An Integrated Approach for Constructing Cluster-based Virtual Backbone with Mobile Sink

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Abstract—In Wireless Sensor Networks, no physical backbone infrastructure used in addition to all sensors are energy constrained and impractical to recharge. The behaviour of networks becomes unstable once the first node dies. The key challenge in such networks is how to reduce energy consumption to increase the network lifetime, especially with the different amount of energy in heterogeneity environments. In this paper, the virtual backbone routing solution is suggested to reduce energy consumption in a wireless sensor network. An integrated approach combines both advantages of hierarchical cluster-based architecture and shortest spanning tree topology for constructing a virtual backbone with a mobile sink.

The clustering solution is used to divide the network into clusters and reduces the number of nodes included in the communication. While the shortest spanning tree technique is used to construct a backbone among all cluster heads and mobile sink every time the sink traverses to a new location. The proposed approach aims to construct an efficient data aggregation spanning tree used to send or receive data between the mobile sink and elected cluster heads in wireless sensor networks. It constructs an efficient virtual backbone to decrease the energy consumption and prolong the lifetime of the network.

Performance evaluation results show how the proposed approach prolongs the lifetime of wireless sensor networks compared to some conventional clustering protocols.

Keywords—Wireless Sensor Networks, Heterogeneous, Clustering, Backbone, Mobile Sink, Shortest Spanning Tree.

1 Introduction

Wireless Sensor Networks (WSNs), refers to a set of low energy and un-rechargeable battery autonomous sensors that sense and monitor the surrounding environment to perform particular tasks through wireless communication infrastructure [1].

The sensed data could be transmitted directly (single-hop) or via multi-hop relaying toward a sink. Although single-hop data transmission is easy to implement and feasible in networks deployed in the small sensing area, the multi-hop transmission manner is more convenient in short-distance and large dense areas [2].

In cluster-based WSNs, the network is split into separate areas called clusters. In every area, a cluster head (CH) node is elected among several nodes, and it is responsible for collecting sensed data from other sensor nodes within the same cluster and transmitting it to the central sink. Clustering provides scalability, high efficiency, and low latency for sensor networks [3]. However, the critical challenge is how to choose the convenient CHs inside clusters, and how to manage these clusters to balance traffic load to improve the energy efficiency of sensor nodes and further to prolong the network lifetime [4]. In such type of networks, sensor nodes consume the most amount of their energy when transmitting data to the sink. Therefore, an unbalanced energy situation might be occurred due to the distribution of non-uniform sensors.

In the single-hop mode, sensors that away from sink consume more energy due to the long distance to the sink and die first, while other sensors near to the sink keep the energy level up. On the other hand, sensors with a multi-hop transmission mode that near the sink consume most of their energy while those far away still retain most of their initial energy. This problem in multi-hop is called hotspot [5]. It can be overcome when a sink moves and changes its location, which leads to change its neighbours and balance energy among most sensor nodes.

In recent years, many cluster-based routing protocols with mobile sink have been proposed to alleviate this energy hole among sensors [6]. However, sink mobility might cause adverse impacts on the topology of the entire network, which can mainly reduce the routing performance in WSNs [7].

This paper provides an integrated cluster-based approach to reduce the energy consumption of heterogeneous sensor nodes in WSNs by constructing a virtual backbone based on the random mobility of the sink. The suggested approach uses Dijkstra algorithm for constructing a shortest spanning tree from the mobile sink (MS) to all elected CHs at particular round. Dijkstra algorithm is a conventional technique that widely used for this purpose. It is simple and easy to maintenance.

The rest of the paper is structured as follows. Section II reviews some previous researches on cluster-based protocols with a spanning tree routing strategy for constructing a backbone in WSNs. The system model is presented in Section III. In Section IV, the suggested approach is demonstrated in details. Section V presents a discussion of our approach and simulation results.

2 Literature Review

This section reviews related solutions and approaches that have been proposed for reducing energy consumption to extend the lifetime of WSNs. Most of the previous researches have focused on building the virtual backbone from either sensor nodes or elected CHs towards the sink.

The authors in [8] have proposed a novel backbone-based virtual infrastructure (BVI) approach to support mobile sink without global position information to avoid flooding of the entire network. It supports multi-hop clusters and uses rendezvous CHs to reduce the number of CHs and overcome registering the location of MS to all CHs in the network. Consequently, it reduces the overhead of the communication result in

MS registration. Multiple spanning trees are built from every rendezvous CH to as a root to all other CHs in the BVI.

