 (83.5%)	10 (90.9%)	4.0%	
Dual mobility cup	49 (16.2%)	48 (16.5%)	1 (9.1%)	2.0%	
Surgeon experience					0.673
Con♭/Reg°	75 (24.8%)	71 (24.4%)	4 (36.4%)	5.3%	
Reg/Con	86 (28.5%)	83 (28.5%)	3 (27.3%)	3.5%	
Reg/Reg	141 (46.7%)	137 (47.1%)	4 (36.4%)	2.8%	

<sup>a</sup> total hip arthroplasty; <sup>b</sup> consultant; <sup>c</sup> registrar

# Discussion

Internationally, THA is a widely researched topic on which much has been published and for which registry data is readily available. Malawi is the only sub-Saharan African country with a national joint registry, and publications and systematic reviews with regard to a South African THA population are scarce.<sup>15-17</sup> THA patient, implant, outcome and revision data are vital for efficiently managing limited resources in a public healthcare sector faced with a massive demand for THA.

Advanced age, sarcopaenia and associated fall risk, and insufficient rehabilitation are recognised risk factors for dislocation.<sup>2,6,9,18</sup> Gender is a controversial risk factor for dislocation, with older publications claiming an almost twofold higher risk for female patients. Recent reviews have, however, not been able to prove a significant difference between male and female patients.<sup>6,19</sup> Cognitive and neuromuscular disorders, dementia and alcohol abuse constitute a fourfold risk of dislocation, mostly owing to poor compliance after THA. A BMI above 30 kg/m<sup>2</sup> does increase dislocation risk but to a lesser extent.<sup>6</sup> Incomplete and missing patient records prevented analysis of BMI and other comorbidities in this study.

A review article by Kunutsor et al., and the only available sub-Saharan African systematic review by Davies, both showed avascular necrosis, inflammatory arthritis and osteoarthritis to make up almost 80% of the indications for THA in their respective literature reviews.<sup>6,16,19</sup> This is in contradiction to our study, in which more than 50% of the THAs were performed for a NOF fracture (*Table 1*). Avascular necrosis and osteoarthritis did, however, constitute most of the elective THAs (42%). This high percentage of NOF fracture patients also explains the long waiting lists for elective THA at most public hospitals in South Africa.

Avascular necrosis has been shown to be a higher risk factor for dislocation than osteoarthritis.<sup>6,20</sup> Dislocation was 200% more likely when THA was performed for avascular necrosis, 400% more likely for NOF fractures and almost 500% more likely in nonunions, malunions and previous THAs. Hermansen et al. found a true cumulative dislocation rate of 3.5% in the first two years after THA performed for osteoarthritis in the Danish national patient register.<sup>21</sup> We found a combined elective THA dislocation rate of 3.7% in our study, and a dislocation rate of 4.1% for osteoarthritis patients and of 3.7% for avascular necrosis patients.

The results from our study further contradict the findings in the international literature with regard to NOF fracture THA. Several international studies have shown NOF fracture THA to be associated with a higher dislocation rate compared with elective THA.<sup>2,7,20,22,23</sup> The dislocation rate for NOF fracture THA (3.6%) in our study was lower than for the elective THA patients (3.7%). The indication for surgery (NOF fracture vs elective) did not, however,

#### Table VI: Comparison of ≤ 28 mm and ≥ 32 mm femoral heads

	THA (n)	No dislocation (n)	Dislocation (n)	Dislocation rate	p-value
THA <sup>a</sup> population					1.000
	543	523	20	3.7%	
≤ 28 mm	113 (20.8%)	109 (20.8%)	4 (20.0%)	3.5%	
≥ 32 mm	430 (79.2%)	414 (79.2%)	16 (80.0%)	3.7%	
≤ 28 mm excl. DM⁵	50 (10.4%)	47 (10.2%)	3 (15.8%)	4.7%	0.435
Elective THA					0.603
	241	232	9	3.7%	
Anterior approach	11 (4.6%)	11 (4.7%)	0 (0.0%)	0.0%	
Lateral approach	230 (95.4%)	221 (95.3%)	9 (100.0%)	3.9%	
≤ 28 mm	26 (10.8%)	26 (11.2%)	0 (0.0%)	0.0%	
≥ 32 mm	215 (89.2%)	206 (88.8%)	9 (100.0%)	4.2%	
≤ 28 mm excl. DM	12 (5.3%)	12 (5.5%)	0 (0.0%)	0.0%	1.000
NOF <sup>₀</sup> fracture THA					0.520
	302	291	11	3.7%	
Anterior approach	21 (7.0%)	21 (7.2%)	0 (0.0%)	0.0%	
Lateral approach	279 (92.4%)	268 (92.1%)	11 (100.0%)	3.9%	
Posterior approach	2 (0.7%)	2 (0.7%)	0 (0.0%)	0.0%	
≤ 28 mm	87 (28.8%)	83 (28.5%)	4 (36.4%)	4.6%	
≥ 32 mm	215 (71.2%)	208 (71.5%)	7 (63.6%)	3.3%	
≤ 28 mm excl. DM	38 (15.0%)	35 (14.4%)	3 (30.0%)	7.9%	0.177

