

## Resonances and SSF Singularities for Magnetic Schrödinger Operators

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### ABSTRACT

The aim of this note is to review recent articles on the spectral properties of magnetic Schrödinger operators. We consider  $H_0$ , a 3D Schrödinger operator with constant magnetic field, and  $\tilde{H}_0$ , a perturbation of  $H_0$  by an electric potential which depends only on the variable along the magnetic field. Let  $H$  (resp.  $\tilde{H}$ ) be a short range perturbation of  $H_0$  (resp. of  $\tilde{H}_0$ ). In the case of  $(H, H_0)$ , we study the local singularities of the Krein spectral shift function (SSF) and the distribution of the resonances of  $H$  near the Landau levels which play the role of spectral thresholds. In the case of  $(\tilde{H}, \tilde{H}_0)$ , we study similar problems near the eigenvalues of  $\tilde{H}_0$  of infinite multiplicity.

## RESUMEN

El objetivo de esta nota es reseñar artículos recientes sobre las propiedades espectrales de operadores de Schrödinger magnéticos. Consideramos  $H_0$ , el operador tridimensional de Schrödinger con campo magnético constante, y  $\tilde{H}_0$ , perturbación de  $H_0$  por un potencial eléctrico que depende sólo de la variable a lo largo del campo magnético. Sea  $H$ , respectivamente  $\tilde{H}$ , perturbación de corto alcance de  $H_0$ , respectivamente  $\tilde{\tilde{H}}_0$ . En el caso del par  $(H, H_0)$ , estudiamos las singularidades locales de la función de corrimiento espectral (SSF) de Krein y la distribución de las resonancias de  $H$  cerca de los niveles de Landau que tienen el papel de umbrales espectrales. En el caso del par  $(\tilde{H}, \tilde{\tilde{H}}_0)$ , investigamos problemas similares cerca de los valores propios de multiplicidad infinita de  $\tilde{H}_0$ .

**Key words and phrases:** *Magnetic Schrödinger operators, resonances, spectral shift function.*

**Math. Subj. Class.:** *35P25, 35J10, 47F05, 81Q10.*

## 1 Introduction

Let

$$H_0 := (-i\nabla - A)^2 - b,$$

be the (shifted) 3D Schrödinger operator with constant magnetic field  $\mathbf{B} = (0, 0, b)$ ,  $b > 0$ , self-adjoint in  $L^2(\mathbb{R}^3)$ , and essentially self-adjoint on  $C_0^\infty(\mathbb{R}^3)$ . Here  $A = (-\frac{bx_2}{2}, \frac{bx_1}{2}, 0)$  is a magnetic potential generating the magnetic field  $\mathbf{B} = \text{curl} A$ . It is well-known that the spectrum of  $H_0$  is absolutely continuous and coincides with the interval  $[0, \infty)$ , i.e.

$$\sigma(H_0) = \sigma_{\text{ac}}(H_0) = [0, \infty).$$

Moreover, *the Landau levels*  $2bq$ ,  $q \in \mathbb{Z}_+ := \{0, 1, 2, \dots\}$ , play the role of thresholds in  $\sigma(H_0)$  (see [10, 15, 3] and Section 3 below).

For  $x = (x_1, x_2, x_3) \in \mathbb{R}^3$  we write  $x = (X_\perp, x_3)$  where  $X_\perp := (x_1, x_2) \in \mathbb{R}^2$  are the variables on the plane perpendicular to the magnetic field  $\mathbf{B}$ .

Further, let  $V : \mathbb{R}^3 \rightarrow \mathbb{R}$  be the electric potential. Throughout the article, we assume that  $V \in L^\infty(\mathbb{R}^3) \cap C(\mathbb{R}^3)$ , and  $V$  does not vanish identically. Moreover, we will suppose that  $V$  satisfies one of the following decay assumptions:

$$|V(x)| = \mathcal{O}(\langle X_\perp \rangle^{-m_\perp} \langle x_3 \rangle^{-m_3}), \quad m_\perp > 2, \quad m_3 > 1, \quad x = (X_\perp, x_3) \in \mathbb{R}^3, \quad (1.1)$$

$$|V(x)| = \mathcal{O}(\langle x \rangle^{-m_0}), \quad m_0 > 3, \quad x \in \mathbb{R}^3, \quad (1.2)$$

$$|V(x)| = \mathcal{O}(\langle X_\perp \rangle^{-m_\perp} \exp(-N|x_3|)), \quad m_\perp > 2, \quad \forall N > 0, \quad x = (X_\perp, x_3) \in \mathbb{R}^3. \quad (1.3)$$

Note that each of the conditions (1.2) and (1.3) implies (1.1).

On the domain of  $H_0$  define the perturbed operator

$$H := H_0 + V.$$

We introduce the Krein spectral shift function (SSF)  $\xi(\cdot; H, H_0)$  for the operator pair  $(H, H_0)$  (see Section 2 for its definition), and study its behavior near the Landau levels. In Theorem 4.1 we show that at least in the case of  $V$  of constant sign, the SSF  $\xi(\cdot; H, H_0)$  has a singularity at each Landau levels, i.e. it either blows up to  $+\infty$  if  $V \geq 0$ , or blows down to  $-\infty$  if  $V \leq 0$ , as the energy approaches the Landau level in an appropriate manner. The singularities of  $\xi(\cdot; H, H_0)$  are described in the terms of the spectral characteristics of compact operators of Berezin-Toeplitz type, studied in Section 3.

One of the possible explanation of the singularities of the SSF  $\xi(\cdot; H, H_0)$  is the accumulation of resonances of  $H$  to the Landau levels. In Section 5 we define the resonances of  $H$  as the poles of an appropriate meromorphic extension of its resolvent, and in Theorem 5.1 we obtain upper and lower estimates of the number of the resonances in a vicinity of a given Landau level. The lower bound confirms our conjecture that the singularities of the SSF at the Landau levels and the accumulation of the resonances of  $H$  to these levels, are intimately related.