An Energy-aware Virtual Backbone Tree (EVBT) distributed algorithm is proposed in [9] to provide communication between all sensor nodes and central sink. The constructed tree is rooted at every node and spans the whole network. The sensed data are transmitted from sensor nodes to the sink along backbone tree. In a dense sensor network, EVBT consumes low energy compared with the flooding based clustering algorithms, such as TopDisc and STEM.

A Multi-hop Cluster Based Stable Backbone Trees (MCBT) proposed in [10] is a distributed algorithm to construct a stable backbone based on the residual energy of CHs in order to prolong the lifetime of the network. Moreover, it distributes the traffic load among nodes around the CH for balancing energy consumption.

ViTAMin in [11] avoids any wasted energy in both EVBT and MCBT by selecting the efficient upstream link. It constructs a virtual backbone using characteristic distance to achieve the minimal energy consumption between sensor nodes. It adds information about residual energy to the BCR packet to enable nodes that out of backbone tree to select an efficient upstream node.

The authors in [12] have proposed a Cluster-Based and Tree-Based Power Efficient Data Collection and Aggregation Protocol (CTPEDCA) based on clustering and Minimum Spanning Tree (MST) routing strategy for cluster heads in WSNs. MST is used to improve the transmission routing mechanism between the cluster heads so that only one CH can send collected data directly to the sink in every round.

In [13], Minimum Spanning Multi-Tier Protocol (MSMTP) have been proposed based on minimum spanning tree routing strategy and tier formation of sensor nodes. Multi-hop data transmission connection is established among nodes in the same cluster for constructing a minimum spanning tree structure involve all nodes of homogeneous WSNs, and then the sensor node with the highest residual energy among highest rank tier will transmit an aggregated data to the sink.

An enhanced MSMTP has been proposed for heterogeneous WSNs in [14]. The results of simulation have proved that this suggested protocol nearby 2x better than corresponding homogeneous one.

In [15], a novel hybrid optimization algorithm called Bee Algorithm-Simulated Annealing Weighted Minimal Spanning Tree (BASA-WMST) routing has been proposed. The sensor nodes are divided randomly into the best possible number of self-determining clusters with the optimal route. The shortest paths inside clusters are selected using a weighted minimum spanning tree. The weights in the tree are dynamically changed based on the energy level of each sensor nodes during route selection.

An efficient algorithm has been proposed by [16] for selecting suitable positions of rendezvous points (RPs) to construct an efficient mobile sink trajectory in WSNs. The algorithm is based on a virtual path and minimum spanning tree. It is suitable for smalland moderate-size networks with delay-bound applications.

The proposed approach in [17] distributes sensor nodes by events into clusters. Minimum spanning tree routing strategy is deployed for intra-cluster routing. An eventbased hierarchical architecture is used to reduce power consumption of sensor nodes by reducing unnecessary data transmissions toward the sink. In each cluster, only leaf

nodes are periodically responsible for detecting any abnormal events. The report on the abnormal event is transmitted to the sink only if the majority of sensors sense the same event.

3 System Model

This section describes the network and energy models, which are used for developing the suggested approach. The heterogeneous WSN is divided into several clusters in addition to a mobile environment for the central sink.

3.1 Network Model

The network area is consist of a set of sensor nodes that are stationary and deployed randomly into clusters in a rectangular area as shown in Figure 1. Sensor nodes are non-uniform and energy constrained.



Fig. 1. Cluster-based heterogeneous WSN

The heterogeneity of nodes could be represented by giving two different values in energy. A percentage of sensor nodes are classified as advanced nodes equipped with more energy resources than other normal nodes. All nodes are communicated over symmetric wireless links. The sensor nodes into clusters transmit their sensed data to the mobile sink through elected cluster heads via a single- or multi-hop transmission.

Initially, the mobile sink locates at the centre of the network, and it is aware of all sensors' geographic locations. Then it traverses randomly inside the central perimeter of the network to gather data from neighbouring CHs.

We assume that the mobile sink has sufficient storage to buffer collected data with no need to recharge a battery.

