

# On $k$ -Switching of Mappings on Finite Fields

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## Abstract

The switching construction was used in several recent papers to construct special mappings on finite fields. In this paper we generalize the concept of switching to a  $k$ -switching with  $1 \leq k \leq n$ . We present some general properties of  $k$ -switching and describe permutations produced using  $k$ -switching.

**Keywords:** Mappings of finite fields, switching, permutation.

## 1. Introduction

Let  $F : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  and  $(\gamma_1, \dots, \gamma_n)$  be an  $\mathbf{F}_q$ -basis of  $\mathbf{F}_{q^n}$ . The uniquely determined functions  $f_i : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_q$ ,  $1 \leq i \leq n$ , such that

$$F(x) = f_1(x) \cdot \gamma_1 + \dots + f_n(x) \cdot \gamma_n,$$

are called the *coordinate functions* of  $F$  with respect to the basis  $(\gamma_1, \dots, \gamma_n)$ . The *component functions* of  $F$  over the subfield  $\mathbf{F}_q$  are the functions  $Tr_{q^n/q}(\alpha F(x))$  with  $\alpha \in \mathbf{F}_{q^n}^*$ , where  $Tr_{q^n/q}$  is a trace mapping of  $\mathbf{F}_{q^n}$  into  $\mathbf{F}_q$  given by

$$Tr_{q^n/q} = x + x^q + \dots + x^{q^{n-1}}.$$

The set of component functions of a mapping coincides with one of its coordinate functions:

**Proposition 1:** *Any component function over  $\mathbf{F}_q$  of a mapping  $F : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  is a coordinate function with respect to some  $\mathbf{F}_q$ -basis, and vice versa.*

**Proof.** Recall that any basis  $(\gamma_1, \dots, \gamma_n)$  has a unique dual basis  $(\bar{\gamma}_1, \dots, \bar{\gamma}_n)$  defined by

$$Tr_{q^n/q}(\gamma_i \bar{\gamma}_j) = \begin{cases} 1 & \text{if } i = j, \\ 0 & \text{if } i \neq j. \end{cases}$$

for all  $1 \leq i, j \leq n$ . In particular for any  $a \in \mathbf{F}_{q^n}$  the coefficients  $a_i$  in the linear combination  $a = \sum_{i=1}^n a_i \gamma_i$  are given by

$$a_i = Tr_{q^n/q}(\bar{\gamma}_i a).$$

Consequently, the coordinate function  $f_i(x)$  of  $F(x)$  with respect to  $(\gamma_1, \dots, \gamma_n)$  is the component function  $Tr_{q^n/q}(\bar{\gamma}_i F(x))$ . On the other hand, a component function  $Tr_{q^n/q}(\alpha F(x))$  is a coordinate function of  $F(x)$  with respect to the dual basis of any basis containing  $\alpha$ . ■

A mapping  $F : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  is called a switching of  $G : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  if there is an  $\mathbf{F}_q$ -basis  $(\gamma_1, \dots, \gamma_n)$  such that all but the first coordinate functions of  $F$  and  $G$  are equal, i.e.

$$F(x) = f_1(x) \cdot \gamma_1 + \dots + f_n(x) \cdot \gamma_n,$$

and

$$G(x) = g_1(x) \cdot \gamma_1 + \dots + g_n(x) \cdot \gamma_n,$$

with  $f_1(x) \neq g_1(x)$  and  $f_i(x) = g_i(x)$  for all  $2 \leq i \leq n$ . Switching was used to produce interesting classes of special mappings of finite fields in [2]–[8]. Construction of bijective mappings by changing two coordinate functions of the identity mapping were studied in [5, 7]. In this paper we generalize the concept of switching to a  $k$ -switching with  $1 \leq k \leq n$  and describe permutations produced using  $k$ -switching.

## 2. $k$ -Switching

**Definition 1:** Let  $1 \leq k \leq n$  be an integer. A mapping  $F : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  is called a  $k$ -switching of  $G : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  over  $\mathbf{F}_q$  if  $k$  is the minimal integer such that there is an  $\mathbf{F}_q$ -basis  $(\gamma_1, \dots, \gamma_n)$  with respect to which all but the first  $k$  coordinate functions of  $F$  and  $G$  are equal, i.e.

$$F(x) = f_1(x) \cdot \gamma_1 + \dots + f_n(x) \cdot \gamma_n,$$

and

$$G(x) = g_1(x) \cdot \gamma_1 + \dots + g_n(x) \cdot \gamma_n,$$

with  $f_j(x) \neq g_j(x)$  for all  $1 \leq j \leq k$  and  $f_i(x) = g_i(x)$  for all  $k+1 \leq i \leq n$ .

