

## The Čech number of $C_p(X)$ when $X$ is an ordinal space

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**ABSTRACT.** The Čech number of a space  $Z$ ,  $\check{C}(Z)$ , is the pseudocharacter of  $Z$  in  $\beta Z$ . In this article we obtain, in *ZFC* and assuming *SCH*, some upper and lower bounds of the Čech number of spaces  $C_p(X)$  of realvalued continuous functions defined on an ordinal space  $X$  with the pointwise convergence topology.

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### 1. NOTATIONS AND BASIC RESULTS

In this article, every space  $X$  is a Tychonoff space. The symbols  $\omega$  (or  $\mathbb{N}$ ),  $\mathbb{R}$ ,  $I$ ,  $\mathbb{Q}$  and  $\mathbb{P}$  stand for the set of natural numbers, the real numbers, the closed interval  $[0, 1]$ , the rational numbers and the irrational numbers, respectively. Given two spaces  $X$  and  $Y$ , we denote by  $C(X, Y)$  the set of all continuous functions from  $X$  to  $Y$ , and  $C_p(X, Y)$  stands for  $C(X, Y)$  equipped with the topology of pointwise convergence, that is, the topology in  $C(X, Y)$  of subspace of the Tychonoff product  $Y^X$ . The space  $C_p(X, \mathbb{R})$  is denoted by  $C_p(X)$ . The restriction of a function  $f$  with domain  $X$  to  $A \subset X$  is denoted by  $f \upharpoonright A$ . For a space  $X$ ,  $\beta X$  is its Stone-Čech compactification.

Recall that for  $X \subset Y$ , the *pseudocharacter of  $X$  in  $Y$*  is defined as

$$\Psi(X, Y) = \min\{|\mathcal{U}| : \mathcal{U} \text{ is a family of open sets in } Y \text{ and } X = \bigcap \mathcal{U}\}.$$

#### Definition 1.1.

- (1) The Čech number of a space  $Z$  is  $\check{C}(Z) = \Psi(Z, \beta Z)$ .
- (2) The  $k$ -covering number of a space  $Z$  is  $kcov(Z) = \min\{|\mathcal{K}| : \mathcal{K} \text{ is a compact cover of } Z\}$ .

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We have that (see Section 1 in [8]):  $\check{C}(Z) = 1$  if and only if  $Z$  is locally compact;  $\check{C}(Z) \leq \omega$  if and only if  $Z$  is Čech-complete;  $\check{C}(Z) = \text{kcov}(\beta Z \setminus Z)$ ; if  $Y$  is a closed subset of  $Z$ , then  $\text{kcov}(Y) \leq \text{kcov}(Z)$  and  $\check{C}(Y) \leq \check{C}(Z)$ ; if  $f : Z \rightarrow Y$  is an onto continuous function, then  $\text{kcov}(Y) \leq \text{kcov}(Z)$ ; if  $f : Z \rightarrow Y$  is perfect and onto, then  $\text{kcov}(Y) = \text{kcov}(Z)$  and  $\check{C}(Y) = \check{C}(Z)$ ; if  $bZ$  is a compactification of  $Z$ , then  $\check{C}(Z) = \Psi(Z, bZ)$ .

We know that  $\check{C}(C_p(X)) \leq \aleph_0$  if and only if  $X$  is countable and discrete ([7]), and  $\check{C}(C_p(X, I)) \leq \aleph_0$  if and only if  $X$  is discrete ([9]).

For a space  $X$ ,  $ec(X)$  (*the essential cardinality of  $X$* ) is the smallest cardinality of a closed and open subspace  $Y$  of  $X$  such that  $X \setminus Y$  is discrete. Observe that, for such a subspace  $Y$  of  $X$ ,  $\check{C}(C_p(X, I)) = \check{C}(C_p(Y, I))$ . In [8] it was pointed out that  $ec(X) \leq \check{C}(C_p(X, I))$  and  $\check{C}(C_p(X)) = |X| \cdot \check{C}(C_p(X, I))$  always hold. So, if  $X$  is discrete,  $\check{C}(C_p(X)) = |X|$ , and if  $|X| = ec(X)$ ,  $\check{C}(C_p(X)) = \check{C}(C_p(X, I))$ .