3.2 Energy Model

The critical energy dissipation model used in this study had been used in some previous literature such as [4], [18], [19]. Figure 2 shows the main components of the energy model.



Fig. 2. The energy dissipation model

This radio model assumes that energy dissipated for transmitting or receiving data is symmetric. Losses of both Friss free space ε_{fs} and multi-path ε_{mp} depend on the transmitter-amplifier model used, and the particular distance d between sender and receiver. For simplicity, assume the distance between the sensor node and its CH or sink is less than d_0 . Therefore, to transmit k-bit length message over distance d, the energy expended ETx by the radio model is:

$$E_{T_X} = \begin{cases} k \cdot E_{elec} + k \cdot \varepsilon_{fs} \cdot d^2 , if \ d \le d_0 \\ k \cdot E_{elec} + k \cdot \varepsilon_{mp} \cdot d^4 , if \ d > d_0 \end{cases}$$
(1)

where E_{elec} is the electronic energy dissipated to transmit or receive data. k is the amount of bits sent.

As shown in the equation, if $d \le d_0$, a free space channel model is accepted, otherwise, the multi-path channel is used. By equaling the two expression at d = d0, the distance threshold d0 is excessed as $d_0 = \sqrt{\varepsilon_{fs}/\varepsilon_{mp}}$.

To receive a k-bit message the radio expends energy equal to:

$$E_{Rx} = k \cdot E_{elec} \tag{2}$$

Let assume in a specific area there are n number of nodes are distributed uniformly. If there are C number of clusters that can be formed in a selected area, so the average number of nodes might be distributed in a particular cluster is n/C.

The CH dissipates its energy when receiving signals from (n/C - 1) nodes, aggregating sensed data by itself and other nodes in the same cluster, and also when transmitting the aggregated data to the sink. The dissipated energy by CH is equal to:

$$E_{CH} = \left(\frac{n}{c} - 1\right) \cdot E_{RX} + \frac{n}{c}k \cdot E_{DA} + E_{TX}$$
(3)

where EDA is energy dissipated to aggregate data by CH. If the MS is far from the CH node, and the distance to the MS > d_0 , then the energy dissipation of CH follows the multi-path model (d^4 power loss) as shown in Formula 4:

$$E_{CH} = \left(\frac{n}{c} - 1\right) k \cdot E_{elec} + \frac{n}{c} k \cdot E_{DA} + k \cdot E_{elec} + k \cdot \varepsilon_{mp} \cdot d_{to MS}^4$$
(4)

On the other hand, if the cluster is close enough to the sink, so the energy dissipated by CH is equal to:

$$E_{CH} = \left(\frac{n}{c} - 1\right) k \cdot E_{elec} + \frac{n}{c} k \cdot E_{DA} + k \cdot E_{elec} + k \cdot \varepsilon_{fs} \cdot d_{to MS}^2$$
(5)

Each non-CH node needs to transmit its sensed data to the elected CH node. Therefore, the energy dissipation follows the Friss free-space model (d^2 power loss), as long as the distance between them is small. Formula 5 shows the energy dissipated by non-CH node:

$$E_{non-CH} = k \cdot E_{elec} + k \cdot \varepsilon_{fs} \cdot d_{toCH}^2 \tag{6}$$

The energy dissipated in every cluster per round is approximately equal to:

$$E_{cluster} \approx E_{CH} + \frac{n}{c} \cdot E_{non-CH}$$
⁽⁷⁾

Finally, The total energy dissipated in the entire network is equal to:

$$E_{total} = k \cdot \left(2n \cdot E_{elec} + n \cdot E_{DA} + \varepsilon_{fs} \cdot (C \cdot d_{to MS}^2 + n \cdot d_{to CH}^2)\right)$$
(8)

4 Approach Design

The integrated Cluster-based Virtual Backbone (CVB) approach is a shortest spanning tree routing algorithm uses an MS as a root and all elected CHs in clusters as leaves for the constructed tree. All data gathered by the CHs are transmitted to the root along the backbone of the shortest spanning tree generated using Dijkstra algorithm.

CVB is a multi-level cluster-based routing algorithm. It has three phases, which are the Initial Phase, Virtual Backbone Construction Phase, and Data Transmission Phase.

4.1 Initial Phase

Like [4], the operation of the CVB approach is divided into rounds. In every round, nodes make autonomous decisions to select CH without any centralized control. A cluster head node consumes much more energy than other non-cluster head nodes.