Further, in Section 6 we introduce a modified operator pair  $(\tilde{H}, \tilde{H}_0)$ . Here  $\tilde{H}_0 := H_0 + v_0$  where  $v_0 = v_0(x_3)$  decays fast enough at infinity, while  $\tilde{H} := \tilde{H}_0 + V$  where  $V$  satisfies (1.1). The remarkable property of the operator  $\tilde{H}_0$  is that, under appropriate assumption on  $v_0$ , it has infinitely many eigenvalues of infinite multiplicity, most of which are embedded in the continuous spectrum. We study the asymptotic behavior of the SSF  $\xi(\cdot; \tilde{H}, \tilde{H}_0)$  near these eigenvalues of  $\tilde{H}_0$  of infinite multiplicity. Finally, in Section 7, under the assumption that the perturbation  $V$  is axisymmetric, we investigate the asymptotic behavior as  $\varkappa \rightarrow 0$  of the unitary group associated with  $\tilde{H}_0 + \varkappa V$ , and relate it to certain dynamic resonances for the operator  $\tilde{H}$ .

## 2 The Spectral Shift Function

Assume that  $V$  satisfies (1.1). Then the resolvent difference  $(H - i)^{-1} - (H_0 - i)^{-1}$  is a trace-class operator, and there exists a unique  $\xi = \xi(\cdot; H, H_0) \in L^1(\mathbb{R}; (1 + E^2)^{-1}dE)$  such that *the Lifshits-Krein trace formula*

$$\text{Tr}(f(H) - f(H_0)) = \int_{\mathbb{R}} \xi(E; H, H_0) f'(E) dE$$

holds for each  $f \in C_0^\infty(\mathbb{R})$ , and  $\xi(E; H, H_0) = 0$  for each  $E \in (-\infty, \inf \sigma(H))$  (see the original works [17, 14] or [24, Chapter 8]). The function  $\xi(\cdot; H, H_0)$  is called *the spectral shift function* (SSF) for the operator pair  $(H, H_0)$ .

For almost every  $E \in \sigma_{ac}(H) = [0, \infty)$  the SSF  $\xi(E; H, H_0)$  coincides with *the scattering phase* for the operator pair  $(H, H_0)$  according to *the Birman-Krein formula*

$$\det S(E; H, H_0) = e^{-2\pi i \xi(E; H, H_0)}$$

where  $S(E; H, H_0)$  is the scattering matrix for the operator pair  $(H, H_0)$  (see the original work [4] or [24, Chapter 8]). On the other hand, for almost every  $E < 0$  the value  $-\xi(E; H, H_0)$  coincides with the number of the eigenvalues of  $H$  less than  $E$ , counted with their multiplicity.

A priori, the SSF  $\xi(\cdot; H, H_0)$  is defined as an element of  $L^1(\mathbb{R}; (1 + E^2)^{-1} dE)$ . The following proposition provides more precise information on  $\xi(\cdot; H, H_0)$ :

**Proposition 2.1.** [7, Proposition 2.5] (i) *The SSF  $\xi(\cdot; H, H_0)$  is bounded on every compact subset of  $\mathbb{R} \setminus 2b\mathbb{Z}_+$ .*

(ii) *The SSF  $\xi(\cdot; H, H_0)$  is continuous on  $\mathbb{R} \setminus (2b\mathbb{Z}_+ \cup \sigma_{\text{pp}}(H))$  where  $\sigma_{\text{pp}}(H)$  is the set of the eigenvalues of  $H$ .*

### 3 Auxiliary Toeplitz Operators

We have

$$H_0 = H_{0,\perp} \otimes I_{\parallel} + I_{\perp} \otimes H_{0,\parallel}$$

where  $I_{\perp}$  and  $I_{\parallel}$  are the identities in  $L^2(\mathbb{R}_{X_{\perp}}^2)$  and  $L^2(\mathbb{R}_{x_3})$  respectively,

$$H_{0,\perp} := \left( -i \frac{\partial}{\partial x_1} + \frac{bx_2}{2} \right)^2 + \left( -i \frac{\partial}{\partial x_2} - \frac{bx_1}{2} \right)^2 - b$$

is the (shifted) *Landau Hamiltonian*, self-adjoint in  $L^2(\mathbb{R}_{X_{\perp}}^2)$ , and

$$H_{0,\parallel} := -\frac{d^2}{dx_3^2}$$

is the 1D free Hamiltonian, self-adjoint in  $L^2(\mathbb{R}_{x_3})$ . Note that

$$H_{0,\perp} = a^* a$$

where

$$a^* := -2ie^{b|z|^2/4} \frac{\partial}{\partial z} e^{-b|z|^2/4}, \quad z = x_1 + ix_2,$$

is the *creation operator*, and

$$a := -2ie^{-b|z|^2/4} \frac{\partial}{\partial \bar{z}} e^{b|z|^2/4}, \quad \bar{z} = x_1 - ix_2,$$

is the *annihilation operator*. Moreover,

$$[a, a^*] = 2b.$$

Therefore,  $\sigma(H_{0,\perp}) = \cup_{q=0}^{\infty} \{2bq\}$ . Furthermore,

$$\text{Ker } H_{0,\perp} = \text{Ker } a = \left\{ f \in L^2(\mathbb{R}^2) \mid f = ge^{-b|z|^2/4}, \frac{\partial g}{\partial \bar{z}} = 0 \right\}$$

is the classical *Fock-Segal-Bargmann* space (see e.g. [11]), and

$$\text{Ker } (H_{0,\perp} - 2bq) = (a^*)^q \text{Ker } H_{0,\perp}, \quad q \geq 1.$$

Evidently,

$$\dim \text{Ker} (H_{0,\perp} - 2bq) = \infty$$

for each  $q \in \mathbb{Z}_+$ .

Let  $U : \mathbb{R}^2 \rightarrow \mathbb{R}$ . Fix  $q \in \mathbb{Z}_+$ . Introduce the Toeplitz operator

$$p_q U p_q : p_q L^2(\mathbb{R}^2) \rightarrow p_q L^2(\mathbb{R}^2)$$

where  $p_q$  is the orthogonal projection onto  $\text{Ker} (H_{0,\perp} - 2bq)$ . Obviously, if  $U \in L^\infty(\mathbb{R}^2)$ , then the operator  $p_q U p_q$  is bounded. Denote by  $S_r$  the Schatten-von Neumann class of order  $r \in [1, \infty)$ . Then  $U \in L^r(\mathbb{R}^2)$  implies  $p_q U p_q \in S_r$ ,  $r \in [1, \infty)$  (see [20, Lemma 5.1] or [9, Lemma 3.1]).