Consider in the set of functions from  $\omega$  to  $\omega$ ,  ${}^\omega\omega$ , the partial order  $\leq^*$  defined by  $f \leq^* g$  if  $f(n) \leq g(n)$  for all but finitely many  $n \in \omega$ . A collection  $D$  of  $({}^\omega\omega, \leq^*)$  is *dominating* if for every  $h \in {}^\omega\omega$  there is  $f \in D$  such that  $h \leq^* f$ . As usual, we denote by  $\mathfrak{d}$  the cardinal number  $\min\{|D| : D \text{ is a dominating subset of } {}^\omega\omega\}$ . It is known that  $\mathfrak{d} = \text{kcov}(\mathbb{P})$  (see [3]); so  $\mathfrak{d} = \check{C}(\mathbb{Q})$ . Moreover,  $\omega_1 \leq \mathfrak{d} \leq \mathfrak{c}$ , where  $\mathfrak{c}$  denotes the cardinality of  $\mathbb{R}$ .

We will denote a cardinal number  $\tau$  with the discrete topology simply as  $\tau$ ; so, the space  $\tau^\kappa$  is the Tychonoff product of  $\kappa$  copies of the discrete space  $\tau$ . The cardinal number  $\tau$  with the order topology will be symbolized by  $[0, \tau)$ .

In this article we will obtain some upper and lower bounds of  $\check{C}(C_p(X, I))$  when  $X$  is an ordinal space; so this article continues the efforts made in [1] and [8] in order to clarify the behavior of the number  $\check{C}(C_p(X, I))$  for several classes of spaces  $X$ .

For notions and concepts not defined here the reader can consult [2] and [4].

## 2. THE ČECH NUMBER OF $C_p(X)$ WHEN $X$ IS AN ORDINAL SPACE

For an ordinal number  $\alpha$ , let us denote by  $[0, \alpha)$  and  $[0, \alpha]$  the set of ordinals  $< \alpha$  and the set of ordinals  $\leq \alpha$ , respectively, with its order topology. Observe that for every ordinal number  $\alpha \leq \omega$ ,  $[0, \alpha)$  is a discrete space, so, in this case,  $\check{C}(C_p([0, \alpha), I)) = 1$ . If  $\omega < \alpha < \omega_1$ , then  $[0, \alpha)$  is a countable metrizable space, hence, by Theorem 7.4 in [1],  $\check{C}(C_p([0, \alpha), I)) = \mathfrak{d}$ . We will analyze the number  $\check{C}(C_p([0, \alpha), I))$  for an arbitrary ordinal number  $\alpha$ .

We are going to use the following symbols:

**Notations 2.1.** For each  $n < \omega$ , we will denote as  $\mathcal{E}_n$  the collection of intervals

$$[0, 1/2^{n+1}), (1/2^{n+2}, 3/2^{n+2}), (1/2^{n+1}, 2/2^{n+1}), (3/2^{n+2}, 5/2^{n+2}), \dots, ((2^{n+2} - 2)/2^{n+2}, (2^{n+2} - 1)/2^{n+2}), ((2^{n+1} - 1)/2^{n+1}, 1].$$

Observe that  $\mathcal{E}_n$  is an irreducible open cover of  $[0, 1]$  and each element in  $\mathcal{E}_n$  has diameter  $= 1/2^{n+1}$ . For a set  $S$  and a point  $y \in S$ , we will use the symbol  $[yS]^{<\omega}$  in order to denote the collection of finite subsets of  $S$  containing  $y$ .

Moreover, if  $\gamma$  and  $\alpha$  are ordinal numbers with  $\gamma \leq \alpha$ ,  $[\gamma, \alpha]$  is the set of ordinal numbers  $\lambda$  which satisfy  $\gamma \leq \lambda \leq \alpha$ . The expression  $\alpha_0 < \alpha_1 < \dots < \alpha_n < \dots \nearrow \gamma$  will mean that the sequence  $(\alpha_n)_{n < \omega}$  of ordinal numbers is strictly increasing and converges to  $\gamma$ .

**Lemma 2.2.** *Let  $\gamma$  be an ordinal number such that there is  $\omega < \alpha_0 < \alpha_1 < \dots < \alpha_n < \dots \nearrow \gamma$ . Then  $\check{C}(C_p([0, \gamma], I) \leq \check{C}(C_p([0, \gamma], I) \cdot \text{kcov}(|\gamma|^\omega)$ .*

*Proof.* For  $m < \omega$ ,  $F \in [\gamma[\alpha_m, \gamma]]^{<\omega} = \{M \subset [\alpha_m, \gamma] : |M| < \aleph_0 \text{ and } \gamma \in M\}$  and  $n < \omega$ , define

$$B(m, F, n) = \bigcup_{E \in \mathcal{E}_n} B(m, F, E)$$

where  $B(m, F, E) = \prod_{x \in [0, \gamma]} J_x$  with  $J_x = E$  if  $x \in F$ , and  $J_x = I$  otherwise. (So,  $B(m, F, n)$  is open in  $I^{[0, \gamma]}$ .) Define

$$B(m, n) = \bigcap \{B(m, F, n) : F \in [\gamma[\alpha_m, \gamma]]^{<\omega}\}.$$

Observe that  $B(m, n)$  is the intersection of at most  $|\gamma|$  open sets  $B(m, F, n)$ .