In such type of networks to balance energy consumption, each node takes its turn as a cluster head periodically. In every round, each sensor node elects itself to be a CH according to a priori probability Pi [4].

Generally, the number of chosen CHs in the current round is selected according to the following expression:

$$C = \sum_{i=1}^{n} P_i(t).$$
 (9)

Each node might become a CH at round r with probability,

$$P_{i} = \begin{cases} \frac{C}{n-C.(r \mod n/C)}, & \text{if } i \in G\\ 0, & \text{otherwise} \end{cases}$$
(10)

where G is the set of non-elected nodes in the last $((r \mod n/C))$ rounds.

In the suggested heterogeneous sensor networks like in [18], there are m percentage advanced nodes with extra energy α more than other normal nodes.

Let us consider the optimal probability of the node to become a CH is: $p_{opt} = C/n$. So, the weighed probabilities for normal and advanced nodes are, respectively:

$$P_{nor} = \frac{P_{opt}}{1 + \alpha . m} \tag{11}$$

$$P_{adv} = \frac{P_{opt}}{1+\alpha.m} \cdot (1+\alpha) \tag{12}$$

When we add weighted probabilities for normal and advanced nodes, the probability equation for *normal* nodes is expressed as:

$$P_{i} = \begin{cases} \frac{P_{nor}}{1 - P_{nor} (r \mod 1/P_{nor})}, & if \ i \in G\\ 0, & otherwise \end{cases}$$
(13)

Thus, for advanced nodes,

$$P_{i} = \begin{cases} \frac{P_{adv}}{1 - P_{adv} \cdot (r \mod 1/P_{adv})}, & if \ i \in G\\ 0 & , otherwise \end{cases}$$
(14)

Figure 3 shows procedures of CH-node selection in the suggested approach.

After CHs are elected, they broadcast an advertisement message to the MS and any other node within their radio range. This advertisement message contains its ID, residual energy, and distance to MS.

Non-elected nodes start searching the closest CH to join within clusters according to the signal strength of all available CHs based on received advertisement message. After that, each sensor sends a join message to own cluster head to form cluster where they are located. The cluster head sets up a TDMA schedule to assign time slots to all members of the cluster.

A clustering infrastructure in WSNs enables nodes to transmit their data within the cluster locally. To avoid any inter-cluster interference or collision, sensor nodes use CSMA-based MAC protocol to access a channel before transmitting their sensed data to the cluster head. Figure 4 shows the pseudocode of Clustering procedures in the suggested approach.

According to experimental results of common cluster-based algorithms such as LEACH, and SEP, there is no guarantee to elect at least one cluster head every round during the last rounds of the operation.

The absence of a CH in some rounds might cause unreliability and prevents sensor nodes from providing feedback about their environment to the sink.

Pseudo. 1. CHs Selection		
Input: list of normal and advanced nodes, n Output: list of elected CH nodes		
Begin for each node $i \in n$ do		
select random number. <i>temp</i>		
if (node energy > 0) then		
// Election of CHs for normal nodes		
if $(temp \le P_{nor}/(1 - P_{nor}. (r \mod 1 / P_{nor}))$ then set current node as a CH		
coordinate the location of selected CH		
compute the distance between current CH and MS		
else		
// Election of Cluster Heads for Advanced nodes		
if $(temp \le P_{adv}/(1 - P_{adv}. (r \mod 1 / P_{adv}))$ then set current node as a CH		
coordinate the location of selected CH		
compute the distance between current CH and MS		
end if		
end if		
end for		
end		

Fig. 3. Pseudocode of a CH-Selection procedures

Pseudo. 2. Clusters Formation		
Input: list of non-elected nodes Output: list of clusters' members		
Begin		
if (CHs are elected) then		
for each node $i \in n$ do		
if (node is non-elected node and energy > 0) then		
compute distance between node <i>i</i> and MS		
for each node $j \in CHs$ do		
compute the distance between nodes <i>i</i> and <i>j</i>		
compare the result with distance to MS		
join to the closest one		
end for		
transmit packet to the closest node <i>j</i>		
end if		
end for		
end if		
end		

Fig. 4. Pseudocode of a Clustering procedures

4.2 CVB Construction Phase

CVB approach uses a tree structure to construct a virtual backbone for increasing the efficiency of energy.

