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## Empirical Correlation between Geotechnical and Geophysical Parameters in a Landslide Zone (Case Study: Nargeschal Landslide)

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## ABSTRACT

Today, geotechnical and geophysical techniques are used for landslide evaluation. Geotechnical methods provide accurate data, but are time consuming and costly. Geophysical techniques, however, are fast and inexpensive, yet their accuracy is lower than that of the geotechnical methods. Therefore, simultaneous use of geotechnical and geophysical methods provides a suitable solution for landslide evaluation. Availability of geotechnical and geophysical data makes it possible to investigate correlation between different parameters. Correlating geotechnical and geophysical parameters ends up lowering field investigation costs and enhancing subsurface survey speed in a landslide zone. In the present study, in order to evaluate Nargeschal landslide in Iran, ambient noise measurement, ERT survey, and geotechnical investigations were used. Once finished with data processing, the data obtained from geotechnical and geophysical investigations were correlated. These included SPT-N – electrical resistivity, soil moisture content – electrical resistivity, and SPT-N – shear wave velocity correlations. The correlations were examined using two methods, namely Spearman's coefficient test and least square regression analysis. The results obtained from the two methods were in good agreement with one another. The correlations obtained in this study were of moderate to very strong strength and fell in the range of the results of previous studies. Investigation of the results indicated significant influences of ground water on electrical resistivity and soil stiffness on shear wave velocity. Results of this study can be used for soil classification and determination of mechanical and seismic characteristics of soil across various areas.

Keywords: Ambient noise, ERT, Geophysical investigation, Geotechnical investigation, Empirical correlation.

Correlación empírica entre parámetros geotécnicos y geofísicos en la zona de un deslizamiento de tierra. Caso de estudio: Deslizamiento de Nargeschal

## RESUMEN

Actualmente, las técnicas geotécnicas y geofísicas se utilizan en la evaluación de los deslizamientos de tierra. Los métodos geotécnicos proveen información exacta pero son costosos y requieren de tiempo. Las técnicas geofísicas, son rápidas y económicas a pesar de que su exactitud es menor a la ofrecida por los métodos geotécnicos. El uso simultáneo de métodos geofísicos y geotécnicos provee una solución adecuada en la evaluación de estos movimientos en masa. La disponibilidad de información geotécnica y geofísica hace posible investigar la correlación entre los diferentes parámetros. Esta correlación permite una reducción en los costos de la investigación y agiliza la medición subsuperficial en las zonas de deslizamiento. En este estudio, con el fin de evaluar el deslizamiento de Nargeschal en Irán, se utilizaron medidas de ruido de fondo, prospección eléctrica, e investigaciones geotécnicas. Una vez terminado el procesamiento de los datos, se correlacionó la información obtenida de los análisis geotécnicos y geofísicos. Estos incluyen correlaciones ensayo de penetración estándar- prospección eléctrica, contenido de humedad del suelo-prospección eléctrica, y ensayo de penetración estándar-velocidad onda de corte. Las correlaciones se examinaron a través de dos métodos, llamados la prueba de coeficiente de Spearman y el análisis de regresión de mínimos cuadrados. Los resultados obtenidos a través de los dos métodos coinciden entre sí. Las correlaciones obtenidas en este estudio fueron de fuerza moderada a muy fuerte y se enmarcan en los resultados de estudios previos. El análisis de los resultados señalaron fuertes influencias del agua subterránea en la prospección eléctrica y la rigidez del suelo en la velocidad de la onda de corte. Los resultados de este estudio se pueden utilizar para la clasificación del suelo y la determinación de características mecánicas y sísmicas del suelo a través de varias áreas.

Palabras clave: Ruido de fondo; prospección eléctrica; investigación geofísica; investigación geotécnica.

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#### Introduction

One of the most important steps toward landslide evaluation is to undertake subsurface surveys. Using the subsurface surveys, one can evaluate geometry, layering, slip surface, lateral limits, and ground water level across a sliding zone (Merrit et al., 2014). There are numerous methods for subsurface survey. One of the most common and widely applied categories of these methods is geotechnical investigations (Gui-Sheng et al., 2016). Geotechnical investigations include boring boreholes or trial pits, taking disturbed and undisturbed samples, and conducting field tests (SPT, CPT, VST, etc.) and laboratory experiments (determining specific gravity, Atterberg limits, moisture content, particle size analysis, permeability, etc.). Geotechnical investigations provide accurate data and determine characteristics of the soil across the study area. Despite of the wide spectrum of their applications and reliability of their results, these methods are associated with problems which are pointed out in the following. Geotechnical investigations are costly to perform. These methods are destructive and require boring the ground. Moreover, the results obtained from geotechnical methods are limited to a specific point, and their operation is time-intensive (Lopes et al. 2014, Yilmaz and Narman, 2015, Szokoli et al., 2017). In addition, given that landslide areas are mostly located within remote areas of tough topography, it is very difficult to transport and deploy the required equipment for boring. Another set of methods increasingly applied in subsurface surveys is geophysical investigations (Rezaei and Choobbasti, 2017a). Nowadays, such methods as ambient noise measurement and electrical resistivity tomography (ERT) are widely applied in a landslide area. Geophysical methods are mostly inexpensive, fast, and non-destructive (Choobbasti et al. 2013, Rezaei and Choobbasti 2014, Rezaei and Choobbasti 2017b). Furthermore, one can examine a larger area of a landslide using geophysical investigations. In spite of the positive characteristics of geophysical methods, these approaches also suffer from weaknesses which are elaborated in the following. The results obtained from these methods are not as accurate as those from geotechnical methods. Geological and geotechnical data are required for calibration and verification of the geophysical methods, and interpretation of geophysical data is much more complicated than those of geotechnical data. Considering what was mentioned above, it is evident that a combination of geotechnical and geophysical investigations may provide an ideal approach to subsurface survey in a landslide area (Ozcep et al. 2009, Osman et al. 2014, Sil and Haloi 2017, Thokchom et al. 2017, Jusoh and Osman 2017).