Define  $G(n) = \bigcup_{m < \omega} B(m, n)$ , and  $G = \bigcap_{n < \omega} G(n)$ .

**Claim:**  $G$  is the set of all functions  $g : [0, \gamma] \rightarrow [0, 1]$  which are continuous at  $\gamma$ .

*Proof of the claim:* Let  $g : [0, \gamma] \rightarrow [0, 1]$  be continuous at  $\gamma$ . Given  $n < \omega$  there is  $E \in \mathcal{E}_n$  such that  $g(\gamma) \in E$ . Since  $g$  is continuous at  $\gamma$ , there is  $\beta < \gamma$  so that  $g(t) \in E$  if  $t \in [\beta, \gamma]$ . Fix  $m < \omega$  so that  $\beta < \alpha_m$ . For every  $F \in [\gamma[\alpha_m, \gamma]]^{<\omega}$  we have that  $g \in B(m, F, E) \subset B(m, F, n)$ ; hence,  $g \in B(m, n) \subset G(n)$ . We conclude that  $g$  belongs to  $G$ .

Now, let  $h \in G$ . We are going to prove that  $h$  is continuous at  $\gamma$ . Assume the contrary, that is, there exist  $\epsilon > 0$  and a sequence  $t_0 < t_1 < \dots < t_n < \dots \nearrow \gamma$  such that

$$(1) \quad |f(t_j) - f(\gamma)| \geq \epsilon,$$

for every  $j < \omega$ . Fix  $n < \omega$  such that  $1/2^{n+1} < \epsilon$ .

Since  $h \in G$ , then  $h \in G(n)$  and there is  $m \geq 0$  such that  $h \in B(m, n)$ . Choose  $t_{n_p} > \alpha_m$  and take  $F = \{t_{n_p}, \gamma\}$ . Thus  $h \in B(m, F, n)$ , but if  $E \in \mathcal{E}_n$  and  $h(\gamma) \in E$ , then  $h(t_{n_p}) \notin E$ , which is a contradiction. So, the claim has been proved.

Now, we have  $I^{[0, \gamma]} \setminus G = \bigcup_{n < \omega} (I^{[0, \gamma]} \setminus G(n))$ , and

$$I^{[0, \gamma]} \setminus G(n) = \bigcap_{m < \omega} \bigcup_{F \in [\gamma[\alpha_m, \gamma]]^\omega} (I^{[0, \gamma]} \setminus B(m, F, n)).$$

So  $I^{[0, \gamma]} \setminus G(n)$  is an  $F_{|\gamma|^\delta}$ -set. By Corollary 3.4 in [8],  $\text{kcov}(I^{[0, \gamma]} \setminus G(n)) \leq \text{kcov}(|\gamma|^\omega)$ . Hence,  $\check{C}(G) = \text{kcov}(I^{[0, \gamma]} \setminus G) \leq \aleph_0 \cdot \text{kcov}(|\gamma|^\omega)$ . Thus, it follows that

$$\check{C}(C_p([0, \gamma], I) \leq \check{C}(C_p([0, \gamma], I) \cdot \text{kcov}(|\gamma|^\omega).$$

□

**Lemma 2.3.** *If  $\gamma < \alpha$ , then  $\check{C}(C_p([0, \gamma], I)) \leq \check{C}(C_p([0, \alpha], I))$ .*

*Proof.* First case:  $\gamma = \beta + 1$ .

In this case,  $[0, \gamma] = [0, \beta]$  and the function  $\phi : [0, \alpha] \rightarrow [0, \beta]$  defined by  $\phi(x) = x$  if  $x \leq \beta$  and  $\phi(x) = \beta$  if  $x > \beta$  is a quotient. So,  $\phi^\# : C_p([0, \beta], I) \rightarrow C_p([0, \alpha], I)$  defined by  $\phi^\#(f) = f \circ \phi$ , is a homeomorphism between  $C_p([0, \beta], I)$  and a closed subset of  $C_p([0, \alpha], I)$  (see [2], pages 13,14). Then, in this case,  $\check{C}(C_p([0, \gamma], I)) \leq \check{C}(C_p([0, \alpha], I))$ .

Now, in order to finish the proof of this Lemma, it is enough to show that for every limit ordinal number  $\alpha$ ,  $\check{C}(C_p([0, \alpha], I)) \leq \check{C}(C_p([0, \alpha], I))$ .

