RECENT ADVANCES IN SIMULATING LANDSLIDE AND DEBRIS FLOW

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Abstract.

This paper summarizes the outcome of a research project funded by the European Commission on the simulation of landslide and debris flow. The numerical techniques adopted include the mesh-based method (FEM), the point-based method (SPH) as well as a hybrid method (Lattice-Boltzmann Method and DEM). Centrifuge model tests were carried out for the purpose of validation.

KEYWORDS: Debris flow, DEM, FEM, landslide.

1. INTRODUCTION

Landslides are caused by slope failures. The stability of slopes is a classical topic in soil mechanics. Debris flows are often triggered by landslides either partially or completely mobilizing into debris flows. Globally, landslides cause billions of dollars in damage and thousands of deaths and injuries each year.

Methods based on limit equilibriums have been most popular for slope stability analysis, as in the slice method of Bishop. However, the limit equilibrium approach cannot capture the initiation of hydrologically driven slope failure, which is characterized mainly by transient processes. The modelling of infiltration, evaporation, propagation of the wetting front and reduction of matric suction involves different time scales governing both short-term and long-term behaviour. Moreover, the initiation and propagation of the failure surface cannot be explained by the limit equilibrium approach [1]. A consistent analysis of slope stability requires a fully coupled analysis of the hydrological and mechanical processes in multiphase media, and which considers spatial and temporal multiscale properties.

Whereas slope stability has been modelled mainly in the realm of soil mechanics, the modelling of debris flows has been developed mainly within the terms of fluid mechanics, in particular the shallow water model [2]. There are two main distinct approaches to computational modelling of debris flows: the continuum and discrete methods. In most continuum models, the flow is considered as a one-phase medium with constant density. Much effort has been devoted to the debris viscosity. Several debris flow runout models have been developed. Contrasting the one-phase approach with constant viscosity are field observations demonstrating that such an approach is unable to describe unsteady (spatial and temporal) flow behaviour properly. However, some important phenomena, such as segregation of coarse particles and the transition between solid-like to fluid-like behaviour are still elusive

to such models. Consistent continuum modelling of debris flows requires an advanced constitutive model capable of describing the local inhomogeneity and solid-like/fluid-like behaviour and a robust numerical scheme, e.g. SPH (Smooth Particle Hydrodynamics), MPM (Material Particle Method) and PFEM (Particle Finite Element Method).

The project MUMOLADE (Multiscale Modelling of Landslides and Debris Flows) was funded within the framework of the Marie Curie ITN (Initial Training Networks) by the European Commission in order to address needs in research and training in the numerical simulation of landslides and debris flows. The funding duration was three years between 2012 and 2015 and involved a budget of about 4.3 xmillion euros. This paper gives a brief account of the results achieved in MUMOLADE.

2. STRAIN LOCALIZATION AND TRIGGERING OF SLOPE FAILURE BY FEM

Soil often fails through localized deformation in the form of shear bands, which give rise to progressive failure in slopes with over-consolidated soil. The precursor of failure is the localisation of deformation within thin shear bands. The bifurcation theory and the related numerical schemes provide a rational approach to this multiscale phenomenon. The multiphase FEM analysis provides a realistic description of the failure process from initiation and aftermath both under drained and partially undrained conditions.

Once a shear band is formed, the underlying partial differential equation (PDE) becomes rather poorly expressed and requires regularization. The elliptical PDF for quasi-static loading will change to parabolic. This change brings about some difficulties for the numerical calculations using the Finite Element Method (FEM). The numerical solutions show a spurious dependence on the mesh alignment and mesh size. More-



FIGURE 1. Equivalent viscoplastic strain profiles (a) and contours for (b) coarse mesh (5×17) , (c) intermediate mesh (10×34) and (d) fine mesh (20×68) in the case viscosity $\eta = 30$ s. [3]

over, the thickness of the shear bands and the spacing between the shear bands require a theory with a characteristic length. It should be noted that conventional continuum mechanics does not possess a length scale. There are several techniques to regularize the PDF, e.g. nonlocal theory, micropolar theory, strain gradient theory and viscosity. In most of these theories a characteristic length is introduced.

The team led by Prof. Sanavia at Padova, Italy studied the regularization by nonlocal theory. The nonlocal theory assumes that the response of the material at some specific point is determined not only by the state at that point but also by the state of its neighbouring points. The variables are then substituted with their non-local counterparts obtained by weighted averaging over a spatial neighbourhood of each point under consideration. Obviously, the size of the neighbourhood introduces a characteristic length scale.