Accordingly, today, a great deal of study is being performed to investigate correlation between geotechnical and geophysical parameters. Application of correlations can make the process of field investigation faster and less costly. Numerous researches have been performed to investigate the correlation between electrical resistivity and geotechnical parameters. In their studies, researchers achieved very weak to very strong correlations between electrical resistivity and geotechnical parameters (SPT-N, moisture content, coefficient of permeability, unit weight, plastic limit, liquid limit, plasticity index, etc.) (Cosezna et al. 2006, Oh and Sun 2008, Liu et al. 2008, Ozcep et al. 2009, Sudha et al. 2009, Calamita et al. 2012, Long et al. 2012, Kibria and Hossain 2012, Siddiqui and Osman 2013, Fallah-Safari et al. 2013, Osman et al. 2014, Abidin et al. 2014, Hatta and Osman 2015, Lin et al. 2017, Jusoh and Osman 2017, Devi et al. 2017). Investigation of the correlation between SPT-N and shear wave velocity has also a long history, with many correlations presented in this respect in codes and previous studies (Yordkayhyun et al. 2014, Lopes et al. 2014, Kirar et al. 2016, Anbazhagan et al. 2016, Gautam 2017, Salinas-Jasso et al. 2017, Thokchom et al. 2017, Sil and Haloi 2017, Nejad et al. 2018, Rahman et al. 2018). One of the common methods for shear wave velocity evaluation is ambient noise measurement and analysis (Asten et al. 2014, Büyüksaraç et al. 2014, Zuccarello et al. 2016, Borges et al. 2016, Pischiutta et al. 2017). Therefore, it is useful and important to correlate the shear wave velocity obtained from ambient noise measurement and SPT-N, considering efficiency and low cost of this geophysical method.

In the present research, geotechnical and geophysical investigations are used to conduct subsurface survey in a landslide zone. The geotechnical investigations include boring 6 boreholes and performing field tests and laboratory experiments. The geophysical investigations include ERT survey (along two 470–m long profiles) and ambient noise measurement at 30 stations. Once finished with data processing, correlations between geotechnical and geophysical parameters were investigated. These correlations included SPT-N – electrical resistivity, soil moisture content – electrical resistivity, and SPT-N – shear wave velocity. The correlations were examined using two test methods, namely Spearman's coefficient test and least square regression

## 2 - Study area

The study area considered in the present research is Nargeschal Village. The village is located 18 km to the southeast of Azadshahr City at an altitude of about 1080 m above mean sea level (MASL) within Golestan Province, Iran. Figure 1A shows position map of the study area in Iran. According to studies by Geological Survey and Mineral Explorations of Iran (GSI), this area is located within very high-risk and unstable zone (Figure 1B) (Pourghasemi et al. 2012).



Figure 1. The study area (a) Position map of the study area in Iran, and (b) Landslide risk zonation across Golestan Province (based on GSI).

Heavy precipitation (130 mm per 24 h) and occurrence of earthquake (with a magnitude of 3.6 Richter) within a short period of time (2014/05/29 - 2014/06/04) ended up with landslide event at Nargeschal on 2014/06/04. The precipitation resulted in increased ground water level, reduced matric suction, and hence weakened soil layers which together with the occurrence of a relatively moderate earthquake contributed to the occurrence of a large landslide.

The landslide was roughly 750 m in length and its width was up to 80 m in the upstream and 350 m in the downstream. The slope of the area was 20° to 30° with a main slip direction at an azimuth of 80°, while the volume of slide masses was estimated to be up to 2 million m3. The landslide put the lives and properties of the residents in serious danger, so the village was evacuated and temporary accommodation was provided for the residents in a safe place (Rezaei et al. 2018).

Geotechnical and geophysical investigations were planned across the study area to identify the landslide geometry, slip surface, layering, and strength and seismic parameters of the soil, as detailed in the following sections

#### 3 - Field investigations

## 3-1- Geotechnical investigations

Geotechnical investigations in the present research included boring 6 boreholes and undertaking field tests and laboratory experiments. The boreholes were 9.5-24 m deep, and a total of 114.5 m soil was bored. During the boring operation, disturbed and undisturbed samples were taken for laboratory experiments. In order to characterize the soil covering the study area, such experiments as soil particle analysis, specific gravity determination, unit weight evaluation, moisture content measurement, Atterberg limits, direct shear test, and permeability coefficient determination were performed. Moreover, standard penetration test (SPT) was conducted. The SPT, which was developed around 1925, is a well-established and unsophisticated method and currently one of the most popular techniques for evaluating the geotechnical characteristics of soils to weather rock all over the world (Oh and Sun 2008). Figure 2 shows the position map of boreholes across the study area, while Figure 3 indicates logs of the boreholes.

In order to stick with the main aim of the paper (investigation of the correlation between geotechnical and geophysical parameters), only results of the tests for which the obtained geotechnical parameters were acceptably correlated to geophysical parameters are presented herein. Accordingly, results of soil moisture content determination and SPT tests are presented. Figure 4 shows variations of SPT-N and moisture content ( $\omega$ ) in the boreholes. It is observed that SPT-N shows a significant change in some boreholes. Previous



Figure 2. Nargeschal landslide map.