<sup>a</sup> total hip arthroplasty; <sup>b</sup> dual mobility; <sup>c</sup> neck of femur

prove to be a significant risk factor (p = 0.967) for dislocation (*Table I*).

Along with the indication for surgery, another important factor is the surgical approach preferred by the surgeon. The posterior approach remains the most used approach for THA worldwide despite the slightly higher dislocation risk.4,24,25 This risk can be mitigated with adequate capsular repair and produces dislocation rates of around 1%, similar to the anterolateral and direct lateral approaches.<sup>10,24,26,27</sup> The anterior approach is gaining popularity because of its superior stability: muscle attachment around the hip is not disrupted and dislocation rates of 0% to 1% have been reported.<sup>28,29</sup> Our results are in keeping with those in the literature for the anterior and posterior approaches, there having been no dislocations for either group. In contrast we found a dislocation rate of 3.9% for the Hardinge approach - nearly eight times higher than expected. Although all 20 detected dislocations were of THAs done through the Hardinge approach, surgical approach was not found to be a statistically significant risk factor for dislocation. All anterior and posterior approach THAs were performed by a consultant orthopaedic surgeon.

THA performed with dual mobility implants has a reported 0% to 5% dislocation risk irrespective of surgical approach, and this does not increase with time or with component wear.<sup>30</sup> Recent studies have even shown 0% dislocations at one-year follow-up.<sup>17,31,32</sup> Dual mobility cups have also been proved to have a lower dislocation rate in primary THA done for osteoarthritis.<sup>31</sup> Our research results were in line with those of international publications and showed a dislocation rate of 1.6% for dual mobility implants compared with the 4.0% dislocation rate for single mobility implants (p = 0.494). Although dual mobility implants were used previously in our institution, this design has only been included in our protocol for use in NOF fractures since early 2019, hence the low numbers in this group. We had one dual mobility dislocation in our study sample, and it was from the NOF fracture group. It was an early dislocation attributed to poor patient compliance.

Larger femoral heads (e.g.  $\geq$  36 mm) allow a wider mechanical range of motion compared with smaller head diameters (e.g.  $\leq$  28 mm) before the neck of the prosthesis strikes the rim of the acetabular component.<sup>9</sup> This larger jumping distance that a larger femoral head must move away from the centre of the acetabular component before it can dislocate over the rim of the cup offers better protection against dislocation.<sup>11-13</sup> The accepted use of larger femoral heads (> 32 mm) reduced the dislocation rate by 35% to 43%.<sup>7</sup>

Howie et al. found increased risk of dislocation in 28 mm heads compared with sizes 32 mm and larger.<sup>11</sup> Zijlstra et al. concluded that 32 mm heads provided more stability than did 22 and 28 mm heads in all approaches and that stability in the posterior approach could be improved by using 36 mm heads.<sup>32</sup> It is therefore not surprising to see an increase in the use of larger heads worldwide.<sup>12,33</sup> The median head size in our study population was 32 mm. This finding is in line with international registries.<sup>34</sup>

In addition to femoral head size, another important implantrelated and surgical factor for THA stability is acetabular and femoral component placement.<sup>19</sup> Placement of the acetabular component in too much anteversion or retroversion can cause anterior or posterior dislocation, respectively.<sup>3</sup> Similarly, too much abduction can result in lateral dislocation.<sup>3</sup> Cup anteversion of  $20^\circ \pm 5^\circ$  and abduction of  $40^\circ \pm 10^\circ$  are suggested as the optimal zone of lowest dislocation risk.<sup>35</sup> Placement outside this safety zone can increase dislocation risk fourfold. Although implant position is an important factor to consider, measuring and assessing implant position were not part of the aims of this study.