The following examples shows a plane strain compression test on water saturated sand. An elasticviscoplastic constitutive model is used. The finite element calculations are carried out using three meshes with an 85, 340 and 1340 element respectively. "The finite element mesh consists of eight-node quadrilateral isoparametric elements with a reduced Gaussian (2×2) integration scheme to eliminate shear locking. The bottom of the sample was assumed to be fixed and rough whereas the boundaries are impervious and adiabatic. Quasi-static loading conditions are assumed, and gravity acceleration is taken into account. Axial compression is applied to the specimen by imposing vertical velocity (1.2 mm/s) on the top [3].

As can be seen from Fig. 1, the thickness of the shear bands remains fairly independent of the mesh size. This gives us some confidence when using the FE code that the problem of slope stability, where shear band propagation and progressive failure are concerned, can indeed be solved.

3. Stability of vegetated slope by FEM

Most shallow landslides are triggered by rainfall. Vegetation is known to play an important role in reducing landslide hazard. We carried out FE simulations to assess the impact of rainfall and vegetation on slope stability. The vegetated slope is considered as a combined mechanical-hydrological system. A sophisticated elastoplastic constitutive model for partially saturated soil was used to describe the mechanical behaviour of the soil. The reinforcement by plant roots is regarded as a hardening mechanism in the constitutive model. The hydrological effect of plant by root uptake is considered in the underlying Richards equation. The architecture of plant roots is also taken into consideration.

Fig. 2 shows the displacements of a slope with and without vegetation after a rainfall of up to 120 hours with an intensity of 18 mm/h. The slope without vegetation loses stability before the vegetated slope by about 10 hours. The slope has an inclination of 45° and a height of 10 metres. The root architecture is assumed to be half spherical with a diameter of 1 m.

The numerical model has some advantages over the traditional slice method based on limit state analysis: "The model is capable of capturing and coupling the main features that should be considered when considering the stability of vegetated slopes. The mechanical root reinforcement is considered based on a macroscopic approach, which is a significant advantage when applying it to large-scale problems. The root architecture can be approximated with geometrical representations. The soil parameters within the root zones are enhanced. However, the 'constant additional cohesion' assumption is avoided, one which is widely used in many existing models. The soil strength is enhanced by the plant roots, whose strength is not constant, but hardens and softens depending on strain. Importantly, the numerical model can simulate the



FIGURE 2. Contours of displacements, obtained at the end of calculations, for the two extreme cases: (a) non-vegetated slope; (b) vegetated slope with both mechanical root reinforcement and evapotranspiration considered. Different shapes of failure surfaces can be observed. Note that the circles are a projection of root zones (values of displacements are given in metres) [4]

root water uptake of plant roots, which leads to the desaturation of the soil mass and gives rise to further enhancement of soil strength [4].

4. Discrete Element Simulation

Following the work by Cundall, the Discrete Element Method (DEM) has become quite commonly used for granular materials. Debris flows involve a large number of granules. Therefore, a working programme led by Prof. Nicot at INRAE, Grenoble, France is devoted to the numerical simulation of flexible barriers against debris flow by DEM.

Check dams are often used as protection structures against debris flow. Recently, flexible barriers (steel meshes) are widely used as protection structures against debris flow in mountainous regions. Such flexible structures absorb the impact energy better than the rigid check dams. The complex interaction between debris flow and flexible structures presents some challenge to the continuum simulation. The DEM offers an attractive alternative to the continuum approach. Moreover, the DEM offers possible links between the microscopic properties of granular materials and their macroscopic behaviour.

The grains of debris flow are usually modelled by spheres. The normal and tangential forces of the particles are obtained from interactions with the help of the normal and tangential aspects of stiffness. The tangential force can be limited by some failure criteria, e.g. Mohr-Coulomb. Usually the model parameters are calibrated by considering some simple and welldefined problems.

Fig. 3 shows a snapshot of the interaction between the debris flow and the flexible barrier. The latter is modelled by net elements. The calculations provide also the forces in the flexible barrier and in the anchor elements. The numerical calculations are carried out with the DEM code YADE developed by colleagues in Grenoble.



FIGURE 3. Snapshots of the granular flow impact on the flexible barrier [5]



FIGURE 4. Set-up of numerical simulation (a) Geometry of the configuration before the simulation starts. (b) Undeformed shape of the barrier. The snapshots on the right show the geometry of the flow before (c), during (d), and after (e) the impact on the barrier [6]

5. A Hybrid Method combining LBM with DEM

Although DEM may provide some insight into the complex interaction between debris flow and the flexible barrier, large scale problems require continuum modelling. The group led by Prof. Herrmann at ETH, Switzerland works on the numerical simulation with the hybrid method by combining LBM (Lattice Boltzmann Method) and DEM. The LBM is well established in computational Fluid Dynamics (CFM). The fluid (water together with fines) is simulated by LBM while the large particles are modelled by DEM. The fluid is considered as a viscous fluid with yield limit by the Bingham model. The interaction between the large particles and the surrounding fluid is considered by an interaction term. The flexible net is modelled by finite elements. This hybrid method benefits from the strength of both methods and offers an optimal way to model the complex interaction between debris flow and a flexible barrier.