Figure 3. Borehole logs in a quasi 3D view.

studies demonstrate that the boreholes drilled up to a depth below the slip surface represent such a log. Similar borehole logs can be found in studies by Isik et al. (2004), Suryo (2013), Crawford et al. (2015) and Topsakal and Topal (2015).

#### 3-2- Geophysical investigations

Geophysical investigations performed in the present research included ERT survey and ambient noise measurement. The aim of ERT survey was to determine landslide geometry, lateral limits, slip surface, and ground water level. Ambient noise measurement is performed to determine fundamental frequency, direction of site response, and shear wave velocity across the study area.

ERT measurements were performed along two profiles of 470 m in length using Wenner-Schlumberger array. Figure 2 shows the position of the profiles across the study area. Measurements were performed using a multielectrode Geomative-GD10 device by utilizing 48 electrodes. The device is equipped with multi-core cables along with 12 electrodes spaced at maximum 10 m spacing to one another. In the present research, four cables were used. RES2Dinv Software was utilized to calculate the values of electrical resistivity. RES2Dinv software is based on the least squares method and uses the finite element (FE) and finite difference (FD). It inverts the pseudo-section apparent resistivity to subsurface electrical resistivity distribution using the quasi-Newton optimization technique (Shemang et al. 2013; Zarroca et al. 2014; Akpan et al. 2015; Ling et al. 2016; Soto et al. 2017).

In order to calculate the values of electrical resistivity, apparent resistivity and topographic characteristics of the profile path were imported into the RES2Dinv Software. Given the topography, the software employs a finite element to determine apparent resistivity. The optimization method adjusts the 2D resistivity model trying to reduce iteratively the difference between the calculated and measured apparent resistivity values. Following



Figure 4. Measured SPT-N and soil moisture content at boreholes.

four iterations, RMS values along profiles 1 and 2 were found to be 3.17% and 2.47%, respectively. Figure 5 shows the distribution of electrical resistivity of soil across the study area.

Ambient noise measurement was performed at 30 stations via a single-station arrangement. It is worth noting that, due to steep slope of the study area (20 - 30%), the ambient noise measurement stations were not located at the same elevation level. Therefore, application of array-based methods such as SPAC was avoided (Del Gaudio et al. 2014). The equipment used in the present research included SL07 seismograph, a 7 A battery, GPS, compass, and a laptop PC. The seismogram is specifically suitable for setting studies to be performed at frequencies of up to 0.2 Hz (Lotti 2014).



Figure 5. Distribution of electrical resistivity of soil.

In the course of the present research, all recommendations given by SESAME team were respected. Recording time and sampling rate of ambient noise measurements at each measurement station were set to 45-60 min and 100 Hz, respectively. In the present research, ambient noise processing and determination of H/V spectral ratio was performed via HVNR method utilizing Geopsy Software (Nakamura 1989).

The H/V is linked to the ellipticity of Rayleigh wave, in situation where the high shear wave contrasts exist between soil and bedrock (Bard 1999). Once the H/V spectral ratio was calculated, Dinver module was used to compute ellipticity curve. The module performs inversion using neighborhood algorithm. In order to perform the inversion, a set of initial parameters such as shear wave velocity, primary wave velocity, Poisson's ratio, and density is required. These initial values were determined using the borehole data. All these data can be provided to the ellipticity curve inversion at the end to get shear wave velocity profile (Ullah & Prado 2017). For all the models tested, the theoretical ellipticity curves of the fundamental mode Rayleigh wave have been first computed (forward problem), and subsequently compared with the empirical H/V curve. The comparison provides a misfit value, which indicates the semblance between the synthetic and the observed H/V curve (Mundepi et al. 2015).

The model with the minimum misfit was selected as the final solution. Figure 6A-6C demonstrate H/V spectral ratio, ellipticity curve, and shear wave velocity profile, respectively, at station St22. Figure 6D shows shear wave velocity profile down to a depth of 24 m (maximum depth of the existing borehole) at stations in the vicinity of the boreholes, across which correlations can be established.

## 4 - Methodology

In the present research, in order to study correlations between geotechnical and geophysical parameters, Spearman's coefficient test and least square regression analysis were used. Typically, engineers use least square regression analysis to investigate the correlation between a pair of variables, with the quality of the obtained relationship examined using R-squared value ( $R^2$ ). In the present research, we begin with investigation the correlation between geotechnical and geophysical parameters using



Figure 6. Ambient noise analysis results (a) H/V spectral ratio at station St22, (b) ellipticity curve at station St22, (c) shear wave velocity profile at station St22, and (d) shear wave velocity profile at St11, St14, St17, St18, St21 and St22 down to a depth of 24 m.

least square regression analysis. Linear, logarithmic, exponential and power curve fitting approximations were applied and the best approximation equation with highest  $R^2$  was selected.

 $R^2$  values smaller than 0.3 indicate that there is no correlation between the considered variables. However, should the  $R^2$  value fall within the ranges of 0.3 – 0.5, 0.5 – 0.7, 0.7 – 0.9, and 0.9 – 1, the corresponding correlations are recognized as weak, moderate, strong, and very strong, respectively (Jusoh and Osman 2017). Since most of researchers are well familiar with the least square regression analysis, no further detail on this technique is provided herein and we rather proceed to explain Spearman's coefficient test.