Note that 1-switching reduces to a switching defined above. Clearly, for any two different mappings  $F$  and  $G$  there is an integer  $1 \leq k \leq n$  such that  $F$  is a  $k$ -switching of  $G$ . Moreover, if  $F$  is a  $k$ -switching of  $G$ , then also  $G$  is a  $k$ -switching of  $F$ .

**Remark 1:** Let  $1 \leq k < k' \leq n$ . If the mapping  $F : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  is a  $k$ -switching of  $G : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$ , then there is an  $\mathbf{F}_q$ -basis of  $\mathbf{F}_{q^n}$  with respect to which exactly  $k'$  coordinate functions of  $F$  and  $G$  differ. Indeed, let

$$F(x) = \sum_{i=1}^k f_i(x) \gamma_i + \sum_{i=k+1}^n a_i(x) \gamma_i,$$

and

$$G(x) = \sum_{i=1}^k g_i(x) \gamma_i + \sum_{i=k+1}^n a_i(x) \gamma_i,$$

with respect to an  $\mathbf{F}_q$ -basis  $(\gamma_1, \dots, \gamma_n)$  and  $f_i(x) \neq g_i(x)$  for all  $1 \leq i \leq k$ . Then with respect to the  $\mathbf{F}_q$ -basis

$$(\gamma_1, \dots, \gamma_{k-1}, \sum_{j=k}^{k'} \gamma_j, \gamma_{k+1}, \dots, \gamma_n),$$

the coordinate functions of  $F$  and  $G$  are as follows:

$$F(x) = \sum_{i=1}^{k-1} f_i(x)\gamma_i + f_k(x) \left( \sum_{j=k}^{k'} \gamma_j \right) + \sum_{i=k+1}^{k'} (a_i(x) - f_k(x))\gamma_i + \sum_{i=k'+1}^n a_i(x)\gamma_i,$$

and

$$G(x) = \sum_{i=1}^{k-1} g_i(x)\gamma_i + g_k(x) \left( \sum_{j=k}^{k'} \gamma_j \right) + \sum_{i=k+1}^{k'} (a_i(x) - g_k(x))\gamma_i + \sum_{i=k'+1}^n a_i(x)\gamma_i.$$

In the following for a subset  $S \subseteq \mathbf{F}_{q^n}$  we use  $\langle S \rangle$  to denote the  $\mathbf{F}_q$ -subspace spanned by  $S$ .

**Theorem 1:** *Let  $1 \leq k \leq n$  and  $F, G : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$ . Then the following statements are equivalent:*

- (i)  $F$  is a  $k$ -switching of  $G$ .
- (ii) The image set of the mapping  $F - G : x \mapsto F(x) - G(x)$  spans a  $k$ -dimensional vector space over  $\mathbf{F}_q$ .

**Proof.** Let  $F : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  be a  $k$ -switching of  $G : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$ . Then there is an  $\mathbf{F}_q$ -basis  $(\gamma_1, \dots, \gamma_n)$  of  $\mathbf{F}_{q^n}$  such that

$$F(x) = \sum_{i=1}^k f_i(x)\gamma_i + \sum_{i=k+1}^n a_i(x)\gamma_i,$$

and

$$G(x) = \sum_{i=1}^k g_i(x)\gamma_i + \sum_{i=k+1}^n a_i(x)\gamma_i,$$

where  $f_i(x) \neq g_i(x)$  for all  $1 \leq i \leq k$ . Hence

$$F(x) - G(x) = \sum_{i=1}^k (f_i(x) - g_i(x))\gamma_i,$$

showing that the dimension  $l$  of  $\langle \text{Image}(F - G) \rangle$  is less or equal to  $k$ . Now let  $(\delta_1, \dots, \delta_\ell)$  be a basis for  $\langle \text{Image}(F - G) \rangle$ , and  $(\delta_1, \dots, \delta_\ell, \dots, \delta_n)$  a basis for  $\mathbf{F}_{q^n}$ . Let  $h_i, u_j : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_q$  be such that

$$F(x) - G(x) = \sum_{i=1}^{\ell} h_i(x)\delta_i,$$

and

$$G(x) = \sum_{i=1}^n u_i(x)\delta_i.$$

Then

$$F(x) = \sum_{i=1}^{\ell} (u_i(x) + h_i(x))\delta_i + \sum_{i=\ell+1}^n u_i(x)\delta_i,$$

and thus  $k \leq \ell$  by the definition of  $k$ -switching, completing the proof.  $\blacksquare$

