

Nonsurgical Options for the Treatment of Basal Cell Carcinoma

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ABSTRACT Objectives: The aim of this review article is to summarize the effectiveness, potential adverse events, and indications of the main nonsurgical treatment alternatives for basal cell carcinoma.

Methods: An extensive literature review was carried out. The most relevant articles were discussed and selected by the authors in order to provide a brief but evidence-based overview of the most common nonsurgical methods used for treating basal cell carcinoma.

Results: Although surgery and Mohs micrographic surgery are often considered the optimal treatment options for basal cell carcinoma, these tumors can also be treated successfully with destructive techniques (eg, curettage alone, cryosurgery, or electrodesiccation), photodynamic therapy, topical drugs (eg, 5-fluorouracil, imiquimod, or ingenol mebutate), radiotherapy, or hedgehog pathway inhibitors. When choosing between these alternatives, physicians must take into consideration the tumor's size, location, and histopathological subtype. Special care should be taken when treating recurrent tumors. Furthermore, physician experience is of great importance when using destructive techniques. Finally, patient preference, potential adverse events, and cosmetic outcome should also be considered.

Conclusions: Dermatologists and physicians treating basal cell carcinoma should have knowledge of and experience with the large arsenal of therapeutic alternatives available for the successful, safe, and individualized management of patients with basal cell carcinoma.

Introduction

Basal cell carcinoma (BCC) is by far the most common cancer type in humans [1]. In Europe, reports show BCC incidence rates between 77 and 158 per 100,000 person-years age-standardized to the European standard population [1]. Exposure from ultraviolet radiation is believed to be the main risk factor for developing BCCs. This belief is supported by the fact that the majority of BCCs are found on sun-exposed body parts in middle-aged to elderly people [1,2]. The exact

origin of BCC is not established, but they are considered to arise from keratinocyte cells located in the basal layer and in the dermo-epidermal junction zone [3].

The World Health Organization classification of BCC includes superficial, nodular, micronodular, and infiltrating basal cell carcinoma. Moreover, the group includes fibroepithelial basal cell carcinoma with adnexal differentiation, basosquamous carcinoma, and keratotic basal cell carcinoma [4].

The infiltrative, morpheaform, and micronodular subtypes grow in a more aggressive way, whereas superficial and nodular subtypes generally have a less aggressive course and generally respond better to treatment [5].

Clinically, dermoscopy can assist physicians in the correct diagnosis of BCC, including the histopathological subtype, with relatively high diagnostic accuracy [6-8]. The first articles on BCC focused on pigmented BCCs showing a lack of pigment network in combination with blue-gray ovoid nests, multiple blue-gray dots/globules, leaf-like areas, spoke wheel/concentric areas, ulceration, and/or arborizing vessels [6,7,9]. In nodular BCC (nBCC), arborizing vessels are a common finding, sometimes together with ulceration [10]. Under polarized light, shiny white lines can also be found [11]. Superficial BCCs (sBCCs) characteristically show fine, short telangiectasias on a whitish-red background and multiple erosions [7,8,12]. The dermoscopic findings in more aggressive forms of BCC are less well studied. In infiltrative BCCs, arborizing vessels are usually thinner than in nBCCs. Other findings include white-red structureless areas and ulceration. The morpheaform variant is often feature-poor, even when using dermoscopy. A white background can often be seen, sometimes together with a smaller number of thin arborizing vessels [13].

During the latest decades, insights into genetic and molecular changes behind the origin of BCC have increased. These understandings have to some extent been derived from studying patients with different genetic syndromes predisposing them to BCC development (eg, Gorlin syndrome) who have a higher risk of developing BCCs [14]. Individuals with Gorlin syndrome develop multiple BCCs starting at an early age and the responsible mutation lies in the Hedgehog (Hh) receptor Patched 1 (*PTCH1*) gene that mediates Sonic Hh signaling. Research has reported abnormal function and mutations in *PTCH1* in up to 90% of BCCs, making it a target for drug development [3].

With regard to the management of BCC, surgery (including Mohs micrographic surgery) is considered the gold standard [1,15,16]. Nevertheless, with the rising number of patients with BCC, increasing health care costs, and the lack of access to dermatologists in many countries, nonsurgical options may be considered. Many low-risk tumors can be successfully managed with destructive methods, photodynamic therapy (PDT), or topical medications, while advanced or inoperable BCCs may benefit from radiotherapy or hedgehog pathway inhibitors (HPIs) [1,16,17].

The aim of this review is to summarize the effectiveness, potential adverse events, and indications of the main nonsurgical treatment alternatives for BCC.