Spearman's rank correlation coefficient  $(r_s)$  is a non-parametric measure of correlation, using ranks to calculate and measure the correlation between two variables. The ordered categories can be replaced by their ranks, and the correlation coefficient  $(r_s)$  calculated on these ranks, measures the strength of association between two ranked variables to indicate how closely two sets of rankings agree with each other (Lin et al. 2017). Spearman's rank correlation coefficient is obtained using Equation (1).

$$r_s = 1 - \frac{6\Sigma d_i^2}{n(n^2 - 1)} \tag{1}$$

In this relationship,  $d_i$  is the difference between ranks, and *n* is the number of members of each parameter. The value of  $r_s$  varies in between + 1 and - 1. Positive and negative values of  $r_s$  indicate positive and negative correlations, respectively.  $r_s = 0$  shows no correlation. The closer the value of  $r_s$  to  $\pm 1$ , the stronger is the respective correlation. The [ $r_s$ ] values in the ranges 0 - 0.2, 0.2 - 0.4, 0.4 - 0.6, 0.6 - 0.8, and 0.8 - 1 indicate very weak, weak, moderate, strong, and very strong correlation strength, respectively. In order to apply this method, one should begin with ranking the values of each parameter (electrical resistivity, shear wave velocity, water content of soil, SPT-N, etc.). For this purpose, the smallest value of each parameter takes the rank 1 and the rest of the members are assigned subsequent ranks in the order of increasing value. Sometimes, there are ties in the data. Tie means that two or more values are the same, so there is no strictly increasing order (for example, the value of SPT-N is 50 at more than one areas). When

this happens, identical values (rank ties or value duplicates) are assigned a rank equal to the average of their positions in the ascending order of the values (Lin et al. 2017).

Once the value of  $r_s$  was calculated, one should check to ensure that the obtained value is not solely based on chance, i.e. null hypothesis shall be rejected. Significance test is used for this purpose. The significance testing is run to test the significance of the relationship. For samples with more than 20 values, a t statistic can be written as,

$$t = r_s \sqrt{\frac{n-2}{1-r_s^2}}$$
(2)

The significance level  $\alpha$  for a given hypothesis test is a value for which a *P* value less than or equal to  $\alpha$  is considered statistically significant. Typical values for  $\alpha$ , are 0.1, 0.05, and 0.01. Hence if *P* value associated with that *t* statistic is less than  $\alpha$ , the null hypothesis is rejected, and there is a trend in the data (Lin et al. 2017).

Since the Spearman rank coefficient method is completely different from the last square regression method, the simultaneous use of both methods is a reasonable decision. The Spearman rank coefficient method does not represent a relationship but examines the quality of correlation more accurately. In contrast, the last square regression method provides relationships which can be applied by researchers and engineers in their field investigations and activities. An advantage of Spearman rank coefficient is that if one or more data are far greater than other data, their correlation is not affected because just their ranks are evaluated. Since the data values have great deviations and differences in this study, the use of Spearman rank coefficient seems reasonable.

For further detail about the Spearman's coefficient test, please refer to the studies reported by Lehman (2006) and Lin et al. (2017).

#### 5 - Analysis of electrical resistivity - geotechnical parameters correlation

In order to investigate electrical resistivity – geotechnical parameters correlation, data of the boreholes BH1, BH5, and BH7 were used. Despite the closer distance of the borehole BH2 than borehole B1 to profile P1, its data was not used for investigating the correlation between electrical resistivity and geotechnical parameters. The borehole BH2 is located at upstream of the profile P1, and due to the steep slope of the ground in this area, their elevation levels differ by more than 5 m. Therefore, due to the high difference in elevation level, it makes no sense to have them correlated.

Numerous geotechnical parameters have been evaluated for correlation investigation. Among these parameters, one can refer to SPT-N, moisture content, liquid limit, plastic limit, plasticity index, unit weight, specific gravity, and permeability coefficient.

Results of the investigations indicate very weak to weak correlation between electrical resistivity and liquid limit, plastic limit, plasticity index, specific gravity, and unit weight. The values of  $R^2$  and  $[r_s]$  obtained from the correlation between the electrical resistivity and the mentioned geotechnical parameters were found to range within 0.05-0.2 and 0.21-0.41, respectively; as such, the respective results are not presented herein. Giao et al. (2003), Long et al. (2012), and Siddiqui and Osman (2013) reported similar results. They observed very weak correlations between electrical resistivity and plasticity index as well as unit weight.

Permeability coefficient has exhibited a strong correlation coefficient toward electrical resistivity ( $R^2 = 0.87$ ). However, given inadequacy of the number of available data (n < 5), the results are not presented herein. Results indicate that, with increasing the permeability coefficient, electrical resistivity decreases. This is because of the fact that, an increase in permeability coefficient increases the pore space between the soil particles, and since the space is filled with water, this ends up reducing electrical resistivity

Considering what was mentioned above, electrical resistivity-soil moisture content and electrical resistivity – SPT-N correlations are discussed in the following.

## 5-1- Electrical resistivity-soil moisture content correlation

Figure 7 demonstrates the electrical resistivity-soil moisture content correlation. The data presented in Figures 4 and 5 are used to visualize Figure 7.

According to this figure, electrical resistivity-soil moisture content correlation can be obtained from Equation (3).

$$\rho = 2028.2\omega^{-1.496} \tag{3}$$

In the above relationship,  $\rho$  and  $\omega$  are electrical resistivity and soil moisture content, respectively. The  $R^2 = 0.68$  indicates a moderate correlation. Considering Figure 7, it can be found that, electrical resistivity decreases with increasing the moisture content. This result resembles those of previous studies (Siddiqui and Osman 2013, Jusoh and Osman 2017, Lin et al. 2017). This indicates that, variations of in electrical resistivity of the soil are affected by water, so that the larger the amount of water existing among soil particles, the lower will be the electrical resistivity of the soil. Higher moisture content facilitates conduction of electrical current through movement of ions in pore water (Siddiqui and Osman 2013).