The multi-hop relaying between cluster heads to the mobile sink is a kind of multihop communication based on constructing a connected spanning tree. The mobile sink uses information sent in advance by CHs to construct a backbone from itself to all elected CHs at that round.

In case of absence CHs in any round, the CVB approach resolves the unreliability problem by constructing a special virtual backbone between the mobile sink and all existing nodes (alive-nodes) in the network.

Pseudo. 3. CVB Approach			
Input: list of clusters C with MS, $C + 1$			
Output: A shortest path virtual backbone			
Begin			
If $(C \ge 1)$ then			
calculate distances between nodes, dis_matrix			
for each node $i \in C + 1$ do			
visited[i] $\leftarrow \emptyset$ // all CHs are un-visited			
dis_SPT[i] $\leftarrow \infty$ // unknown paths from MS to CHs			
parent[i] $\leftarrow \emptyset$ // undefined nodes in shortest path			
end for			
dis_SPI[s] \leftarrow 0 // distance from MS to MS			
while sum(visited) $\neq c + 1$			
$index \leftarrow node with min distance in dis_SP1$			
visited[index] $\leftarrow 1 //$ set one to visited node			
ior each neighbor $l \in C$ do			
If (dis_matrix[<i>index</i> , $i \ge 0$) then if (dia_SDT[<i>index</i>] + dia_matrix[<i>index</i> , i]) < dia_SDT[<i>i</i>])			
$\mathbf{II} ((\operatorname{uis_SF1}(\operatorname{index}) + \operatorname{uis_IIIa}(\operatorname{index}, i)) < \operatorname{uis_SF1}(i))$			
die SPT[i] \leftarrow die SPT[index]+die matrix[index i]			
$ais_{51} [i] \leftarrow ais_{51} [i] + ais_{11} [i] + ais_{11} [i] airix[i] airix[$			
parence if			
endif			
end for			
end while			
for each node $i \in C$ do			
if (node energy > 0) then			
dist \leftarrow dis matrix[narent[i] i]			
if (narent[i] = s) then			
transmit nacket to MS			
end if			
if (narent[i] \neq s and narent[i]energy>0) then			
transmit nacket to narent[i]			
end if			
end if			
end for			
end if			
end			

Fig. 5. Pseudocode of a CVB procedures

The integrated CVB approach consists of three main lists: dis_SPT, visited, and parent lists. dis_SPT includes the shortest paths from MS to all CHs in the network, all covered nodes labelled in the visited list, and the best parent of any CH-node is stored into parent list.

Initially, the MS as root is covered and labelled in the visited list, while all CHs are not discovered yet and labelled as infinity in the dis SPT list.

According to information collected in the previous phase, the closest CH to MS with the least distance is labelled in the visited list and then added to the dis SPT list.

Consequently, step-by-step the shortest possible paths are found, and labels in the lists are changed based on the chosen node until covering all CHs at current round.

The shortest paths always are selected from source (MS) to the covered CH-node. The construction of spanning tree is completed after covering all CH-nodes in the network. CVB construction procedures have been shown in Figure 5.

4.3 Data Transmission Phase

Once the virtual backbone has been constructed, and the TDMA schedule is set, the data transmission phase starts.

In this phase, CHs must be in standby mode and keep own receivers turned on to be able to receive data at any time. A CH-node receives packets from sensor nodes within the same cluster during their allocated transmission time. It combines the aggregated data and its own sensed data into a single outgoing packet, and then transmit it to the next CH (its parent) over the constructed virtual backbone.

To overcome overload and congestion problems in the network, the CH-parent stores the received packet temporary and then encapsulate it with other aggregated data into a single message for sending it to its parent, and so forth until delivering the MS.

5 Performance Analysis

The performance of the suggested CVB approach is evaluated through comprehensive simulation. Primary measures used to evaluate the performance of the proposed approach are described in Table 1.

Measure	Description	
Network lifetime	The period from the start of operation until the death of the last sensor node	
Stability period	The time interval from the beginning of operation until the failure of the first sensor node in the network.	
alive/round	This measure reflects the number of alive-nodes per round.	
Residual Energy	The amount of sensor energy remained at a particular round.	