Fig. 4 shows the set-up of the numerical simulation. A fluid saturated granular mass flows down a chute with an inclination of 15° . The seepage of fluid and the holding back of large particles upon impact with the flexible net can be clearly observed in Fig. 3 (e).



FIGURE 5. Development of failure surface depicted by total equivalent strain with reduction factor f = 1:9 [7]

6. Smooth Particle Hydrodynamics

For problems with large deformation the FEM often suffers from accuracy and convergence problems as a result of skewed mesh. In recent years, various meshless methods have been proposed to remedy this flaw. Among these, the first and most widely used meshless method is the SPH. Originally proposed to handle hydrodynamic problems in astrophysics, SPH has become very common in fluid dynamics and more recently in solid mechanics.

SPH is a pure Lagrangian method, which makes use of fictive particles with assigned properties like mass, density, velocity, stress and strain. The approximation of the physical properties of the particles is based on an integration interpolation over a support domain. The size of this domain defines the interaction among the particles within its neighbourhood. Through an updated Lagrangian scheme, SPH can handle large deformation and complex material interface with some ease. Like FEM, a constitutive model for the granular material is still required.

Until now, slope failure and debris flow are usually treated in different domains with different methods. SPH offers a promising approach to handle both problems within one single consistent framework and with one numerical method.

Fig. 5. shows the development of the failure surface in a slope over the time. The slope is 5 m high and 10 m wide. A hypoplastic constitutive model was used for the soil. Some 9000 particles were used for the simulation. The progressive failure process is well captured by the SPH. In the SPH calculations, the thickness of shear band is mainly dictated by the particle spacing and size of the support domain. The thickness of the shear band is known to depend on the mean grain diameter of soil. This needs to be improved.

6.1. CENTRIFUGE TESTS ON A SCALED SLOPE MODEL

There are only a few cases of landslides with well documented data and instrumentation in the real world. Sophisticated numerical models require more data for calibration and validation. Geotechnical centrifuge offers a cost-effective alternative to study slope stability under well-defined initial and boundary conditions on a scaled model. In the scaled model, the size of the prototype slope is reduced by a factor N to maintain the geometrical similarity. The mechanical similarity is maintained by using N-fold of the earth acceleration. Centrifuge tests on a scaled slope model are carried out to study the stability of soil slopes under rainfall. The setup and the technical specifications of the geotechnical centrifuge at BOKU can be seen from Fig. 6 and Table 1.

A fine sand with $d_{50} = 0.232 \text{ mm}$ with fines was used. The grading was as follows: 83 % sand, 13.4 % silt and 2.8 % clay. We carried out triaxial tests on unsaturated soil. The friction angle is about 35° but depends on the initial density and the confining pressure. The cohesion depends mainly on the suction i.e. water content.

The slope height is 20 cm and the slope inclination is 45° . The slope was prepared by moist tamping. The displacement was measured through a glass window and evaluated by PIV. Rainfall was simulated by purpose designed nozzles. Fig. 7 shows the shear strain from PIV measurements. The acceleration is 30g, which corresponds to a prototype slope of about 6 m. The test was carried out with initial dry density 1.4 g/cm^3 , the initial water content 11.5 %, and the rainfall intensity 11.8 mm/h.

Some 20 secs after rainfall is initiated, a shear band can be observed. This shear band propagates and becomes highly localized at t = 30 s. By varying the parameters, we can establish a relationship between rainfall intensity and duration. This compares well with the available data in the literature. Our dataset can be used for validation of the numerical simulations.

7. CONCLUSION

Landslide and debris flow remain an active research area. The research is highly rewarding for actual practice but also highly challenging. Better understanding of soil behaviour and better numerical techniques are needed. In order to model slope failure and debris flow within one consistent framework, we need a constitutive model for solid-like and fluid-like behaviour.



FIGURE 6. Geotechnical centrifuge at BOKU

Diameter of Centrifuge [mm]	3,000
Radius to base of swinging platform, r_{max} [mm]	1,308.5
Radial acceleration [g]	0 to 200
Angular velocity [rpm]	0 to 400
Deviation in angular velocity [%]	± 0.1
Max. load capacity $[g - kg]$	9,070
Max. payload [kg]	90.7
Max. model dimensions $w \times d \times h$ [mm]	$538 \times 556 \times 540$

TABLE 1. Technical specifications of the centrifuge at BOKU



FIGURE 7. Snap shots of shear strain for the rainfall duration of t = 10, 20, 30, 40 s [8]

Soil mechanics handles the former, fluid dynamics the latter. A model unifying both regimes remains to be developed.

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