Figure 7. Correlation of electrical resistivity and moisture content of soil.

Table 1 demonstrates the results of Spearman's coefficient test for the electrical resistivity-soil moisture content correlation. The data reported in this table are adapted from Figures 4 and 5. Looking at Table 1, it is evident that  $r_s = -0.6030$ . The negative sign indicates the inverse relationship between the two parameters considered herein (electrical resistivity and moisture content), i.e. an increase in one parameter results in a decrease in the other one. Considering the criteria set in the section on methodology, [rs] = 0.6030 indicates a strong correlation. The low value of *P* is an indication of significance of the obtained correlation, rejecting the null hypothesis.

Figure 8 compares the results of the present research to those of previous research works. Investigating this figure, it is clear that the results obtained in this study fall within the range of those reported in previous research works, particularly those presented by Cosezna et al. (2006), Fallah-safari et al. (2013), Osman et al. (2014), and Lin et al. (2017). The number of data used to investigate the relationship was n = 15. It is worth noting that, the number of data points used in many of the previous works has been around the same number ( $n \le 20$ ) (Cosezna et al. 2006, Kibria and Hossain 2012, Fallah-Safari et al. 2013, Osman et al. 2014).

#### 5-2- Electrical resistivity – SPT-N correlation

Figure 9 shows the electrical resistivity – SPT-N correlation. The data presented in Figures 4 and 5 are used to visualize Figure 9.

According to this figure, electrical resistivity-SPT-N correlation can be obtained from Equation (4).

$$\rho = 15.653e^{0.034N} \tag{4}$$

 
 Table 1. Ranking orders, Spearman's rank correlation, and significance test of the electrical resistivity and moisture content of soil

| Borehole  | Depth<br>(m)  | Resistivity<br>(Ohm.m)   | Rank   | ω (%)  | Rank   |
|---|---|--|--|--|--|
|   | 2   | 30   | 12   | 16   | 6.5  |
|   | Depth<br>(m)         Resistivity<br>(Ohm.m)         Rank           2         30         12           7         28         8           9.5         27         7           BH1         11         23         4.5           12         23         4.5           12         23         4.5           12         23         4.5           14         30         12           15         29         9.5           7         22         2           4.5         22         2           7         22         2           8H5         4         29         9.5           6         25         6           8         30         12           20         53         14           -0.6030           -2.66 | 15.8   | 5  |  |  |
|   | 9.5   | 27   | 7  | 16.4   | 8  |
| BH1   | 11  | 23   | 4.5  | 21.7   | 13.5   |
|   | 12  | 23   | 4.5  | 21.7   | 13.5   |
|   | orehole         Depth<br>(m)         Resistivity<br>(Ohm.m)         Rank         Q $12$ $30$ $12$ $7$ $28$ $8$ $9.5$ $27$ $7$ $7$ $23$ $4.5$ $11$ $23$ $4.5$ $12$ $30$ $12$ $11$ $23$ $4.5$ $12$ $31$ $22$ $14$ $30$ $12$ $31$ $22$ $2$ $3$ $22$ $2$ $4.5$ $22$ $2$ $4.5$ $22$ $2$ $2$ $4.5$ $22$ $2$ $8H5$ $4$ $29$ $9.5$ $6$ $25$ $6$ $8$ $30$ $12$ $20$ $53$ $14$ $-0.6030$ $-0.6030$ $-2.66$ $0.019$ $0.019$  | 20.5   | 12   |  |  |
|   | 15  | 29   | 9.5  | 16.6   | 9  |
|   | 3   | 22   | 2  | 15   | 3  |
| R1<br>ofile         Borehole         Depth<br>(m)         Resistivity<br>(Ohm.m)         Rank           2         30         12           7         28         8           9.5         27         7           11         23         4.5           12         23         4.5           12         23         4.5           14         30         12           15         29         9.5           3         22         2           4.5         22         2           22         148         15           92         BH5 $\frac{4}{29}$ 9.5           6         25         6           8         30         12           20         53         14 $r_s$ -0.6030         14 $r_s$ -0.6030         12 $P$ (Significance)         0.019 | 25.3  | 15   |  |  |  |
| БПЭ   | 7   | 22   | 2  | 19.2   | 11   |
|   | 22  | 148  | 15   | 9.2  | 1  |
|   | 4   | 29   | 9.5  | 16   | 6.5  |
| DUZ   | 6   | 25   | 6  | 17   | 10   |
| DII /   | 8   | 30   | 12   | 15.5   | 4  |
|   | 20  | 53   | 14   | 10   | 2  |
| s   |   | -0.  | 6030   |  |  |
| istic   | -2.66   |  |  |  |  |
| ficance)  |   | 0.   | 019  |  |  |
|   | Borchole<br>BH1<br>BH5<br>BH5<br>BH7<br>s.<br>istic<br>ficance)   | Borehole         Depth<br>(m)           2         7           9.5         9.5           BH1         11           12         14           15         3           4.5         7           22         4           BH5         7           22         4           BH7         6           8         20           istic | Borehole         Depth<br>(m)         Resistivity<br>(Ohm.m)           2         30           7         28           9.5         27           BH1         11         23           12         23           14         30           15         29           3         22           4.5         22           148         4           4         29           6         25           8         30           20         53 $s_{s}$ -0.           ficance)         0. | BoreholeDepth<br>(m)Resistivity<br>(Ohm.m)Rank $I$ 2301272889.5277BH111234.512234.514301215299.532224.522272222214815BH54299.5625683012205314 $s$ -0.6030istic-2.66ficance)0.019 | BoreholeDepth<br>(m)Resistivity<br>(Ohm.m)Rank $\omega$ (%) $I$ 2301216728815.89.527716.4BH111234.521.712234.521.714301220.515299.516.6 $I$ 3222154.5222225.3722219.222148159.2BH54299.5166256178301215.520531410s-0.6030-0.6030istic-2.66-0.019 |



Figure 8. Comparison of the proposed correlation  $(\rho - \omega)$  with previous studies.