Destructive Methods

Curettage Alone

BCCs amenable to treatment with curettage alone are primary tumors with a superficial or nodular growth pattern with well-defined borders not involving the free margin of the eyelid, mucosal lip, or subcutaneous fat. For nBCCs, the size is recommended to be <6 mm in high-risk areas, and below 20 mm elsewhere. The few studies on curettage alone were carried out many years ago and did not use a unifying technique. In some countries, including the USA, a shave biopsy is performed first, removing the tumor almost completely prior to curettage. In other countries, including Sweden, curettage is performed directly, removing the entire tumor with the curette. Nevertheless, 3 retrospective studies have reported similar clearance rates of 89.9%-96.0% after 5 years of follow-up. Better cosmesis with minimal hypopigmentation or scarring was observed in patients treated with curettage alone compared with those treated with curettage and electrodesiccation (C&ED) [18-20].

Curettage and Electrodesiccation

Although C&ED has been used for decades as a simple and easily performed therapy for low-risk BCCs, there is a lack of randomized controlled studies on the method. Several retrospective studies show its effectiveness for correctly selected lesions (93.0%-96.9% clearance rates after 5 years of followup), but many studies fail to provide precise descriptions on the materials and technical protocols that are used [21,22]. In the latest American Academy of Dermatology guidelines, C&ED is one of the recommended treatment options for carefully selected low-risk primary lesions [16]. In terminal hair-bearing skin, with a potential follicular extension of the tumor (scalp, pubic, and axillary regions as well as the beard area in men), C&ED is considered less effective [17]. The effectiveness depends heavily on the physician's skills and technique, and therefore proper training is important [23,24]. Furthermore, the cosmetic outcome is regarded as inferior compared with standard excision and therefore it is best avoided in cosmetically sensitive areas [25].

Cryotherapy

Cryotherapy with liquid nitrogen (-196.5°C) has been used to treat nonmelanoma skin cancer since the early 1960s [26]. It is a well-established treatment method for small, well-defined primary BCCs without sclerosing or infiltrative growth patterns. Location below the knee is a relative contraindication because of prolonged wound healing [27]. Large treatment series have shown clearance rates of 97%-99% after at least 5 years of follow-up [28,29]. As for C&ED, there are few prospective randomized trials on cryotherapy for BCCs and different techniques and protocols are used, making comparisons difficult. Most studies on cryotherapy involve prior curettage. Curettage is regarded as a help in delineating the lateral extension and depth of the tumor and also to diminish the amount of tumor mass that has to be sloughed off during the healing process following cryotherapy.

Three prospective studies using a standardized curettage and cryotherapy protocol have reported very high clearance rates of >98% for BCCs located in the face and scalp area, especially on the nose and ear [29-31]. The protocol in all 3 studies involves curettage followed by a double freeze-thaw cycle. Thorough curettage with different sized curettes is first used to debulk the tumor and to identify the lateral and deep extension. Following hemostasis with a 50% iron chloride solution, a 3-mm thick, open and appropriately sized neoprene cone is placed around the ulcerated area. Subsequently, freezing takes place with maximal continuous liquid nitrogen spray using a hand-held Cry-Ac (Brymill Corp., Ellington, CT, USA) through a size B nozzle, held horizontally and at a distance of approximately 1 cm while moved in circular motions over the treatment area. Freezing is interrupted when the lateral spread of freeze (halo) has reached a minimum of 5 mm outside the tumor border or 1.0-1.5 mm outside the neoprene cone. The thaw time of the halo should last at least 60 seconds. After complete thawing, the freeze-thaw cycle is repeated.

Cryotherapy in a double freeze-thaw cycle as described above but without previous curettage has also shown excellent clearance rates for well-defined BCCs of the eyelids with a 10-year recurrence rate of <0.5% [32].

Photodynamic Therapy

PDT is an alternative approach to treat superficial nonmelanoma skin cancer, including BCC [33]. PDT for BCC is often well tolerated, but pain and/or a burning sensation is often experienced during the illumination phase [34]. After PDT, localized erythema and edema are common, followed by the formation of erosions and crusts with healing over a period of 2-6 weeks [1]. PDT is considered an interesting alternative treatment because it is noninvasive, it targets neoplastic cells selectively, it is cost-effective, and it offers a good cosmetic outcome [35,36].

There are many different treatment protocols for PDT and results vary depending on the BCC subtype [36-42], prior curettage [36,38,40,41,43], and number of PDT sessions

[37,44], while the photosensitizing prodrug used does not seem to influence the outcome [45,46]. The histopathological subtype influences the result of PDT as pigmented, morphoeic, and infiltrative BCCs are more resistant to treatment. The clearance rates following PDT for sBCCs range from 76% to 82% in several studies [37,38,42], but could be as low as 58% [47]. The success rate is slightly lower for nBCCs in general (53%-76%) [36,39-41]. Debulking nBCCs with curettage prior to PDT provides long-term clearance rates of 62%-73% [36,41,43] compared with light curettage without debulking, which provides more varying clearance rates of 33%-76% [38,40]. Fewer recurrences have been observed when patients with nonaggressive BCCs receive PDT in 2 sessions (91%) compared with only 1 session of PDT (68%) with a follow-up of 6 years [48]. Three randomized controlled trials have compared the efficacy of aminolevulinic acid PDT and methyl aminolevulinate (MAL) PDT for BCCs, showing comparable effectiveness [45,46,49].