Moreover, if  $U$  is sufficiently regular, then  $p_0 U p_0$  is unitarily equivalent to a  $\Psi$ DO with anti-Wick symbol

$$\omega(y, \eta) := U(b^{-1/2}\eta, b^{-1/2}y), \quad (y, \eta) \in T^*\mathbb{R},$$

(see [20]), while  $p_q U p_q$  with  $q \geq 1$  is unitarily equivalent to

$$p_0 \left( \sum_{s=0}^q \frac{q!}{(2b)^s (s!)^2 (q-s)!} \Delta^s U \right) p_0$$

(see [7, Lemma 9.2]).

For further references, in the following three theorems we describe the eigenvalue asymptotics for the Toeplitz operator  $p_q U p_q$ ,  $q \in \mathbb{Z}_+$ , under the assumptions that  $U$  admits a power-like decay, exponential decay, or is compactly supported, respectively.

**Theorem 3.1.** [20, Theorem 2.6] *Let  $0 \leq U \in C^1(\mathbb{R}^2)$ , and*

$$U(X_\perp) = u_0(X_\perp/|X_\perp|)|X_\perp|^{-\alpha}(1 + o(1)),$$

$$|\nabla U(X_\perp)| = \mathcal{O}(|X_\perp|^{-\alpha-1}),$$

as  $|X_\perp| \rightarrow \infty$ , with  $\alpha > 0$ , and  $0 < u_0 \in C(S^1)$ . Fix  $q \in \mathbb{Z}_+$ . Then

$$\text{Tr} \mathbf{1}_{(s,\infty)}(p_q U p_q) = \psi_\alpha(s)(1 + o(1)), \quad s \downarrow 0, \tag{3.1}$$

where

$$\psi_\alpha(s) := s^{-2/\alpha} \frac{b}{4\pi} \int_{S^1} u_0(t)^{2/\alpha} dt. \tag{3.2}$$

**Theorem 3.2.** [22, Theorem 2.1, Proposition 4.1] *Let  $0 \leq U \in L^\infty(\mathbb{R}^2)$  and*

$$\ln U(X_\perp) = -\mu|X_\perp|^{2\beta}(1 + o(1)), \quad |X_\perp| \rightarrow \infty,$$

with  $\beta \in (0, \infty)$ ,  $\mu \in (0, \infty)$ . Fix  $q \in \mathbb{Z}_+$ . Then

$$\text{Tr} \mathbf{1}_{(s,\infty)}(p_q U p_q) = \varphi_\beta(s)(1 + o(1)), \quad s \downarrow 0, \tag{3.3}$$

where

$$\varphi_\beta(s) := \begin{cases} \frac{b}{2\mu^{1/\beta}} |\ln s|^{1/\beta} & \text{if } 0 < \beta < 1, \\ \frac{1}{\ln(1+2\mu/b)} |\ln s| & \text{if } \beta = 1, \\ \frac{\beta}{\beta-1} (\ln |\ln s|)^{-1} |\ln s| & \text{if } 1 < \beta < \infty, \end{cases} \quad s \in (0, e^{-1}). \quad (3.4)$$

**Theorem 3.3.** [22, Theorem 2.2, Proposition 4.1] *Let  $0 \leq U \in L^\infty(\mathbb{R}^2)$ . Assume that  $\text{supp } U$  is compact, and  $U \geq C > 0$  on an open subset of  $\mathbb{R}^2$ . Fix  $q \in \mathbb{Z}_+$ . Then*

$$\text{Tr } \mathbf{1}_{(s,\infty)}(p_q U p_q) = \varphi_\infty(s)(1 + o(1)), \quad s \downarrow 0, \quad (3.5)$$

where

$$\varphi_\infty(s) := (\ln |\ln s|)^{-1} |\ln s|, \quad s \in (0, e^{-1}). \quad (3.6)$$

*Remark:* Relations (3.1) and (3.3) with  $\beta < 1$  are semiclassical in the sense that they are equivalent to

$$\text{Tr } \mathbf{1}_{(s,\infty)}(p_q U p_q) = \frac{b}{2\pi} |\{X_\perp \in \mathbb{R}^2 | U(X_\perp) > s\}| (1 + o(1)), \quad s \downarrow 0.$$

The asymptotic order in relation (3.3) with  $\beta = 1$  which corresponds to Gaussian decay of  $V$ , is semiclassical, but the coefficient is not. Finally, even the asymptotic order in (3.3) with  $\beta > 1$ , and (3.5) is not semiclassical. Moreover the main terms of these asymptotics do not depend on the Landau levels.

## 4 Singularities of the SSF at the Landau levels

Let  $V$  satisfy (1.1). For  $X_\perp \in \mathbb{R}^2$ ,  $\lambda \geq 0$ , set

$$W(X_\perp) := \int_{\mathbb{R}} |V(X_\perp, x_3)| dx_3, \quad (4.1)$$

$$\mathcal{W}_\lambda = \mathcal{W}_\lambda(X_\perp) := \begin{pmatrix} w_{11} & w_{12} \\ w_{21} & w_{22} \end{pmatrix},$$

where

$$\begin{aligned} w_{11} &:= \int_{\mathbb{R}} |V(X_\perp, x_3)| \cos^2(\sqrt{\lambda}x_3) dx_3, \\ w_{12} = w_{21} &:= \int_{\mathbb{R}} |V(X_\perp, x_3)| \cos(\sqrt{\lambda}x_3) \sin(\sqrt{\lambda}x_3) dx_3, \\ w_{22} &:= \int_{\mathbb{R}} |V(X_\perp, x_3)| \sin^2(\sqrt{\lambda}x_3) dx_3. \end{aligned}$$

We have

$$\text{rank } p_q W p_q = \infty, \quad \text{rank } p_q \mathcal{W}_\lambda p_q = \infty, \quad \lambda \geq 0.$$