Figure 9. Correlation of electrical resistivity and SPT-N of soil.

In the above relationship,  $\rho$  and N are soil electrical resistivity and SPT-N, respectively. The  $R^2 = 0.70$  indicates a strong correlation. Considering Figure 9, it can be found that, electrical resistivity increases with increasing the SPT-N. This result resembles those reported in previous studies (Oh and Sun 2008, Liu et al. 2008, Hatta and Osman 2015, Devi et al. 2017). This indicates that, variations of in electrical resistivity of the soil are related to soil stiffness. Table 2 demonstrates the results of Spearman's coefficient test for the electrical resistivity – SPT-N correlation. The data reported in this table are adapted from Figures 4 and 5.

Looking at Table 2, it is evident that  $r_s = 0.7251$ . This indicates a direct, strong relationship between electrical resistivity and SPT-N. The very low value of *P* is an indication of significance of the obtained correlation, rejecting the null hypothesis.

Table 3 compares the correlations obtained in the present study and those of previous research works. This table shows that, different curve fittings (linear, power, exponential, etc.) have been used in different studies. Since the correlations derived from various studies have different ranges of electrical resistivity, it is not appropriate to present and compare them in form of a figure.

#### 6 - Analysis of shear wave velocity - SPT-N correlation

In order to investigate the correlation between shear wave velocities obtained from ambient noise analysis and SPT-N, the stations in the vicinity of the boreholes were used (St11, St14, St17, St18, St21 and St22).

 
 Table 2. Ranking orders, Spearman's rank correlation, and significance test of the electrical resistivity and SPT-N of soil

| ERT<br>profile   | Borehole       | Depth (m) | Resistivity<br>(Ohm.m) | Rank  | SPT-N | Rank |
|------------------|----------------|-----------|------------------------|-------|-------|------|
|                  | 2.5            | 30        | 10.5                   | 17    | 8     |      |
|                  | 7              | 28        | 7                      | 14    | 3.5   |      |
|                  | 9.5            | 27        | 6                      | 14    | 3.5   |      |
| PI               | BHI            | 11        | 23                     | 4     | 11    | 1    |
|                  | 13.5           | 30        | 10.5                   | 18    | 9     |      |
|                  |                | 14.5      | 29                     | 8.5   | 16    | 6    |
|                  |                | 2.5       | 22                     | 2     | 19    | 10   |
|                  |                | 4         | 22                     | 2     | 50    | 16.5 |
|                  |                | 6         | 22                     | 2     | 16    | 6    |
|                  |                | 8.5       | 88                     | 17    | 50    | 16.5 |
| P2 BH5           | 11             | 148       | 20                     | 50    | 16.5  |      |
|                  | 14.4           | 148       | 20                     | 50    | 16.5  |      |
|                  | 22             | 148       | 20                     | 50    | 16.5  |      |
|                  | 23             | 148       | 20                     | 50    | 16.5  |      |
|                  | 24             | 148       | 20                     | 50    | 16.5  |      |
| P2 BH7           | 3              | 29        | 8.5                    | 16    | 6     |      |
|                  | 6              | 25        | 5                      | 12    | 2     |      |
|                  | 10             | 38        | 12                     | 50    | 16.5  |      |
|                  | BH7            | 12.5      | 53                     | 14.5  | 50    | 16.5 |
|                  |                | 14        | 53                     | 14.5  | 50    | 16.5 |
|                  |                | 16        | 53                     | 14.5  | 50    | 16.5 |
|                  |                | 18        | 53                     | 14.5  | 50    | 16.5 |
|                  | r <sub>s</sub> |           | 0                      | .7251 |       |      |
| t st             | atistic        | 4.71      |                        |       |       |      |
| P (Significance) |                | 0.000067  |                        |       |       |      |

Table 3. Comparison of the proposed correlation (p-SPT-N) with previous studies

Table 4. Ranking orders, Spearman's rank correlation, and significance test of the shear wave velocity and SPT-N of soil

| Researcher             | correlation                                     | Number of data | $\mathbb{R}^2$ |
|------------------------|---|----------------|----------------|
| Baraga et al. (1999)   | $N = \left(\frac{\rho}{6839.72}\right)^{-0.70}$ | 49             | 0.70           |
| Oh and Sun (2008)      | $\rho = 18.509N$                                | 22             | 0.47           |
| Liu et al. (2008)      | $N = 2.3\rho + 2.7$                             | 13             | 0.82           |
| Hatta and Osman (2015) | $\rho = 20.942N + 281.56$                       | 11             | 0.90           |
| Devi et al. (2017)     | $\rho = 136.19 N^{-1304.83}$                    | 5              | 0.87           |
| This study             | $\rho = 15.653e^{0.034N}$                       | 22             | 0.70           |

Figure 10 shows the correlation between shear wave velocities obtained from ambient noise analysis and SPT-N. The data presented in Figures 4 and 6 are used to visualize Figure 10. According to this figure, correlation between shear wave velocities obtained from ambient noise analysis and SPT-N can be obtained from Equation (5).

$$V = 36.592 N^{0.6787} \tag{5}$$

In the above relationship,  $V_x$  and N are shear wave velocity and SPT-N, respectively. The  $R^2 = 0.75$  indicates a strong correlation. Considering Figure 10, it can be found that, shear wave velocity increases exponentially with increasing the SPT-N. This result resembles those reported in previous studies (Anbazhagan et al. 2016, Salinas-Jasso et al. 2017).