Modifications to PDT have been tested to decrease the recurrence rate for BCC. Daylight PDT may provide similar clearance rates compared with conventional PDT, but with less pain [50]. However, larger studies with longer follow-up times are needed to confirm its effectiveness. Treating BCCs with a fractional laser prior to PDT may improve the depth of penetration of the prodrug and has shown lower recurrence rates than conventional PDT for high-risk BCCs (19% vs 44%) [51].

A meta-analysis by Wang et al showed no significant differences in recurrence rates when comparing PDT to either cryotherapy or pharmacological treatment. However, surgery proved to have significantly lower risk of failure when compared with PDT. The same analysis showed a "good to excellent" cosmetic result comparing PDT to surgery or cryotherapy, but no significant differences when comparing it to other medical treatments [52].

Topical Medication

5-Fluorouracil

Topical 5-fluorouracil (5-FU) 5% inhibits DNA synthesis and was the first topical therapy approved for the treatment of sBCC [53]. However, compared with PDT and imiquimod, fewer well-designed studies evaluating this indication have been published [47,54]. In a prospective, single-arm, clinical study, 29 patients with 31 histopathologically confirmed sBCCs were treated with 5-FU applied twice daily for up to 12 weeks. The lesions were excised 3 weeks after treatment with a histopathological clearance rate of 90% [53]. A single-blind, noninferiority, randomized controlled trial with 601 histopathologically confirmed sBCCs compared the use of PDT (2 sessions with an interval of 1 week) with topical imiquimod (once daily, 5 times/week for 6 weeks) and topical 5-FU (twice daily for 4 weeks). In this study, topical 5-FU was noninferior to PDT and imiquimod was superior to PDT. Furthermore, topical 5-FU and imiquimod were more often associated with mild to moderate adverse events in the treatment area than was PDT [55]. A 5-year follow-up on the study recently declared the probability of tumor-free survival to be 62.7% for PDT, 80.5% for imiquimod, and 70.0% for 5-FU [56]. In summary, 5-FU is approved for treatment of sBCC, appears to be noninferior to PDT, and is generally well tolerated. Therefore, it can be considered a therapeutic option in the treatment of sBCC.

Imiquimod

Imiquimod works as an immunomodulator by activating Toll-like receptor 7, resulting in stimulation of dendritic cells residing in both the epidermis and dermis to attract natural killer cells and produce cytokines via proliferation of B lymphocytes. The treatment regimen is classically topical imiquimod 5% applied once daily, 5 days per week for 6 weeks in sBCC and 12 weeks in nBCC.

Jansen et al recently presented 1-, 3-, and 5-year efficacy data on imiquimod in more than 100 histopathologically confirmed sBCCs. After 1 year, 83.5% of the sBCCs were successfully treated and at 5 years 80.5% still showed no recurrences [56]. Similar findings were made by Bath-Hextall et al, who treated 254 BCC lesions (both superficial and nodular) with imiquimod with clearance rates of 85.1% for sBCCs and 81.8% for nBCCs at 3 years [57]. Williams et al later presented 5-year results from the same cohort with an 83.8% success rate for sBCC and 81.1% for nBCCs [58]. In these 2 studies, the recurrences were based on clinical assessment and were not histopathologically confirmed.

With regard to aesthetic outcome, Arits et al reported in a large randomized controlled trial that imiquimod and MAL-PDT have similar results with good to excellent outcomes in 61.4% and 62.4% of the cases, respectively [55]. A cost-effectiveness study on topical treatments for BCC show that imiquimod and 5-FU are superior to MAL-PDT at 12 months but longer follow-up time is needed to assess the costeffectiveness between imiquimod and 5-FU [59].

When compared with MAL-PDT for sBCCs, imiquimod is known to be superior in general but a subgroup analysis performed by Roozeboom et al in a randomized controlled trial with 200 lesions in each treatment arm revealed that PDT might outperform imiquimod. Also, in patients over 60 years of age with sBCC on the lower extremities, MAL-PDT was significantly more effective than imiquimod at 1-year follow-up [47].