**Proposition 2:** *Let  $1 \leq k \leq n$  and  $F : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  be a  $k$ -switching of  $G : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$ . Then  $F$  and  $G$  have exactly  $q^{n-k} - 1$  equal component functions over  $\mathbf{F}_q$ .*

**Proof.** Let  $\alpha \in \mathbf{F}_{q^n}, \alpha \neq 0$ . Then  $Tr_{q^n/q}(\alpha F(x)) = Tr_{q^n/q}(\alpha G(x))$  holds if and only if  $Tr_{q^n/q}(\alpha(F(x) - G(x)))$  is the constant zero function, or, the equivalent, image set of the mapping  $F - G$  is contained in the hyperplane  $\mathcal{H}_\alpha = \{y \in \mathbf{F}_{q^n} : Tr_{q^n/q}(\alpha y) = 0\}$ . Note that  $Image(F - G) \subseteq \mathcal{H}_\alpha$  if and only if the linear span  $\langle Image(F - G) \rangle$  is a subspace of  $\mathcal{H}_\alpha$ . By Theorem 1 the dimension of  $\langle Image(F - G) \rangle$  is  $k$ . Hence, there are  $\frac{q^{n-k}-1}{q-1}$  different hyperplanes containing  $\langle Image(F - G) \rangle$ . To complete the proof it remains to recall that  $\mathcal{H}_\alpha = \mathcal{H}_{\alpha'}$  if and only if  $\alpha' = \alpha \cdot u$  with a non-zero  $u \in \mathbf{F}_q$ . ■

### 3. $k$ -Switching of the Identity Mapping

In this section we consider bijective  $k$ -switching of the identity mapping. As the next observation shows the study of  $k$ -switching of an arbitrary permutation on  $\mathbf{F}_{q^n}$  can be reduced to one of the identity mappings. Let  $F : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  be a  $k$ -switching of  $G : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$ , and either  $F$  or  $G$  be a permutation on  $\mathbf{F}_{q^n}$ . Without loss of generality, say  $F$  is a permutation and denote its inverse mapping by  $F^{-1}$ . Then

$$G(x) = F(x) + \sum_{i=0}^k f_i(x) \cdot \gamma_i, \quad (1)$$

with respect to some basis  $(\gamma_1, \dots, \gamma_n)$ . Note that (1) holds if and only if

$$G \circ F^{-1}(x) = x + \sum_{i=0}^k f_i \circ F^{-1}(x) \cdot \gamma_i,$$

that is when  $G \circ F^{-1}$  is a  $k$ -switching of the identity mapping. Hence, understanding of the behaviour of  $k$ -switching of the identity mapping is an important step for the general problem.

The remaining part of this section is devoted to a class of permutations obtained by  $k$ -switching using the so-called functions with a linear translator. Our results generalize several constructions of permutations given in [1, 3, 5, 7].

A non-zero element  $\alpha \in \mathbf{F}_{q^n}$  is called an  $a$ -linear translator (or  $a$ -linear structure, cf. [3]) for the mapping  $f : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_q$  if

$$f(x + u\alpha) - f(x) = ua, \quad (2)$$

for all  $x \in \mathbf{F}_{q^n}, u \in \mathbf{F}_q$  and some fixed  $a \in \mathbf{F}_q$ .

The following theorem from [3] allows to construct functions with linear translators explicitly.

**Theorem 2:** Let  $G : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  and  $f(x) = Tr_{q^n/q}(G(x))$ . Then  $f$  has a linear translator if and only if there is a non-bijective  $\mathbf{F}_q$ -linear mapping  $L : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  such that

$$f(x) = Tr_{q^n/q}(G(x)) = Tr_{q^n/q}(H \circ L(x) + \beta x),$$

for some  $H : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  and  $\beta \in \mathbf{F}_{q^n}$ . In this case, any element from the kernel of  $L$  is a linear translator for  $f$ .