Figure 10. Correlation of shear wave velocity and SPT-N of soil.

Shear wave velocity depends on soil stiffness and shear modulus (Gautam 2017). Therefore, SPT-N, which is an indication of soil stiffness, is directly related to shear wave velocity. Table 4 demonstrates the results of Spearman's coefficient test for the correlation between shear wave velocities obtained from ambient noise analysis and SPT-N. The data reported in this table are adapted from Figures 4 and 6.

Looking at Table 4, it is evident that  $r_s = 0.8230$ . This indicates a direct, very strong relationship between shear wave velocity and SPT-N. The very low value of *P* is an indication of significance of the obtained correlation, rejecting the null hypothesis.

In Figure 11, result of this study is compared to those of previous studies. The references used in Figure 11 are adapted from Lopes et al. (2014), Kirar et al. (2016), Anbazhagan et al. (2016), Gautam (2017), Thokchom et al. (2017), Sil and Haloi (2017) and Salinas-Jasso et al. (2017).

| Ambient noise<br>station | Borehole | Depth<br>(m) | Vs<br>(m/s) | Rank     | SPT-N | Rank |
|--------------------------|----------|--------------|-------------|----------|-------|------|
|                          |          | 2.5          | 219         | 9.5      | 17    | 12   |
|                          |          | 7            | 219         | 9.5      | 14    | 5    |
| S+14                     | DIII     | 9.5          | 219         | 9.5      | 14    | 5    |
| 5114                     | БПІ      | 11           | 219         | 9.5      | 11    | 2    |
|                          |          | 13.5         | 251         | 15.5     | 18    | 13.5 |
|                          |          | 14.5         | 251         | 15.5     | 16    | 9.5  |
|                          |          | 3            | 315         | 19.5     | 50    | 32   |
|                          |          | 5            | 315         | 19.5     | 20    | 17   |
|                          | BH2      | 11           | 468         | 29       | 50    | 32   |
| St11                     |          | 12           | 216         | 6        | 20    | 17   |
|                          |          | 15           | 216         | 6        | 16    | 9.5  |
|                          |          | 17           | 216         | 6        | 23    | 19   |
|                          |          | 20           | 496         | 30       | 50    | 32   |
|                          |          | 2            | 180         | 2.5      | 14    | 5    |
| St18                     | BH4      | 3.5          | 155         | 1        | 5     | 1    |
|                          |          | 7            | 430         | 23.5     | 50    | 32   |
|                          |          | 9            | 430         | 23.5     | 50    | 32   |
|                          |          | 2.5          | 261         | 17       | 19    | 15   |
|                          |          | 4            | 334         | 21.5     | 50    | 32   |
|                          |          | 6            | 334         | 21.5     | 16    | 9.5  |
|                          |          | 8.5          | 444         | 27.5     | 50    | 32   |
| St21                     | BH5      | 11           | 444         | 27.5     | 50    | 32   |
|                          |          | 14.4         | 602         | 33.5     | 50    | 32   |
|                          |          | 22           | 602         | 33.5     | 50    | 32   |
|                          |          | 23           | 732         | 43.5     | 50    | 32   |
|                          |          | 24           | 732         | 43.5     | 50    | 32   |
|                          |          | 1.5          | 224         | 13       | 20    | 17   |
|                          | BH6      | 3            | 224         | 13       | 50    | 32   |
|                          |          | 6            | 224         | 13       | 18    | 13.5 |
|                          |          | 8            | 573         | 31.5     | 50    | 32   |
| ~                        |          | 9            | 573         | 31.5     | 50    | 32   |
| St17                     |          | 11           | 281         | 18       | 15    | 7    |
|                          |          | 16           | 636         | 36       | 50    | 32   |
|                          |          | 18           | 664         | 37.5     | 50    | 32   |
|                          |          | 20           | 693         | 41       | 50    | 32   |
|                          |          | 22           | 693         | 41       | 50    | 32   |
|                          | BH7      | 24           | 693         | 41       | 50    | 32   |
| St22                     |          | 3            | 195         | 4        | 16    | 9.5  |
|                          |          | 6            | 180         | 2.5      | 12    | 3    |
|                          |          | 10           | 432         | 25.5     | 50    | 32   |
|                          |          | 12.5         | 432         | 25.5     | 50    | 32   |
|                          |          | 14           | 624         | 35       | 50    | 32   |
|                          |          | 16           | 664         | 37.5     | 50    | 32   |
|                          |          | 18           | 686         | 39       | 50    | 32   |
| <i>r</i>                 |          |              |             | 0.8230   |       |      |
|                          | 10       |              |             | 9.39     |       |      |
| P (Signific              | ance)    |              | <(          | 0.000001 |       |      |

Considering this figure, it becomes clear that, results of the present research fall within the range of the results of previous studies. Relatively high shear wave velocity at high SPT-N values is a result of the presence of shale, marl, and sandstone layers across the study area (Figure 3). Shear wave velocities of shale, marl, and sandstone were in good agreement with the results of previous studies (Ahmad 1989, Hiltunen 2005).