**Theorem 4.1.** [9, Theorems 3.1, 3.2] *Let  $V$  satisfy (1.2), and  $V \geq 0$  or  $V \leq 0$ . Fix  $q \in \mathbb{Z}_+$ . Then we have*

$$\xi(2bq - \lambda; H, H_0) = \mathcal{O}(1), \quad \lambda \downarrow 0,$$

*if  $V \geq 0$ , and for each  $\varepsilon \in (0, 1)$  we have*

$$\begin{aligned} & -\text{Tr} \mathbf{1}_{((1-\varepsilon)2\sqrt{\lambda}, \infty)}(p_q W p_q) + \mathcal{O}(1) \leq \\ & \xi(2bq - \lambda; H, H_0) \leq \\ & -\text{Tr} \mathbf{1}_{((1+\varepsilon)2\sqrt{\lambda}, \infty)}(p_q W p_q) + \mathcal{O}(1), \quad \lambda \downarrow 0, \end{aligned}$$

*if  $V \leq 0$ .*

*Moreover, for each  $\varepsilon \in (0, 1)$  we have*

$$\begin{aligned} & \pm \frac{1}{\pi} \text{Tr} \arctan \left( ((1 \pm \varepsilon)2\sqrt{\lambda})^{-1} p_q W_\lambda p_q \right) + \mathcal{O}(1) \leq \\ & \xi(2bq + \lambda; H, H_0) \leq \\ & \pm \frac{1}{\pi} \text{Tr} \arctan \left( ((1 \mp \varepsilon)2\sqrt{\lambda})^{-1} p_q W_\lambda p_q \right) + \mathcal{O}(1), \quad \lambda \downarrow 0, \end{aligned}$$

*if  $\pm V \geq 0$ .*

*Remark:* The proof of Theorem 4.1 is based on a representation of the SSF obtained by A. Pushnitski in [19].

If we assume that  $W$  admits a power-like or exponential decay at infinity, or has a compact support, we can combine the results of Theorems 3.1 – 3.3 for  $U = W$ , with Theorem 4.1 and obtain explicitly the main asymptotic term of  $\xi(2bq + \lambda; H, H_0)$  as  $\lambda \downarrow 0$  or  $\lambda \uparrow 0$ :

**Corollary 4.1.** [9, Corollaries 3.1 – 3.2], [21, Corollary 2.1] *Let (1.2) hold with  $m_0 > 3$ .*

(i) *Assume that the hypotheses of Theorem 3.1 hold with  $U = W$  and  $\alpha > 2$ . Then we have*

$$\begin{aligned} \xi(2bq - \lambda; H, H_0) &= -\frac{b}{2\pi} \left| \left\{ X_\perp \in \mathbb{R}^2 \mid W(X_\perp) > 2\sqrt{\lambda} \right\} \right| (1 + o(1)) = \\ & -\psi_\alpha(2\sqrt{\lambda}) (1 + o(1)), \quad \lambda \downarrow 0, \end{aligned} \tag{4.2}$$

*if  $V \leq 0$ , and*

$$\begin{aligned} \xi(2bq + \lambda; H, H_0) &= \pm \frac{b}{2\pi^2} \int_{\mathbb{R}^2} \arctan((2\sqrt{\lambda})^{-1} W(X_\perp)) dX_\perp (1 + o(1)) = \\ & \pm \frac{1}{2 \cos(\pi/\alpha)} \psi_\alpha(2\sqrt{\lambda}) (1 + o(1)), \quad \lambda \downarrow 0, \end{aligned}$$

*if  $\pm V \geq 0$ , the function  $\psi_\alpha$  being defined in (3.2).*

(ii) *Assume that the hypotheses of Theorem 3.2 hold with  $U = W$ . Then we have*

$$\xi(2bq - \lambda; H, H_0) = -\varphi_\beta(2\sqrt{\lambda}) (1 + o(1)), \quad \lambda \downarrow 0, \quad \beta \in (0, \infty),$$

if  $V \leq 0$ , the functions  $\varphi_\beta$  being defined in (3.4). If, in addition,  $V$  satisfies (1.1) for some  $m_\perp > 2$  and  $m_3 > 2$ , we have

$$\xi(2bq + \lambda; H, H_0) = \pm \frac{1}{2} \varphi_\beta(2\sqrt{\lambda}) (1 + o(1)), \quad \lambda \downarrow 0, \quad \beta \in (0, \infty),$$

if  $\pm V \geq 0$ .

(iii) Assume that the hypotheses of Theorem 3.3 hold with  $U = W$ . Then we have

$$\xi(2bq - \lambda; H, H_0) = -\varphi_\infty(2\sqrt{\lambda}) (1 + o(1)), \quad \lambda \downarrow 0,$$

if  $V \leq 0$ , the function  $\varphi_\infty$  being defined in (3.6). If, in addition,  $V$  satisfies (1.1) for some  $m_\perp > 2$  and  $m_3 > 2$ , we have

$$\xi(2bq + \lambda; H, H_0) = \pm \frac{1}{2} \varphi_\infty(2\sqrt{\lambda}) (1 + o(1)), \quad \lambda \downarrow 0,$$

if  $\pm V \geq 0$ .

As a corollary we obtain the following result which could be regarded as *generalized Levinson formulae*:

**Corollary 4.2.** *Let  $V$  satisfy (1.2), and  $V \leq 0$ . Fix  $q \in \mathbb{Z}_+$ . Then*

$$\lim_{\lambda \downarrow 0} \frac{\xi(2bq + \lambda; H, H_0)}{\xi(2bq - \lambda; H, H_0)} = \frac{1}{2 \cos \frac{\pi}{\alpha}}$$

if  $W$  admits a power-like decay with decay rate  $\alpha > 2$  (i.e. if  $U = W$  satisfies the hypotheses of Theorem 3.1), or

$$\lim_{\lambda \downarrow 0} \frac{\xi(2bq + \lambda; H, H_0)}{\xi(2bq - \lambda; H, H_0)} = \frac{1}{2}$$

if  $W$  decays exponentially or has a compact support (i.e. if  $U = W$  satisfies the hypotheses of Theorems 3.2 – 3.3).

*Remark:* The classical Levinson formula relates the number of the negative eigenvalues of  $-\Delta + V$  and  $\lim_{E \downarrow 0} \xi(E; -\Delta + V, -\Delta)$  (see the original work [16] or the survey article [23]).

## 5 Resonances Near the Landau Levels

One of the possible explanations of the singularities of the SSF described in Theorem 4.1, is the accumulation of resonances of  $H$  at the Landau levels. In this section we define the resonances of  $H$  as an appropriate extension of the resolvent  $(H - z)^{-1}$  defined a priori for  $z \in \mathbb{C}_+ := \{\zeta \in \mathbb{C} \mid \text{Im } \zeta > 0\}$ , following the exposition of [5]. In Theorem 5.1 below we establish upper and lower estimates on the number of resonances of  $H$  in a ring centered at a given Landau level; the lower



bounds imply accumulation of the resonances to the Landau level.

