# Susceptibility of *Candida* spp. Oral isolates for azolic antifungals and amphotericin B

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#### Abstract

Aims: Among the oral infections, candidosis may be considered the most frequent, and *C. albicans* the most prevalent species. Meanwhile, the non-*albicans* species may also be related to other infections processes and be able to affect the oral cavity, including periodontal disease. In this sense, understanding the relationship between *Candida* spp. and host, it is necessary and justified the search of mechanisms modulators of infections and treatments against diseases associated with these yeasts. **Methods**: Nineteen patients with periodontal disease were involved in this study. The aim was evaluate the susceptibility to azoles antifungals fluconozole, itraconazole, ketoconazole and the polienic anfotericin B against *Candida* spp isolated from three different sites of the oral cavity from these patients (periodontal disease, being periodontal pocket, oral mucosa and ridge gingival), by the minimum inhibitory concentration method – MIC. **Results**: Among the samples of C. *albicans*, 88% showed susceptibility depending on the concentration (SCD) and 3.6 % were resistant to at least one antifungal azole studied. Among the others species, 57% presented SDC and 42.8% showed resistance to at least one of the antifungal azole tested. Regarding to Anfotericin B, 90% of the C. *albicans* and 40% of the non-albicans were SDC to this antifungal. **Conclusions**: Patients with periodontal disease showed relevant levels of colonization by *Candida* spp, mainly at the oral mucosa and periodontal pocket showing important occurrence of SDC and resistance to the antifungals drugs tested.

#### Key Words

Periodontal disease, Candida spp., antifungical, minimal inhibitory concentration.

### Introduction

Fungal infections are more common today than ever before. There are a number of reasons for this. People are living longer, and older people are more likely than younger people to have compromised immune systems, a major risk factor for fungal infection. Similarly, the widespread use of antibiotics has contributed to the growing infection rate (fungal infections are known to occur after antibiotic therapy, which has the effect of killing the beneficial bacteria that normally suppress fungi). Finally, the success in treating diseases like HIV/AIDS has created a subgroup of the population susceptible to fungal infections<sup>1</sup>.

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José Francisco Höfling Piracicaba Dental School, University of Campinas, Piracicaba, São Paulo, Brazil CEP: 13414-903 Phone: +55 19 21065321/ 21065322 Email address: hofling@fop.unicamp.br The most common organism implicated in fungal infections is the ubiquitous *Candida*, which is found in the human digestive tract, mouth, and genital region<sup>2</sup>. Under normal circumstances, levels of *Candida* are controlled by commensal bacteria. However, if the bacteria-fungi balance is upset by the use of antibiotics, for example, or if the immune system is compromised, an overgrowth of *Candida* could occur, resulting in infection<sup>3</sup>.

More than 20 different species of *Candida* have been reported as etiologic agents of invasive candidiasis in humans<sup>4-5</sup> though more than 90% of invasive infections due to *Candida* spp. can be attributed to species *C. albicans, C. glabrata, C. parapsilosis, C. tropicalis,* and *C. krusei.* The roll of reported species continues increasing as laboratories are pushed to provide an identification to the species level as an aid in optimizing therapy of candidal infections<sup>6-10</sup>.

Likewise, the diverse array of opportunistic yeasts and yeast-like fungi and their variable susceptibilities to both

new and established antifungal agents has made the need for prompt identification of non-*Candida* yeasts from clinical material much more compelling<sup>6,11-12</sup>. Our understanding of the frequency of occurrence and the antifungal susceptibility of both *Candida* and non-*Candida* yeasts has been enhanced in recent years through the efforts of several large surveillance programs conducted throughout the world<sup>8,13-20</sup>.