**Theorem 3:** Let  $1 \leq k \leq n$ ,  $\lambda_1, \lambda_2, \dots, \lambda_k \in \mathbf{F}_{q^n}$  be linearly independent over  $\mathbf{F}_q$  and  $f_j : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_q$ ,  $j = 1, \dots, k$ . Further, suppose  $\lambda_i$  is a  $b_{j,i}$ -linear translator for  $f_j$ , where  $i, j \in \{1, 2, \dots, k\}$ . Set

$$B := \begin{pmatrix} 1 + b_{1,1} & b_{1,2} & \cdots & b_{1,k} \\ b_{2,1} & 1 + b_{2,2} & \cdots & b_{2,k} \\ & & \ddots & \\ b_{k,1} & b_{k,2} & \cdots & 1 + b_{k,k} \end{pmatrix},$$

and let  $F : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  be defined as

$$F(x) = x + \lambda_1 f_1(x) + \lambda_2 f_2(x) + \cdots + \lambda_k f_k(x).$$

Then  $F(x) = F(y)$  for some  $x, y \in \mathbf{F}_{q^n}$  if and only if

$$x = y + \lambda_1 a_1 + \lambda_2 a_2 + \cdots + \lambda_k a_k,$$

and  $\begin{pmatrix} a_1 \\ \vdots \\ a_k \end{pmatrix} \in \mathbf{F}_{q^n}$  belongs to the kernel of  $B$ . In particular, the mapping  $F$  is a  $q^{n-r}$ -to-1 on  $\mathbf{F}_{q^n}$  where  $r$  is the rank of the matrix  $B$ .

**Proof.** Let  $x, y \in \mathbf{F}_{q^n}$  be such that  $F(x) = F(y)$ . Then, by the definition of  $F$

$$x + \lambda_1 f_1(x) + \lambda_2 f_2(x) + \cdots + \lambda_k f_k(x) = y + \lambda_1 f_1(y) + \lambda_2 f_2(y) + \cdots + \lambda_k f_k(y),$$

and thus

$$x = y + \lambda_1 a_1 + \lambda_2 a_2 + \cdots + \lambda_k a_k,$$

for some elements  $a_i \in \mathbf{F}_q$ . Observe, that when  $a_i \in \mathbf{F}_q$ , then

$$\begin{aligned} F\left(y + \sum_{i=1}^k \lambda_i a_i\right) &= y + \sum_{i=1}^k \lambda_i a_i + \sum_{j=1}^k \lambda_j f_j\left(y + \sum_{i=1}^k \lambda_i a_i\right) \\ &= y + \sum_{i=1}^k \lambda_i a_i + \sum_{j=1}^k \lambda_j \left(f_j(y) + \sum_{i=1}^k b_{j,i} a_i\right) \\ &= y + \sum_{j=1}^k \lambda_j f_j(y) + \sum_{i=1}^k \lambda_i a_i + \sum_{j=1}^k \lambda_j \left(\sum_{i=1}^k b_{j,i} a_i\right) \\ &= F(y) + \sum_{j=1}^k \lambda_j \left((b_{j,j} + 1)a_j + \sum_{i=1, i \neq j}^k b_{j,i} a_i\right). \end{aligned}$$

To complete the proof it remains to note that the linear independence of  $\lambda_j$  implies

$$F(y) + \sum_{j=1}^k \lambda_j \left((b_{j,j} + 1)a_j + \sum_{i \neq j, i=1}^k b_{j,i} a_i\right) = F(y),$$

if and only if all coefficients

$$(b_{j,j} + 1)a_j + \sum_{i \neq j, i=1}^k b_{j,i} a_i = 0,$$

or equivalently if  $\begin{pmatrix} a_1 \\ \vdots \\ a_k \end{pmatrix}$  is in the kernel of the matrix  $B$ . ■

Theorem 1 reduces to Theorem 8 from [5] when  $k = 1$ . For  $k = 2, 3$ , it extends Theorem 10 from [5] and Theorem 1, 2 from [7] considerably.

**Remark 2:** Let  $(\gamma_1, \dots, \gamma_k)$  be linear independent over  $\mathbf{F}_q$  and  $f_1(x), \dots, f_k(x)$  non-zero functions from  $\mathbf{F}_{q^n}$  into  $\mathbf{F}_q$ . Suppose the  $k$ -switching of the identity mapping

$$x + \sum_{j=1}^k f_j(x)\gamma_j,$$

is a permutation on  $\mathbf{F}_{q^n}$ . Note that in this case the  $(k-1)$ -switching

$$x + \sum_{j=1}^{k-1} f_j(x)\gamma_j,$$

must not be a permutation on  $\mathbf{F}_{q^n}$ . This follows easily from Theorem 3. Indeed, there are non-singular matrices over  $\mathbf{F}_q$  whose leading principal  $(n-1) \times (n-1)$  minor is 0.