For  $z \in \mathbb{C}_+$  we have

$$(H_0 - z)^{-1} = \sum_{q=0}^{\infty} p_q \otimes (H_{0,\parallel} + 2bq - z)^{-1}.$$

For each  $q \in \mathbb{Z}_+$  and  $N > 0$  the operator-valued function

$$z \mapsto (H_{0,\parallel} + 2bq - z)^{-1} \in \mathcal{L}(e^{-N\langle x_3 \rangle} L^2(\mathbb{R}), e^{N\langle x_3 \rangle} L^2(\mathbb{R})),$$

admits a holomorphic extension from  $\mathbb{C} \setminus [2bq, \infty)$  to the 2-sheeted covering

$$\mathcal{P}_q : \{\zeta \in \mathbb{C} \setminus \{0\}, \operatorname{Im} \zeta > -N\} \ni k \mapsto k^2 + 2bq \in \mathbb{C} \setminus \{2bq\}.$$

This extension however depends on  $q \in \mathbb{Z}_+$ .

Let  $\pi_1(\mathbb{C} \setminus 2b\mathbb{Z}_+)$  be the fundamental group of  $\mathbb{C} \setminus 2b\mathbb{Z}_+$ , and  $G$  be the subgroup of  $\pi_1(\mathbb{C} \setminus 2b\mathbb{Z}_+)$  generated by

$$\{a_1^2, a_2 a_1 a_2^{-1} a_1^{-1} \mid a_1, a_2 \in \pi_1(\mathbb{C} \setminus 2b\mathbb{Z}_+)\}.$$

We define  $\mathcal{P}_G : \mathcal{M} \mapsto \mathbb{C} \setminus 2b\mathbb{Z}_+$  as the connected infinite-sheeted covering such that  $\mathcal{P}_G^*(\pi_1(\mathcal{M})) = G$ .

Fix a base point in  $\mathcal{M}$ . Let  $\mathcal{F}$  be the connected component of  $\mathcal{P}_G^{-1}(\mathbb{C} \setminus [0, \infty))$  containing this base point. By definition, the functions  $\mathcal{M} \ni z \mapsto \sqrt{z - 2bq}$  have a positive imaginary part on  $\mathcal{F}$ . Set  $\mathcal{F}_+ := \mathcal{F} \cap \mathcal{P}_G^{-1}(\mathbb{C}_+)$ .

For  $\lambda_0 \in \mathbb{C}$  and  $\varepsilon > 0$  put

$$D(\lambda_0, \varepsilon) := \{\lambda \in \mathbb{C} \mid |\lambda - \lambda_0| < \varepsilon\},$$

$$D(\lambda_0, \varepsilon)^* := \{\lambda \in \mathbb{C} \mid 0 < |\lambda - \lambda_0| < \varepsilon\}.$$

Let  $D_q^* \subset \mathcal{M}$  be the connected component of  $\mathcal{P}_G^{-1}(D(2bq, 2b)^*)$  that intersects  $\mathcal{F}_+$ . There exists an analytic bijection  $z_q$ :

$$D(0, \sqrt{2b})^* \ni k \mapsto z_q(k) \in D_q^*,$$

such that  $\mathcal{P}_G(z_q(k)) = 2bq + k^2$  and  $z_q^{-1}(D_q^* \cap \mathcal{F}_+)$  is the first quadrant of  $D(0, \sqrt{2b})^*$ .

For  $N > 0$  set

$$\mathcal{M}_N := \left\{ z \in \mathcal{M} \mid \operatorname{Im} \sqrt{z - 2bq} > -N, \forall q \in \mathbb{Z}_+ \right\}.$$

Evidently,  $\cup_{N>0} \mathcal{M}_N = \mathcal{M}$ .

**Proposition 5.1.** [5, Proposition 1] (i) For each  $N > 0$  the operator-valued function

$$z \mapsto (H_0 - z)^{-1} \in \mathcal{L}\left(e^{-N\langle x_3 \rangle} L^2(\mathbb{R}^3); e^{N\langle x_3 \rangle} L^2(\mathbb{R}^3)\right)$$

has a holomorphic extension from the open upper half-plane to  $\mathcal{M}_N$ .

(ii) Suppose that  $V$  satisfies (1.3) with  $m_\perp > 0$ . Then for each  $N > 0$  the operator-valued function

$$z \mapsto (H - z)^{-1} \in \mathcal{L}\left(e^{-N\langle x_3 \rangle} L^2(\mathbb{R}^3); e^{N\langle x_3 \rangle} L^2(\mathbb{R}^3)\right),$$

has a meromorphic extension from the open upper half plane to  $\mathcal{M}_N$ . Moreover, the poles and the range of the residues of this extension do not depend on  $N$ .

We define the resonances of  $H$  as the poles of the meromorphic extension of the resolvent  $(H - z)^{-1}$ . The multiplicity of a resonance  $z_0$  is defined as

$$\text{rank } \frac{1}{2i\pi} \int_{\gamma} (H - z)^{-1} dz,$$

where  $\gamma$  is an appropriate circle centered at  $z_0$ . In what follows,  $\text{Res}_q(H_0 + \varkappa V)$  denotes the set of the resonances of  $H_0 + \varkappa V$  in  $D_q^*$ .