Fungal overgrowth is encouraged by certain pH levels and the availability of sugar (glucose) <sup>21-23</sup>. People with the right conditions for fungal infection, such as a high sugar diet, are at higher risk. Also, Candida infections can be spread to vulnerable people with depressed immune systems who are in the hospital, where the fungus is commonly found on the hands of caregivers and where indwelling catheters can allow an infection to take hold. The number of available drugs for the treatment of systemic fungal infections is limited. The antifungals known nowadays can be classified into azolic and polienics. The azolics are elected in the first instance for treating these diseases and are generally fungistatics, while the latter are fungicides. Among azolic are the fluconazole, ketoconazole and itraconazole. Among polienics we found the amphotericin B and nystatin. In recent years, the amphotericin B and azoles mainly ketoconazole, fluconazole and itraconazole - have been the drugs of choice in therapy<sup>24</sup>.

The mechanism of action of the azoles drugs is based on the inhibition of sterol-14-to-desmetilase, an enzyme system dependent microsomal cytochrome P450, hindering the synthesis of ergosterol in cytoplasmic membrane and leading to accumulation of 14-to-methylsterols. These methyl-sterols not have the same form and physical properties that ergosterol and lead to the formation of the membrane with properties changed, that does not perform the basic functions necessary for the development of the fungus. The polienics connecting to a portion sterol, basically ergosterol present in the membrane of fungus is forming pores or channels. The result is an increase in permeability of the membrane that allows the escape of several small molecules, leading to cell death. The amphotericin B is a broad-spectrum antibiotic fungicide and powerful, but its use is associated with significant adverse effects such as nephrotoxicity with chills and fever, and acute reaction to the intravenous infusion, since the pharmacokinetics of this drug does not allow oral administration<sup>24</sup>. New formulations of amphotericin B, in the form of liposomes and colloidal dispersion, produce fewer side effects, as a result of redistribution of the drug in tissue and the selectivity of release, but the price of these formulations is often greater than that of old <sup>25-27</sup>. The azoles cause less adverse reactions that the

amphotericin B, but are less powerful than the same. They may have action fungistatic or fungicide. Excessive use of azoles led to the emergence of resistance in species susceptible. Moreover, the azoles still have the disadvantage of cross-resistance  $^{24,\ 28}.$ 

The availability of information microbiological and epidemiological helps the doctors to choose the most appropriate antimicrobial agent for the treatment of infections. These susceptibility tests measure the ability of a microbial agent inhibit the growth of microorganisms *in vitro*. The microorganisms can be classified in categories according to drugs susceptibility, which may be sensitive (S), concentration dependent susceptibility (SDC) or resistance (R). Sensitive is the microorganism whose infection caused by it are prone to respond to treatment with the drug; sensitivity concentration dependent is the one whose infection depends on adequacy of dose to be controlled and resistance is the body that does not respond to a particular drug regardless of adequacy of the dose<sup>29</sup>. The aim of this study was to determine the pattern of susceptibility of yeast for some azoles antifungals and amphotericin B.

## Material and Methods

Samples. C. albicans, C. tropicales, C. parapsilosis, C. krusei, C. famata, C. norvegensis, C. dubliensis and C. lusitaniae. The yeasts were selected from Microbiology and Immunology Laboratory Collection – Piracicaba Dental School, University of Campinas (FOP-UNICAMP), Piracicaba, SP, Brazil, including 140 isolates from three different sites of the oral cavity from 19 patients with periodontal disease, known as: periodontal pocket (A), oral mucosa (M) and ridge gingival (B).

After activation, the identification of isolates was confirmed in Chromogenic Chromagar *Candida*® (Difco), API 20 system® kit (Aux System - BioMérieux, France) and microculture. The samples were maintained in Sabouraud Dextrose Agar (Merck) covered with glycerol at 4 °C and Yeast Peptone Dextrose with 15% glycerol at -70 °C.

The roll and samples code of *Candida* spp isolates are presented in Table 1. The codes of samples correspond to number of voluntary and precedence, being: A: periodontal pocket; B: healthy ridge gingival and M: oral mucosa.