Table 1. Performance Evaluation Measures

5.1 Simulation Environment

The MATLAB software was used to evaluate the performance of the proposed CVB approach and compare it with the most common cluster-based hierarchical protocols, LEACH and SEP protocols.

On the other hand, to evaluate multi-hop communication provided through the spanning tree, we compared CVB with SEP protocol in the multi-hop scenario.

In the simulation environment, we have distributed 100 sensor nodes randomly over a 100×100 m2 network area. Sensor nodes are heterogeneous, 20% of deployed nodes are advanced and equipped with 300% more energy than rest normal nodes (i.e., m=0.2 and α =3). Table 2 lists the experimental parameters used in the simulation environment.

Parameter	Value
Optimal election probability (P_{opt})	0.1
Initial Energy of normal node (E_0)	0.5 J
Electronic energy (E_{elec})	50 nJ/bit
Data aggregation energy (E_{DA})	5 nJ/bit
free space coefficient (ε_{fs})	10 pJ/bit/m ²
multi-path coefficient (ε_{mp})	0.0013 pJ/bit/m ⁴
Packet size (k)	4000 bits
Number of rounds	10000

Table 2. Parameters List

Initially, the mobile sink is located in the centre. Since the second round, it moves randomly within the central perimeter of the network area. Therefore, the maximum distance between the farthest nodes to the mobile sink is approximately 75m.

All compared protocols, LEACH, SEP, and Multi-hop-SEP are implemented in a heterogeneous mode with sink mobility environment. We assume that the communication between nodes is symmetric. Furthermore, the consumed energy for transmitting or receiving data are almost the same.

5.2 Evaluation and Discussion

According to empirical results in this simulation, the lifetime of the tested network has increased significantly by CVB in comparison with other protocols as shown in Figure 6.

First, we have compared CVB approach to LEACH and SEP protocols. Figure 7 shows the number of alive sensor-nodes during network operation. CVB is approximately stable in the period from 2000-5000 rounds, while other protocols are going down. Furthermore, Figure 7 shows how the number of normal nodes decreases rapidly in a short time compared to the advanced nodes.



Fig. 6. Network lifetime



Fig. 7. The number of alive nodes per round

In addition, we have chosen to compare between LEACH and suggested approach as both based on the same probabilistic decision. However, the lifetime of normal nodes in the CVB approach is longer than in LEACH as shown in Figure 8.

Figure 9 illustrates the significant variation between advanced nodes of the three tested protocols. The lifetime of advanced nodes in the CVB is increased approximately 40% more than SEP and 15% longer than LEACH.





Fig. 9. A lifetime of advanced nodes

For testing the behaviour of constructed spanning tree, we implemented the SEP protocol with multi-hop transmission mode. Figure 10 shows the lifetime of the CVB compared to Multi-hop SEP.

AS evident in both protocols, the *normal* nodes are sharply die in a short time. For decreasing the energy consumption of *normal* nodes, the suggested approach improves

the behaviour of *normal* nods by tracking their status. If the residual energy of a node is less than half, so it will not be elected as a cluster head anymore. This decision increases the lifetime of *normal* nodes but it effects on the overall lifetime of the network as shown in Figure 11.







Fig. 11. The enhanced CVB

Figure 12 and Figure 13 confirm that the energy consumption of the CVB approach is less than LEACH and SEP, even when we add the extra cost of constructing the backbone-spanning tree.



Fig. 12. Residual energy of normal nodes



Fig. 13. Residual energy of advanced nodes

6 Conclusion

One of the primary and critical tasks in wireless sensor networks is to provide efficient communication infrastructure. Virtual backbones have been proposed as an efficient mechanism for minimal energy consumption, and offer energy-efficient transmission based on multi-hop routing in heterogeneous wireless sensor networks.

The suggested approach combined between characteristics of hierarchical clusterbased routing protocols and spanning tree algorithm. The integrated Cluster-based Virtual Backbone (CVB) approach is a cluster-based routing algorithm used Dijkstra algorithm to build a shortest spanning tree from MS as a root to all elected CHs in WSN. The proposed approach constructs a connected backbone network that would be resilient to sink mobility in WSN. The CVB consists of three phases, Initial Phase, Virtual Backbone Construction Phase and Data Transmission Phase. The simulation results confirm that the proposed approach increases the lifetime of the network and decreases energy consumption compared to LEACH and SEP protocols.

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