**Corollary 1:** With the notations of Theorem 3, the mapping  $F$  is bijective on  $\mathbf{F}_{q^n}$  if and only if the matrix  $B$  has a full rank. Let  $B^{-1}$  be the inverse matrix of  $B$ . Define the functions  $h_j : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_q$ ,  $j = 1, \dots, k$  by

$$\begin{pmatrix} h_1(x) \\ \vdots \\ h_k(x) \end{pmatrix} := B^{-1} \cdot \begin{pmatrix} f_1(x) \\ \vdots \\ f_k(x) \end{pmatrix}.$$

Then the inverse mapping of  $F(x)$  is given by

$$F^{-1}(x) = x - \sum_{j=1}^k \lambda_j h_j(x).$$

**Proof.** Let  $B_j$  be the  $j$ th row in the matrix  $B$ . The calculations in the proof of Theorem 3 show that

$$\begin{aligned} F\left(x - \sum_{j=1}^k \lambda_j h_j(x)\right) &= x + \sum_{j=1}^k \lambda_j f_j(x) - \sum_{j=1}^k \lambda_j B_j \cdot \begin{pmatrix} h_1(x) \\ \vdots \\ h_k(x) \end{pmatrix} \\ &= x + \sum_{j=1}^k \lambda_j f_j(x) - \sum_{j=1}^k \lambda_j B_j \cdot B^{-1} \cdot \begin{pmatrix} f_1(x) \\ \vdots \\ f_k(x) \end{pmatrix} \\ &= x + \sum_{j=1}^k \lambda_j f_j(x) - \sum_{j=1}^k \lambda_j f_j(x) \\ &= x. \end{aligned}$$

■

The inverse mapping of the permutation  $F$  obtained in Corollary 1 is a  $k$ -switching of the identity mapping as well. The next proposition shows that this property holds for all permutations obtained via switching from the identity mapping.

**Proposition 3:** *If a permutation  $F : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_{q^n}$  is a  $k$ -switching of the identity mapping of  $\mathbf{F}_{q^n}$ , then its inverse is a  $k$ -switching of the identity mapping as well.*

**Proof.** Let  $(\gamma_1, \dots, \gamma_k)$  be linear independent over  $\mathbf{F}_q$  and  $f_1(x), \dots, f_k(x) : \mathbf{F}_{q^n} \rightarrow \mathbf{F}_q$  be non-zero functions. Further suppose that the mapping

$$F(x) = x + \sum_{j=1}^k f_j(x)\gamma_j,$$

is a permutation of  $\mathbf{F}_{q^n}$ . If  $F^{-1}$  is the inverse mapping of  $F$ , then

$$x = F \circ F^{-1}(x) = F^{-1}(x) + \sum_{j=1}^k (f_j \circ F^{-1})(x)\gamma_j.$$

Thus

$$F^{-1}(x) = x - \sum_{j=1}^k (f_j \circ F^{-1})(x)\gamma_j,$$

implying the result. ■

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## Վերջավոր դաշտերի վրա արտապատկերումների $k$ -փոխարկումների մասին

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### Անփոփում

Փոխարկումները կիրառվում են վերջերս լույս տեսած վերջավոր դաշտերի վրա յուրահատուկ արտապատկերումների կառուցմանը նվիրված աշխատանքներում: Այս աշխատանքում ընդհանրացվում է փոխարկման հասկացությունը մինչև  $k$ -փոխարկում, որտեղ  $\leq k \leq n$ , ինչպես նաև ներկայացվում են  $k$ -փոխարկումների ընդհանուր հատկություններ և նկարագրվում է  $k$ -փոխարկումների միջոցով տեղափոխությունների կառուցման մեթոդ:

## О $k$ -обменах отображений на конечных полях

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### Аннотация

Конструкция обмена используется в нескольких недавних работах по построению специфических отображений на конечных полях. В этой статье понятие об обмене обобщено до  $k$ -обмена с  $\leq k \leq n$ . Также представлены некоторые общие свойства  $k$ -обмена и описан метод получения перестановок с использованием  $k$ -обмена.