Antifungal drugs. The following antifungal drugs were used for susceptibility tests: fluconazol, ketoconazol, itraconazol (azolics) and amphotericin B (polienic) - Neon®. Susceptibility assay - Minimal Inhibitory Concentration (MIC) test. The yeasts was grown overnight at 36 °C in Sabouraud Dextrose Agar (Merck) plates. Inocula for the assays were prepared by diluting scraped cell mass in 0.85% NaCl solution, adjusted to McFarland scale 0.5 and confirmed by spectrophotometric reading at 580 nm. Cell suspensions were finally diluted to 10<sup>4</sup> UFC mL<sup>°1</sup> in RPMI-1640 medium (Difco) for use in the assays.

MIC tests were carried out according to CLSI  $(2002)^{30}$ , using tissue culture testplate (96 wells), containing 100  $\mu$ L RPMI-1640 medium.The stock solutions of the antifungals were diluted and transferred into the first well,

Sample code	Candida specie	Sample code	Candida specie	Sample code	Candida specie	Sample code	Candida specie
1-B1	C. albicans	15-A2	C. albicans	41-M4	C. albicans	55-A3	C. albicans
1-B2	C. albicans	15-A3	C. albicans	41-M5	C. albicans	55-A4	C. albicans
1-B3	C. albicans	15-A4	C. albicans	42-B1	C. albicans	55-A5	C. albicans
1-B4	C. albicans	15-A5	C. albicans	42-B2	C. albicans	55-B1	C. albicans
1-B5	C. albicans	15-M1	C. albicans	42-B3	C. albicans	55-B2	C. albicans
1-M1	C. albicans	26-A2	C. dubliniensis	42-B4	C. albicans	55-B3	C. albicans
1-M2	C. albicans	26-A3	C. dubliniensis	42-B5	C.albicans	55-B4	C. albicans
1-M3	C. albicans	26-A4	C. dubliniensis	42-M1	C. albicans	55-B5	C. albicans
1-M4	C. albicans	26-A6	C. tropicalis	42-M2	C. albicans	55-M1	C. albicans
1-M5	C. albicans	26-A7	C. tropicalis	45-M1	C. parapsilosis	55-M4	C. albicans
3-A1	C. albicans	26-A8	C. tropicalis	45-M2	C. parapsilosis	56-M1	C. famata
3-A2	C. albicans	30-A6	C. tropicalis	45-M4	C. parapsilosis	56-M3	C. krusei
3-A3	C. albicans	30-A7	C. tropicalis	45-M5	C. parapsilosis	56-M4	C. krusei
3-A4	C. albicans	30-A8	C. tropicalis	46-M1	C. lusitaniae	56-M5	C. krusei
3-A5	C. albicans	31-A1	C. albicans	47-A1	C. albicans	56-M6	C. krusei
3-M1	C. albicans	31-A2	C. albicans	47-A2	C. albicans	56-M7	C. famata
3-M2	C. albicans	31-A3	C. albicans	47-A3	C. albicans	56-M8	C. famata
3-M3	C. albicans	31-A4	C. albicans	47-A4	C. albicans	56-M9	C. norvegensis
3-M4	C. albicans	31-M1	C. albicans	47-A5	C. albicans	56-M10	C. norvegensis
3-M5	C. albicans	31-M2	C. albicans	47-M1	C. albicans	56-M11	C. norvegensis
13-A1	C. albicans	34-A6	C. tropicalis	47-M2	C. albicans	58-A1	C. albicans
13-A2	C. albicans	34-A7	C. tropicalis	50-A1	C. albicans	58-A2	C. albicans
13-A3	C. albicans	34-A8	C. tropicalis	50-A2	C. albicans	58-A3	C. albicans
13-A4	C. albicans	34-A9	C. tropicalis	50-A3	C. albicans	58-A4	C. albicans
13-A5	C. albicans	34-A10	C. tropicalis	50-A4	C.albicans	58-A5	C. albicans
14-A1	C. albicans	34-B2	C. tropicalis	50-M1	C. albicans	58-B1	C. albicans
14-A2	C. albicans	34-M2	C. tropicalis	50-M2	C. albicans	58-B2	C. albicans
14-A3	C. albicans	41-A1	C. albicans	50-M3	C. albicans	58-B3	C. albicans
14-A4	C. albicans	41-A2	C. albicans	50-M4	C. albicans	58-B4	C. albicans
14-A5	C. albicans	41-A3	C. albicans	50-M6	C. parapsilosis	58-B5	C. albicans
14-B1	C. albicans	41-A4	C. albicans	53-M6	C. tropicalis	58-M1	C. albicans
14-M1	C. albicans	41-A5	C. albicans	53-M7	C. tropicalis	58-M2	C. albicans
14-M2	C. albicans	41-M1	C. albicans	53-M8	C. tropicalis	58-M3	C. albicans
14-M4	C. albicans	41-M2	C. albicans	55-A1	C. albicans	58-M4	C. albicans
15-A1	C. albicans	41-M3	C. albicans	55-A2	C. albicans	58-M5	C. albicans