**Theorem 5.1.** [5, Theorem 2] *Assume that  $V$  satisfies (1.3), and  $V \geq 0$  or  $V \leq 0$ . Fix  $q \in \mathbb{Z}_+$ . Then for any  $\delta > 0$  there exist  $\varkappa_0, r_0 > 0$  such that:*

(i) *For any  $0 < r < r_0$  and  $0 \leq \varkappa \leq \varkappa_0$ , we have*

$$\#\{z = z_q(k) \in \text{Res}_q(H_0 + \varkappa V) \mid r < |k| < 2r\} = \mathcal{O}(\text{Tr } \mathbf{1}_{(r, 2r)}(\varkappa p_q W p_q)).$$

(ii) *For any  $0 \leq \varkappa \leq \varkappa_0$ ,  $H_0 + \varkappa V$  has no resonances in*

$$\{z = z_q(k) \mid 0 < |k| < r_0, \mp \text{Im } k \leq \frac{1}{\delta} |\text{Re } k|\}$$

*if  $\pm V \geq 0$ .*

(iii) *If  $W$  satisfies  $\ln W(X_{\perp}) \leq -C\langle X_{\perp} \rangle^2$ , then for any  $0 \leq \varkappa \leq \varkappa_0$ ,  $H_0 + \varkappa V$  has an infinite number of resonances in  $\{z = z_q(k) \mid 0 < |k| < r_0, \mp \text{Im } k > \frac{1}{\delta} |\text{Re } k|\}$  if  $\pm V \geq 0$ . More precisely, there exists a decreasing sequence  $(r_{\ell})_{\ell \in \mathbb{N}}$  of positive numbers,  $r_{\ell} \downarrow 0$  such that,*

$$\begin{aligned} \#\{z = z_q(k) \in \text{Res}_q(H_0 + \varkappa V) \mid r_{\ell+1} < |k| < r_{\ell}, \mp \text{Im } k > \frac{1}{\delta} |\text{Re } k|\} \geq \\ \text{Tr } \mathbf{1}_{(2r_{\ell+1}, 2r_{\ell})}(\varkappa p_q W p_q). \end{aligned}$$

The setting is summarized by the following figure:

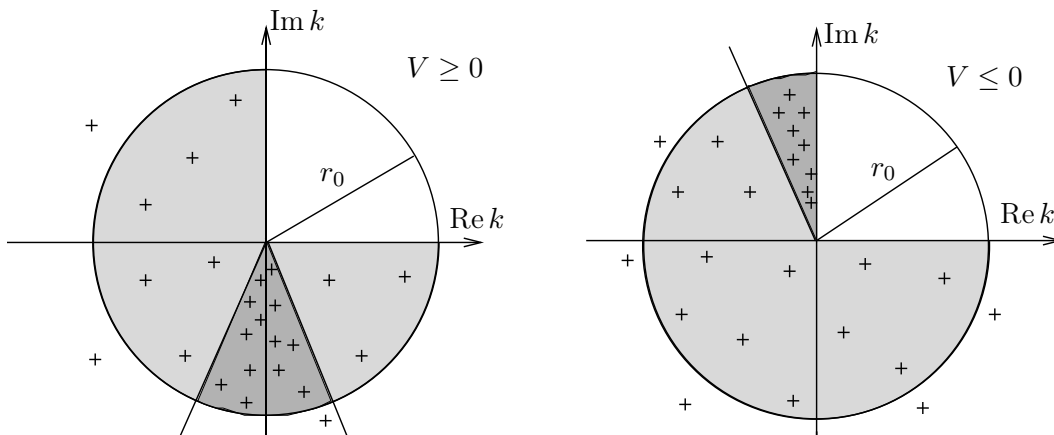


Figure 1: Resonances near a Landau level for  $V$  of definite sign. They are essentially concentrated near the semi-axis  $k = \mp i[0, \infty)$  for  $\pm V \geq 0$ . The physical sheet is in white.

## 6 SSF for a Pair of Modified Magnetic Schrödinger Operators

In this section we replace the unperturbed operator  $H_0$  by  $\tilde{H}_0 = H_0 + v_0$  where  $v_0$  is an electric potential which depends only on the variable  $x_3$ , and decays fast enough as  $|x_3| \rightarrow \infty$ . Under appropriate assumptions on  $v_0$ , the operator  $\tilde{H}_0$  has infinitely many eigenvalues of infinite multiplicity, most of which are embedded in the continuous spectrum. We introduce the perturbed operator  $\tilde{H} := \tilde{H}_0 + V$  where  $V$  satisfies (1.1), and investigate the asymptotic behavior of the SSF  $\xi(\cdot; \tilde{H}, \tilde{H}_0)$  near the eigenvalues of  $\tilde{H}_0$  of infinite multiplicity.

Let  $v_0 \in C^1(\mathbb{R}; \mathbb{R})$  satisfy the assumption

$$|v_0(x)| = \mathcal{O}(\langle x \rangle^{-\delta_0}), \quad x \in \mathbb{R}, \quad \delta_0 > 1. \quad (6.1)$$

Set

$$H_{\parallel} := H_{0,\parallel} + v_0 = -\frac{d^2}{dx_3^2} + v_0(x_3).$$

We have

$$\begin{aligned} \sigma_{\text{ess}}(H_{\parallel}) &= \sigma_{\text{ac}}(H_{\parallel}) = [0, \infty), \\ \sigma_{\text{pp}}(H_{\parallel}) \cap (0, \infty) &= \emptyset, \quad \sigma_{\text{sc}}(H_{\parallel}) = \emptyset. \end{aligned}$$

For simplicity, assume that  $\inf \sigma(H_{\parallel}) > -2b$ . Then

$$\tilde{H}_0 := H_{0,\perp} \otimes I_{\parallel} + I_{\perp} \otimes H_{\parallel} = H_0 + I_{\perp} \otimes v_0$$

is the Hamiltonian of a non-relativistic spinless quantum particle subject to a classical electromagnetic field  $(\mathbf{E}, \mathbf{B})$  with  $\mathbf{B} = (0, 0, b)$  and  $\mathbf{E} = -(0, 0, v_0')$ .

Let  $\Lambda \in \sigma_{\text{disc}}(H_{\parallel})$ . Then  $2bq + \Lambda$  with  $q \in \mathbb{Z}_+$  is an eigenvalue of  $\tilde{H}_0$  of infinite multiplicity; if  $q \geq 1$ , this eigenvalue is embedded in  $\sigma_{\text{ac}}(\tilde{H}_0)$ .

Assume now that  $V : \mathbb{R}^3 \rightarrow \mathbb{R}$  satisfies (1.1) with  $m_{\perp} > 2$  and  $m_3 > 1$ , and set

$$\tilde{H} := \tilde{H}_0 + V.$$

Then  $(\tilde{H} - i)^{-1} - (\tilde{H}_0 - i)^{-1}$  is trace-class, and the SSF  $\xi(\cdot; \tilde{H}, \tilde{H}_0)$  is well defined.