Figure 11. Comparison of the proposed correlation (Vs-SPT-N) with previous studies.

#### 7 - Discussion

Investigation of the results obtained in this study shows correlations of different strengths between geotechnical and geophysical parameters. The correlation strength was evaluated based on  $R^2$  and  $r_s$  values. It should be noted that,  $r_s$  is by no means equivalent to  $R^2$ . Indeed,  $R^2$  is not even directly related to  $r_s$ .

 $r_s$  is a non-parametric measure of statistical dependence between two variables.  $R^2$  is used as a measure of success of predicting the dependent variable from the independent variables. For two related samples, there is only one  $r_s$  value, while there are different  $R^2$  values in different relationships (function model) (Lin et al. 2017).

Moreover, Spearman's coefficient test investigates null hypothesis (using *P* value), and this enhances reliability of the solutions obtained from this method. In this research, the strengths of the obtained correlations evaluated using least square regression analyses and Spearman's coefficient test were almost the same; this verifies the obtained results. Table 5 presents the results of all correlations considered in this study. As was mentioned before, details of the results of the parameters for which either very weak correlations were obtained (liquid limit, plastic limit, plasticity index, unit weight and specific gravity) or the numbers of data points were too low (coefficient of permeability) were not presented.

Geophysical investigations performed in this study were less costly and of better coverage than the geotechnical investigations. Due to steep slope of the study area (30%), boring equipment were impossible to transport to upstream of the study area, while ambient noise measurements could be conveniently performed in those areas. Using the shear wave velocity profile obtained from the ambient noise measurements and adopting the presented correlations in this study, one can extract soil properties within the study area. The obtained data have a wide spectrum of applications in soil classification, numerical analysis of slope stability, and presentation of solutions for soil improvement and stabilization across the study area.

#### Conclusions

In this study, results of ambient noise measurements and ERT survey along with borehole data were used to investigate the correlation between geotechnical and geophysical parameters within Nargeschal landslide area. H/V spectral ratio was calculated using ambient noise measurement and analysis via HVNR method. The H/V ratio is related to ellipticity of Rayleigh waves. Using the ellipticity of Rayleigh waves and inversion process, shear wave velocities were obtained. ERT surveys were conducted using Wenner-Schlumberger array, and electrical resistivity of soil layers was obtained using quasi-Newton optimization technique and inversion

 Table 5. Correlations between geotechnical and geophysical parameters across

 Nargeschal landslide area

| Parameters             | Correlation                     | $R^2$ | <b>r</b> <sub>s</sub> |
|------------------------|---------------------------------|-------|-----------------------|
| ho - SPT-N             | $\rho = 15.653e^{0.034N}$       | 0.70  | 0.7251                |
| ρ-ω                    | $\rho = 2028.2\omega^{-1.496}$  | 0.68  | -0.6030               |
| V <sub>s</sub> - SPT-N | $V_s = 36.592 N^{0.6787}$       | 0.75  | 0.8230                |
| ρ - LL                 | $\rho = 253.73LL^{0.527}$       | 0.06  | -0.2177               |
| ρ - <i>PL</i>          | $\rho = 100.46 L L^{-0.292}$    | 0.06  | -0.2575               |
| ρ - ΡΙ                 | $\rho = 13.15 P L^{0.4865}$     | 0.05  | 0.2207                |
| ρ-γ                    | $\rho = 7.1182e^{0.8448\gamma}$ | 0.09  | 0.2961                |
| ρ - Gs                 | $\rho = 0.00006 G s^{13.718}$   | 0.16  | 0.4108                |
| ρ - k                  | $\rho = 14.104k^{-0.047}$       | 0.87  | -                     |

process. Moreover, field tests and laboratory experiments (e.g. SPT, particle size analysis, specific gravity, unit weight, density, Atterberg units, moisture content, and permeability) were used to compute geotechnical parameters of the soil.

In order to investigate the correlation between geotechnical and geophysical parameters, two methods were used, namely Spearman's coefficient test and least square regression analysis. The results obtained from the two methods are in good agreement with one another and fell in the range of previous studies. This verifies the obtained correlations in this study. Investigations indicated very weak to weak correlations between electrical resistivity and liquid limit, plastic limit, plasticity index, specific gravity, and unit weight.  $R^2$  and [r] values obtained for the correlations between electrical resistivity and the mentioned geotechnical parameters were in the ranges of 0.05 - 0.2 and 0.21 - 0.41, respectively. Permeability coefficient was found to be strongly correlated ( $R^2 = 0.87$ ) to electrical resistivity, so that an increase in permeability coefficient lowers electrical resistivity, due to the presence of water in pore space among the soil particles. Soil moisture content exhibited moderate ( $R^2 = 0.68$ ) to strong (r = -0.6030) correlation to electrical resistivity. This shows large effect of ground water on electrical resistivity. SPT-N exhibited strong ( $R^2 = 0.70$ ), ( $r_s = 0.7251$ ) correlation to electrical resistivity. This shows that variations of electrical resistivity of soil are directly related to soil stiffness. Furthermore, SPT-N exhibited strong ( $R^2 = 0.75$ ) to very strong ( $r_s = 0.8230$ ) correlation to shear wave velocity. This is a result of the direct relationship between shear wave velocity and soil stiffness  $(G_{max} = \rho V_s^2)$ .

At areas where transporting boring equipment is not practical, the obtained correlations in this research can be used along with geophysical parameters to estimate mechanical and seismic properties of soil. These mechanical and seismic characteristics are largely applied in numerical analysis of slope stability and presentation of solutions for soil improvement and stabilization across the study area.

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