Table 1 - Roll and Samples Code of Candida spp. Isolated From Oral Cavity of Patients Undergo Periodontal Disease.

and serial dilutions were performed so that concentrations in the following range were applied in the wells: fluconazol, 640 - 1.25  $\mu$ g mL<sup>+1</sup>; ketoconazole, 80-0.15  $\mu$ g mL<sup>+1</sup>, itraconazole, 40-0.078  $\mu$ g mL<sup>+1</sup> and amphotericin B, 160-0.3  $\mu$ g mL<sup>+1</sup>. The yeast inocula were added to all wells and the plates were incubated at 36 °C for 24 h. Antimicrobial activity was detected by change in the RPMI-1640 medium color (the pink color of the medium change to yellow after yeast growth by pH alteration).

## Results

A total of 140 yeasts belonging to Microbiology and

Immunology Laboratory Collection (FOP-UNICAMP) were tested for drugs susceptibility, as *C. albicans* (105 isolates), *C. tropicales* (16 isolates), *C. parapsilosis* (5 isolates), *C. krusei* (4 isolates), *C. famata* (3 isolates), *C. norvegensis* (3 isolates), *C. dubliensis* (3 isolates) and *C. lusitaniae* (1 isolate).

The isolates of *Candida* spp. were studied for the susceptibility to antifungals fluconazole, ketoconazole and itraconazole, and were classified as sensitive (S), susceptibility dependent of concentration (SDC) and resistant (R), according to  $CLSI^{30}$  presented in Table 2. For amphotericin B, the MIC has been identified as the

Table 2 - Criteria for Ranking for Susceptibility to Fluconazole, Itraconazole, Ketoconazole and Amphotericin B (ìg.mL<sup>"1</sup>). Values of Reference of the CLSI M27-A2 (2002)<sup>30</sup>.

Susceptibility	Fluconazole	Itraconazole	Ketoconazole	Amphotericin B
S	≤ 8	≤ 0,125	≤ 0,125	≤1
SDC	16 e 32	0,25 e 0,5	0,25 e 0,5	
R	≥ 64	≥ 1	≥ 1	≥2

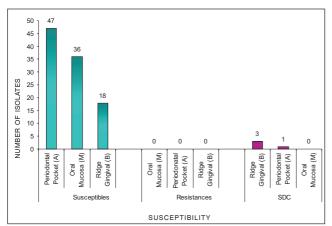


Fig. 1a - Susceptibility of C. albicans isolates to Fluconazole for sites collected.

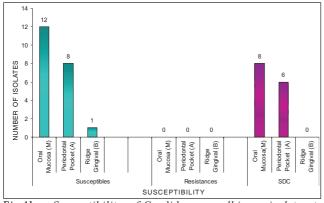


Fig.1b - Susceptibility of Candida non- albicans isolates to Fluconazole for sites collected.