Set

$$\mathcal{Z} := \{E = 2bq + \mu \mid q \in \mathbb{Z}_+, \mu \in \sigma_{\text{disc}}(H_{\parallel}) \text{ or } \mu = 0\}.$$

Similarly to Proposition 2.1, we can show that the SSF  $\xi(\cdot; \tilde{H}, \tilde{H}_0)$  is bounded on every compact subset of  $\mathbb{R} \setminus \mathcal{Z}$ , and is continuous on  $\mathbb{R} \setminus (\mathcal{Z} \cup \sigma_{\text{pp}}(\tilde{H}))$ .

Let  $\Lambda \in \sigma_{\text{disc}}(H_{\parallel})$ , and  $\psi$  be the eigenfunction satisfying

$$H_{\parallel}\psi = \Lambda\psi, \quad \|\psi\|_{L^2(\mathbb{R})} = 1, \quad \psi = \bar{\psi}.$$

By analogy with (4.1) set

$$\tilde{W}(X_{\perp}) := \int_{\mathbb{R}} |V(X_{\perp}, x_3)| \psi(x_3)^2 dx_3.$$

**Theorem 6.1.** [2, Theorem 6.1] *Let  $v_0$  satisfy (6.1), and  $V$  satisfy (1.1). Assume  $\inf \sigma(H_{\parallel}) > -2b$ . Let  $\Lambda \in \sigma_{\text{disc}}(H_{\parallel})$ . Fix  $q \in \mathbb{Z}_+$ . Then for each  $\varepsilon \in (0, 1)$  we have*

$$\begin{aligned} \text{Tr } \mathbf{1}_{((1+\varepsilon)\lambda, \infty)}(p_q \tilde{W} p_q) + \mathcal{O}(1) &\leq \xi(2bq + \Lambda + \lambda; \tilde{H}, \tilde{H}_0) \leq \text{Tr } \mathbf{1}_{((1-\varepsilon)\lambda, \infty)}(p_q \tilde{W} p_q) + \mathcal{O}(1), \\ \xi(2bq + \Lambda - \lambda; \tilde{H}, \tilde{H}_0) &= \mathcal{O}(1), \end{aligned}$$

as  $\lambda \downarrow 0$ , if  $V \geq 0$ , and

$$\begin{aligned} -\text{Tr } \mathbf{1}_{((1-\varepsilon)\lambda, \infty)}(p_q \tilde{W} p_q) + \mathcal{O}(1) &\leq \xi(2bq + \Lambda - \lambda; \tilde{H}, \tilde{H}_0) \leq -\text{Tr } \mathbf{1}_{((1+\varepsilon)\lambda, \infty)}(p_q \tilde{W} p_q) + \mathcal{O}(1), \\ \xi(2bq + \Lambda + \lambda; \tilde{H}, \tilde{H}_0) &= \mathcal{O}(1), \end{aligned}$$

as  $\lambda \downarrow 0$ , if  $V \leq 0$ .

Similarly to Corollary 4.2, we can combine the result of Theorem 6.1 with those of Theorems 3.1 – 3.3, and obtain explicit asymptotic formulae describing the singularity of  $\xi(\cdot; \tilde{H}, \tilde{H}_0)$  at  $2bq + \Lambda$  under explicit assumptions about the decay of  $\tilde{W}$  at infinity. We omit here this obvious corollary, and refer the reader to [2, Corollary 6.1].

## 7 Dynamical Resonances for Axisymmetric Perturbations $V$

In this section we assume that the perturbation  $V$  is axisymmetric, and investigate the asymptotics as  $\varkappa \rightarrow 0$  of the unitary group  $e^{-it(\tilde{H}_0 + \varkappa V)}$ ,  $t \geq 0$ . For  $m \in \mathbb{Z}$  introduce the operator

$$H_{0,\perp}^{(m)} := -\frac{1}{\varrho} \frac{d}{d\varrho} \varrho \frac{d}{d\varrho} + \left( \frac{m}{\varrho} - \frac{b\varrho}{2} \right)^2 - b,$$

self-adjoint in  $L^2(\mathbb{R}_+; \varrho d\varrho)$ . We have

$$\begin{aligned} \sigma(H_{0,\perp}^{(m)}) &= \cup_{q=m_-}^{\infty} \{2bq\}, \quad m_- := \max\{0, -m\}, \\ \dim \text{Ker}(H_{0,\perp}^{(m)} - 2bq) &= 1, \quad \forall q \geq m_-. \end{aligned}$$

For  $m \in \mathbb{Z}$ ,  $q \in \mathbb{Z}$ ,  $q \geq m_-$ , let  $\varphi_{q,m}$  satisfy

$$H_{0,\perp}^{(m)} \varphi_{q,m} = 2bq \varphi_{q,m}, \quad \|\varphi_{q,m}\|_{L^2(\mathbb{R}_+; \varrho d\varrho)} = 1.$$

Let the multiplier by  $v_0$  be  $H_{0,\parallel}$ -compact. Set

$$\begin{aligned} H_0^{(m)} &:= H_{0,\perp}^{(m)} \otimes I_{\parallel} + \mathcal{I}_{\perp} \otimes H_{0,\parallel}, \\ \tilde{H}_0^{(m)} &:= H_{0,\perp}^{(m)} \otimes I_{\parallel} + \mathcal{I}_{\perp} \otimes H_{\parallel} = H_0^{(m)} + \mathcal{I}_{\perp} \otimes v_0, \end{aligned}$$

where  $\mathcal{I}_{\perp}$  is the identity operator in  $L^2(\mathbb{R}_+; \varrho d\varrho)$ ;

$$\sigma(H_0^{(m)}) = \sigma_{\text{ess}}(\tilde{H}_0^{(m)}) = [2m_-b, \infty).$$

Let  $(\varrho, \phi, x_3)$  be the cylindrical coordinates in  $\mathbb{R}^3$ . The operator  $H_0^{(m)}$  (resp.  $\tilde{H}_0^{(m)}$ ),  $m \in \mathbb{Z}$ , is unitarily equivalent to the restriction of  $H_0$  (resp., of  $\tilde{H}_0$ ) onto  $\text{Ker}(L - m)$  with  $L := -i\frac{\partial}{\partial\phi}$ . Hence, the operator  $H_0$  (resp.,  $\tilde{H}_0$ ) is unitarily equivalent to the orthogonal sum  $\oplus_{m \in \mathbb{Z}} H_0^{(m)}$  (resp.,  $\oplus_{m \in \mathbb{Z}} \tilde{H}_0^{(m)}$ ).