Surgeon volume and experience in terms of the approach and implants used have a definite influence on dislocation risk.<sup>6,35,36</sup> The best outcomes are often obtained with the approach and procedure the surgeon is most comfortable with.<sup>26</sup> To keep up with the growing demand for THA, the definition of 'high-volume surgeon' had to be adapted from a surgeon who performed more than ten cases a year (the definition in the late 1990s) to a surgeon who had

performed more than 30 to 35 cases in recent years.<sup>37,38</sup> Malik et al. also postulated that arthroplasty fellows trained in 'high-volume centres' may be better skilled and thus achieve better surgical outcomes.36 Contradictory to this, Erasmus et al. demonstrated excellent results by inexperienced arthroplasty surgeons in their study on dual mobility implant THAs: a 0% dislocation rate.<sup>17</sup> The majority of cases (58.5%) in that study were treated by registrars without any consultant supervision, and only 12% of cases had a consultant as the lead surgeon.17

Surgeon experience did not appear to be significant in our total study population (p = 0.570) or the higher risk NOF fracture group (p = 0.673) (Table I). In both groups, however, the registrar/registrar teams, that is, low-volume surgeons, achieved the best dislocation rates. Close to 40% of cases were done without a consultant in the surgical team. The difference in dislocation rate is most likely due to the more challenging or high-risk cases being treated by more senior surgeons.

Our study had some limitations. Owing to incomplete and often missing files, patient biometrics (weight, BMI) and comorbidities could not be obtained and adequately analysed. Eighty-one patients (13%) eligible for the study were excluded due to incomplete/incorrect contact details - 57 of these patients were emergency (NOF) admissions. An attempt was made to contact all patients who had incomplete follow-up and incomplete files. This factor could have led to an underreporting of complications in the trauma group. Patients who had presented to a different facility with an acute dislocation are also more likely to default further follow-up appointments compared to patients who had no dislocations.

This study looks at the dislocation rate in the first 12 months following surgery, the period in which 75% of early dislocations occur. The incidence in our study could therefore possibly only reflect 75% of expected dislocations in this cohort. The sample size of the different surgical approaches, as well as of the two implant designs used, was unbalanced and may not be an accurate reflection. Because of the high p-values obtained, and wide confidence intervals, we could not perform further regression analysis, as this would be an inaccurate representation of the data. The predominance of the Hardinge approach (92%) negates any meaningful comparison of surgical approach as a risk factor. Also, implant malplacement was not assessed in this study and is an important limitation.

Post-hoc power analysis revealed that all calculations were underpowered. This was due to the small dislocation group, resulting in effect sizes of less than 0.5 for all variables.<sup>39</sup> There is also a high likelihood for independent risk factors being underpowered. The cause of any dislocation is often multifactorial and not solely due to the approach, implant design, size of the femoral head or surgeon experience; therefore, results should be interpreted within the context.

## Conclusion

The dislocation rates after THA at our institution is lower than literature-reported rates for NOF fracture THA and similar to rates reported for elective THA. This was found despite the dislocation rate of 4.0% for the Hardinge approach being nearly eight times higher than expected. South African academic centres can offer outcomes comparable to those reported internationally.

Although surgeon experience, single mobility couplings and smaller femoral head sizes were shown to be associated with dislocation risk, these were not found to be statistically significant risk factors for dislocation after THA.

It is important to consider technical advances in THA. The anterior approach offers promising results and could herald in a new era of THA. Dual mobility coupling and larger femoral head size are literature-proven risk-mitigating factors in high-risk patients and

should always be considered. Given the high percentage of THAs done for NOF fractures, preventive strategies can and should be considered to reduce this fracture burden.

#### Ethics statement

The authors declare that this submission is in accordance with the principles laid down by the Responsible Research Publication Position Statements as developed at the 2nd World Conference on Research Integrity in Singapore, 2010.

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

Prior to the commencement of the study, ethical approval was obtained from the following ethical review board: University of the Pretoria's Human Research Ethics Committee and reference number 332/2020.

Informed written consent was not obtained from all patients for being included in the study

#### Declaration

The authors declare authorship of this article and that they have followed sound scientific research practice. This research is original and does not transgress plagiarism policies.

#### Author contributions

PJF: primary author, study conceptualisation, data capture, data analysis RDE: co-author, data collection TB: data analysis HWJ: conceptualisation, manuscript revision, supervision

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