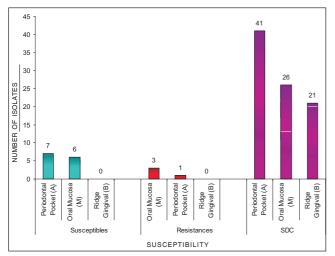


Fig. 2a - Susceptibility of C. albicans isolates to Ketoconazole for sites collected.

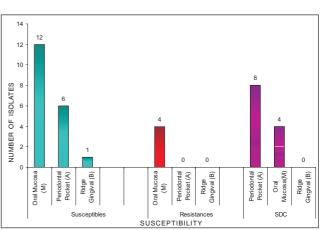


Fig. 2b - Susceptibility of Candida non- albicans isolates to Ketoconazole for sites collected.

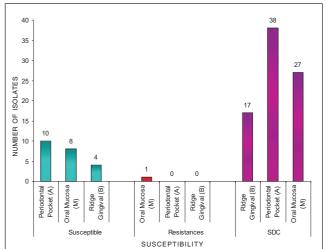


Fig.3a - Susceptibility of C. albicans isolates to Itraconazole for sites collected.

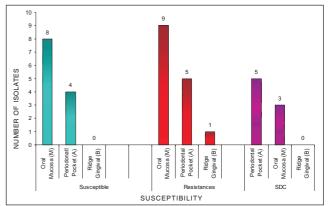


Fig. 3b - Susceptibility of Candida non albicans isolates to Itraconazole for sites collected.

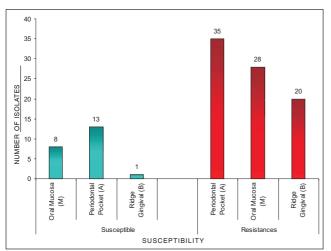


Fig. 4a - Susceptibility of C. albicans isolates to Amphotericin B for sites collected.

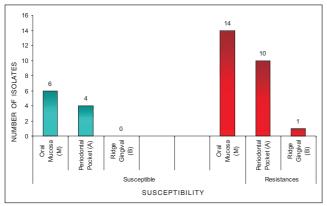


Fig. 4b - Susceptibility of Candida non albicans isolates to Amphotericin B for sites collected.

lowest concentration of drugs in middle-free growth of yeast, and classified as sensitive (S) or resistant (R). The results are shown in Figure 1a until 4b.

## Discussion

Among the 53 patients investigated, 19 undergo oral colonization by *Candida* spp, and 14 of them (26.4%) had colonization in the periodontal pocket. The presence of yeast in periodontal pockets has been reported in about 20% of patients with severe periodontal disease<sup>31-32</sup>. Although not fully defined the participation of these organisms in the pathogenesis of this disease, the results are suggestive, considering the fact that all patients had not analyzed systemic diseases or factors that may contribute to the development of yeast infections, such as immunosuppression by use of antibiotics or steroids.

The occurrence of resistance to azoles antifungal was greater for the group of *Candida* non-*albicans* (42.8%) compared to that seen in *C. albicans* (3.6%). It was possible to observe the occurrence of cross resistance between the antifungal ketoconazole and itraconazole, for five samples of three patients. Although the occurrence of resistance was low for azolic, there is a decrease in susceptibility to these antifungals, demonstrated by the high level of SDC, especially for ketoconazole and itraconazole.

The fluconazole show to be the most effective for most samples from all tested species of *Candida*. Studies have shown that the susceptibility to antifungals azolic among isolates of *Candida* spp. of periodontal pockets can be variable; occurring cross resistance<sup>33-34</sup> also highlighted the occurrence of cross resistance between the antifungal azolic, based in the presence of similar mechanisms of action. This shows different patterns of susceptibility of these strains to drugs tested1. This fact is corroborated recent data, which indicate a growing resistance among species of *Candida* to azoles antifungals, suggesting that the oral cavity could be a reservoir of resistant yeast<sup>35-36</sup>.