Let the multiplier by  $V : \mathbb{R}^3 \rightarrow \mathbb{R}$  be  $H_0$ -bounded with zero relative bound. Suppose that  $V$  is axisymmetric i.e.  $\frac{\partial V}{\partial\phi} = 0$ .

Let  $\varkappa \in \mathbb{R}$ . On  $D(\tilde{H}_0)$  introduce the operator

$$\tilde{H}_\varkappa := \tilde{H}_0 + \varkappa V$$

self-adjoint in  $L^2(\mathbb{R}^3)$ , and on  $D(\tilde{H}_0^{(m)})$  introduce the operator

$$\tilde{H}_\varkappa^{(m)} := \tilde{H}_0^{(m)} + \varkappa V, \quad m \in \mathbb{Z},$$

self-adjoint in  $L^2(\mathbb{R}_+ \times \mathbb{R}; \varrho d\varrho dx_3)$ .

Let  $\Lambda \in \sigma_{\text{disc}}(H_{\parallel})$ . For  $z \in \mathbb{C}_+$ ,  $m \in \mathbb{Z}$ ,  $q \in \mathbb{Z}_+$ ,  $q \geq m_-$ , set

$$F_{q,m}(z) := \langle (\tilde{H}_0^{(m)} - z)^{-1} (I - \mathcal{P}_{q,m}) V \Phi_{q,m}, V \Phi_{q,m} \rangle$$

where  $\langle \cdot, \cdot \rangle$  is the scalar product in  $L^2(\mathbb{R}_+ \times \mathbb{R}; \varrho d\varrho dx_3)$ ,  $\Phi_{q,m}(\varrho, x_3) = \varphi_{q,m}(\varrho)\psi(x_3)$  so that  $\tilde{H}_0^{(m)}\Phi_{q,m} = (2bq + \Lambda)\Phi_{q,m}$ , and  $\mathcal{P}_{q,m} := |\Phi_{q,m}\rangle\langle\Phi_{q,m}|$ .

We will say that the *Fermi Golden Rule*  $\mathcal{F}_{q,m,\Lambda}$  is valid if the limit

$$F_{q,m}(2bq + \Lambda) = \lim_{\delta \downarrow 0} F_{q,m}(2bq + \Lambda + i\delta)$$

exists and is finite, and

$$\text{Im } F_{q,m}(2bq + \Lambda) > 0.$$

For  $j \in \mathbb{Z}_+$  set  $v_j(x_3) := x_3^j \frac{d^j v_0}{dx_3^j}$ ,  $V_j = x_3^j \frac{\partial^j V}{\partial x_3^j}$ .

We will say that the condition  $\mathcal{C}_\nu$ ,  $\nu \in \mathbb{Z}_+$ , holds true if the multipliers by  $v_j$ ,  $j = 0, 1$ , are  $H_{0,\parallel}$ -compact, the multipliers by  $v_j$ ,  $j \leq \nu$ , are  $H_{0,\parallel}$ -bounded, the multiplier by  $V$  is  $H_0$ -bounded with zero relative bound, and the multipliers by  $V_j$ ,  $j = 1, \dots, \nu$ , are  $H_0$ -bounded.

**Theorem 7.1.** [2, Theorem 4.1] *Let  $V$  be axisymmetric. Fix  $n \in \mathbb{Z}_+$ , and assume that the condition  $\mathcal{C}_\nu$  holds with  $\nu \geq n + 5$ . Suppose that  $\inf \sigma(H_{\parallel}) > -2b$ . Let  $\Lambda \in \sigma_{\text{disc}}(H_{\parallel})$ . Fix  $m \in \mathbb{Z}$ ,  $q \in \mathbb{Z}_+$ ,  $q > m_-$ , and suppose that the Fermi Golden Rule  $\mathcal{F}_{q,m,\Lambda}$  is valid.*

*Then there exists a function  $g \in C_0^\infty(\mathbb{R}; \mathbb{R})$  such that  $g = 1$  near  $2bq + \Lambda$ , and*

$$\langle e^{-i\tilde{H}_\varkappa^{(m)}t} g(\tilde{H}_\varkappa^{(m)}) \Phi_{q,m}, \Phi_{q,m} \rangle = a(\varkappa) e^{-i\Lambda_{q,m}(\varkappa)t} + b(\varkappa, t), \quad t \geq 0, \quad (7.1)$$

where

$$\Lambda_{q,m}(\varkappa) = 2bq + \Lambda + \varkappa \langle V \Phi_{q,m}, \Phi_{q,m} \rangle - \varkappa^2 F_{q,m}(2bq + \Lambda) + o_{q,m,V}(\varkappa^2), \quad \varkappa \rightarrow 0. \quad (7.2)$$

Moreover,  $a$  and  $b$  satisfy the estimates

$$|a(\varkappa) - 1| = \mathcal{O}(\varkappa^2),$$

$$|b(\varkappa, t)| = \mathcal{O}(\varkappa^2 |\ln |\varkappa|| (1+t)^{-n}),$$

$$|b(\varkappa, t)| = \mathcal{O}(\varkappa^2 (1+t)^{-n+1}),$$

as  $\varkappa \rightarrow 0$  uniformly with respect to  $t \geq 0$ .

*Remarks:* (i) The numbers  $\Lambda_{q,m}$  appearing in (7.1) can be interpreted as resonances for the operator  $\tilde{H}_m$ , and hence of  $\tilde{H}$ . Another definition of the resonances of  $\tilde{H}$  which is in the spirit of [1] and [12] and includes  $x_3$ -analyticity assumptions concerning  $v_0$  and  $V$ , can be found in [2, Section 3] (see also [13] and [6] where no axial symmetry of  $V$  is assumed).

(ii) Note that the numbers  $\langle V\Phi_{q,m}, \Phi_{q,m} \rangle$  appearing in (7.2) are eigenvalues of the Toeplitz operator  $p_q \tilde{W} p_q$  appearing in Theorem 6.1.

(iii) The proof of Theorem 7.1 is based on appropriate Mourre estimates (see [18]), and the approach developed in [8].

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