Ingenol Mebutate

Ingenol mebutate (IM) gel is a topical agent derived from sap from the plant *Euphorbia peplus*, which is approved for

treatment of actinic keratosis through induction of primary necrosis of tumor cells, and neutrophil-mediated, antibodydependent cellular cytotoxicity of residual malignant cells [60]. IM comes in 2 concentrations: 0.015% for the face and 0.05% for the trunk. Recently, several case reports of successful off-label treatment of sBCC with topical IM have been reported [61-65]. In a retrospective study, including 7 patients with sBCC treated with IM gel 0.05% once daily for 2-7 days, there were no clinical signs of recurrence after 2-14 months [66]. A phase IIa study including 60 patients with safety as the primary endpoint evaluated the treatment of sBCC with IM at concentrations of 0.01/0.025/0.05% applied for 2 consecutive days or on day 1 and 8. The incidence of adverse events was generally low. The efficacy (secondary endpoint) appeared to be dose-related with up to 63% histopathological clearance with the highest dosage after 12 weeks [60]. Another phase I/II clinical study including 28 BCCs (6 nBCCs and 22 sBCCs), which were unresponsive to earlier treatment or unsuitable for surgery, treated with sap from E peplus once daily for 3 days, showed complete clinical clearance in 82% after 1 month and 57% after 2-31 months, while sBCCs smaller than 16 mm (n = 9) had a 78% clearance after a mean follow-up of 15 months [67]. For sBCCs unsuitable for conventional treatment, IM can offer an alternative treatment strategy in selected patients, but close follow-up is advised.

Radiotherapy

The vast majority of BCCs are treated with surgery or the destructive methods listed above. Although rare, some BCCs are neglected and/or are inaccessible to standard treatments. In these rare cases, radiotherapy (RT) may be considered. Patients unwilling to undergo surgery or with significant comorbidities might also be candidates for this treatment option. There are 3 different radiation modalities: low-energy X-rays, brachytherapy, and high-energy RT. Treatment success is generally 90%, which is in line with surgical treatment [68]. However, only a few clinical trials have been conducted, and there is no consensus on optimal treatment duration and which radiation modality should be selected [69]. RT is given in fractions of 30-70 Gy over 2-7 weeks, which requires multiple visits to a specialized clinic. A prospective randomized trial selecting patients for surgery or RT with a subrandomization to long and short RT would be helpful to clarify which radiation modality is superior with regard to recurrence rates. Due to long-term consequences such as radiodermatitis and the risk of secondary malignancies, mainly patients over 60 years should be considered for RT. In a Canadian study, the cost of managing high-risk facial BCCs with RT was higher than that of Mohs micrographic surgery [70]. Moreover, prior to initiation, a multidisciplinary decision with an experienced radiation oncologist and thorough patient information is mandatory. Finally, recurring BCC after RT is a surgical challenge and this should be carefully considered. ence with the techniques; the expected adverse events; patient preference; and cosmetic outcome.

Hedgehog Pathway Inhibitors

HPIs have emerged as an important treatment option for locally advanced BCC or in the rare event of metastatic BCC [71]. There are 2 commercially available HPIs: vismodegib and sonidegib. In a systematic review investigating vismodegib, 65% of patients with locally advanced BCC experienced complete or partial response, whereas 31% had a complete response rate. The corresponding numbers for metastatic BCC were 34% and 4%, respectively. However, among 803 patients, 31% discontinued the treatment because of side effects [72]. Muscle spasms, dysgeusia, fatigue, weight loss, and alopecia are common. In addition, treatment with HPI is associated with high costs (approximately €4500/month).

Neoadjuvant treatment with HPIs prior to surgery for selected cases has been evaluated with positive results [73], but prospective randomized controlled trials are lacking. When selecting this treatment option there is risk for multifocal remaining disease, which might complicate surgery. Although there is no consensus, concurrent treatment with RT and HPIs may be evaluated in selected cases [74].

Conclusions

This review shows that several effective nonsurgical therapeutic alternatives exist for the successful, safe, and individualized management of BCC. Physicians managing patients with BCCs need to be aware of the indications, effectiveness, and potential adverse events of this wide range of treatment methods so that they are able to select the most adequate method for each patient and clinical scenario. Physicians also need specific training in each method in order to reproduce the same clinical outcome seen in the aforementioned studies. Furthermore, physicians should be aware of the lack of randomized controlled trials for many of the nonsurgical procedures mentioned, even though they are regularly carried out by the dermatological community.

More extensive guideline documents for the general management of BCCs have been published by associations such as the European Dermatology Forum [1], the American Academy of Dermatology [16], and the National Comprehensive Cancer Network [17], and this review does not intend to substitute any of them. However, the techniques mentioned herein can provide excellent results for the ever-growing number of patients who can benefit from nonsurgical approaches to managing BCC as long as the treating physician takes into account tumor size, location, and histopathological subtype; whether the tumor is primary or recurrent; their own experi-

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