A correct diagnosis should be considered in microbiological periodontal lesions refractory to conventional treatment. The joint application of antifungal treatment can be useful in cases of opportunistic infections by *Candida* spp. in periodontology, especially in patients at high risk of developing systemic candidiasis<sup>37</sup>. The high incidence of resistance to Amphotericin B found between the species analyzed indicates the need to make more accurate studies for this antifungal. Actually, considering the potential of azoles antifungal fungistatics and fungicide potential of amphotericin B (polienics) would be expected to find greater number of isolates sensitive to the latter and not the other drugs.

Considering the relevant literature, it was found that there is a pattern of behavior of unusual clinical isolates regarding susceptibility to antifungals tested, since different authors found different results in this respect<sup>38</sup> concluded in their work, that the effectiveness of two types of drug amphotericin B and fluconazole was equivalent. Thus, the results of this research may be suggestive of the occurrence of of strains resistant selection to conventional amphotericin B. The same has not happened with another form of presentation of amphotericin B, lipossomal, whose formulation allows the release gradual, but constant, the principle active<sup>38-40</sup>. This formulation has been regarded as a better therapeutic option in cases of resistance. Thus, the preliminary identification of microorganisms causing the disease and the appropriate concentration of the drug are important factors to avoid the selection of resistant strains. Ruhnke<sup>41</sup> described the development of resistance to fluconazole in a patient with AIDS infected by C. albicans and C. dubliniensis simultaneously. The patient had recurrent oral candidosis because of the two species and under use of fluconazole for four years. Molecular analyses showed persistence of isolates during the same period, affecting the development of resistance in the two species. Researchs involving studies on the growth and susceptibility of Candida spp strains to a wide variety of antifungal drugs has expressed cross-resistance to

antifungal amphotericin B and azoles in individuals who had or not contact with them, suggesting that it is not an effect on the metabolism of sterol, but that new mechanisms of resistance are involved in clinical isolates<sup>30,42-43</sup>.

These data, added to those obtained in this study, suggest that to achieve effective therapy are necessary comparative studies between the various azolics and polienics antifungal normally used, confronting their mechanisms of action and factors of local origin as bad oral hygiene, presence of caries and prostheses, which caused the microbial accumulation. In addition, must still be considered factors of a systemic disorder related to the metabolism of the host, immunosuppressive therapies, malnutrition and infection by the HIV virus since the union of these conditions to the opportunistic microorganism contributes to the worsening of the disease<sup>33,44</sup>. The development of a program that includes routine tests for sensitivity to antifungal for tracking oral samples is necessary to determine the drug and the efficient concentration for the treatment. This also would avoid the selection of resistant strains whose susceptibility depends on the concentration of the drug<sup>33,44</sup>.

Studies that will contribute to a greater understanding of the relationships between organisms and antimicrobials should be carried out in order to elucidate the complex mechanisms involving microbial resistance to drugs.

Concluding, patients with periodontal disease showed relevant levels of colonization by *Candida* spp., mainly in the oral mucosa and periodontal pocket. Species of *C. albicans* was the most prevalent yeast in the oral microbiota considering the various sites analyzed, which confirm the previous findings. There is also an increase in the incidence of non-*albicans* species in these sites, together with a greater resistance to the latest drugs tested. Moreover, the patterns of susceptibility from *C. albicans* and non-*albicans* isolates to different antifungal studied differ considerably. There was no occurrence of resistance to fluconazole and small part of *Candida* spp. isolates showed susceptibility dependent on concentration (SDC) in relation to this drug, experiencing the opposite for ketoconazole and itraconazole. Most isolates are resistant to amphotericin B.

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