Let  $\kappa = \text{cof}(\alpha)$ , and  $\alpha_0 < \alpha_1 < \dots < \alpha_\lambda < \dots \nearrow \alpha$  with  $\lambda < \kappa$ . For each of these  $\lambda$ , we know, because of the proof of the first case, that  $\kappa_\lambda = \check{C}(C_p([0, \alpha_\lambda], I)) \leq \check{C}(C_p([0, \alpha], I))$ . Let, for each  $\lambda < \kappa$ ,  $\{V_\xi^\lambda : \xi < \kappa_\lambda\}$  be a collection of open subsets of  $I^{[0, \alpha_\lambda]}$  such that  $C_p([0, \alpha_\lambda], I) = \bigcap_{\xi < \kappa_\lambda} V_\xi^\lambda$ . For each  $\lambda < \kappa$  and each  $\xi < \kappa_\lambda$ , we take  $W_\xi^\lambda = V_\xi^\lambda \times I^{(\alpha_\lambda, \alpha)}$ . Each  $W_\xi^\lambda$  is open in  $I^{[0, \alpha]}$  and  $\bigcap_{\lambda < \kappa} \bigcap_{\xi < \kappa_\lambda} W_\xi^\lambda = C_p([0, \alpha], I)$ . Therefore,  $\check{C}(C_p([0, \alpha], I)) \leq \kappa \cdot \sup\{\kappa_\lambda : \lambda < \kappa\} \leq \kappa \cdot \check{C}(C_p([0, \alpha], I))$ . But  $\kappa \leq |\alpha| = \text{ec}([0, \alpha]) \leq \check{C}(C_p([0, \alpha], I))$ .

Then,  $\check{C}(C_p([0, \alpha], I)) \leq \check{C}(C_p([0, \alpha], I))$ .  $\square$

**Lemma 2.4.** *Let  $\alpha$  be a limit ordinal number  $> \omega$ . Then*

$$\check{C}(C_p([0, \alpha], I)) = |\alpha| \cdot \sup_{\gamma < \alpha} \check{C}(C_p([0, \gamma], I)).$$

*In particular,  $\check{C}(C_p([0, \alpha], I)) = \sup_{\gamma < \alpha} \check{C}(C_p([0, \gamma], I))$  if  $\text{cof}(\alpha) < \alpha$ .*

*Proof.* By Lemma 2.3,  $\sup_{\gamma < \alpha} \check{C}(C_p([0, \gamma], I)) \leq \check{C}(C_p([0, \alpha], I))$ , and, by Corollary 4.8 in [8],  $|\alpha| \leq \check{C}(C_p([0, \alpha], I))$ .

For each  $\gamma < \alpha$ , we write  $\kappa_\gamma$  instead of  $\check{C}(C_p([0, \gamma], I))$ . Let  $\{V_\lambda^\gamma : \lambda < \kappa_\gamma\}$  be a collection of open sets in  $I^\gamma$  such that  $C_p([0, \gamma], I) = \bigcap_{\lambda < \kappa_\gamma} V_\lambda^\gamma$ . Now we put  $W_\lambda^\gamma = V_\lambda^\gamma \times I^{[\gamma, \alpha]}$ . We have that  $W_\lambda^\gamma$  is open for every  $\gamma < \alpha$  and every  $\lambda < \kappa_\gamma$ , and  $C_p([0, \alpha], I) = \bigcap_{\gamma < \alpha} \bigcap_{\lambda < \kappa_\gamma} W_\lambda^\gamma$ . So,  $\check{C}(C_p([0, \alpha], I)) = |\alpha| \cdot \sup_{\gamma < \alpha} \check{C}(C_p([0, \gamma], I))$ .  $\square$

In order to prove the following result it is enough to mimic the prove of 5.12.(c) in [5].

**Lemma 2.5.** *If  $\alpha$  is an ordinal number with  $\text{cof}(\alpha) > \omega$  and  $f \in C_p([0, \alpha], I)$ , then there is  $\gamma_0 < \alpha$  for which  $f \upharpoonright [\gamma_0, \alpha]$  is a constant function.*

**Lemma 2.6.** *If  $\alpha$  is an ordinal number with cofinality  $> \omega$ , then  $\check{C}(C_p([0, \alpha], I)) = \check{C}(C_p([0, \alpha], I))$ .*

*Proof.* Let  $\kappa = \check{C}(C_p([0, \alpha], I))$ . There are open sets  $V_\lambda$  ( $\lambda < \kappa$ ) in  $I^{[0, \alpha]}$  such that  $C_p([0, \alpha], I) = \bigcap_{\lambda < \kappa} V_\lambda$ . For each  $\lambda < \kappa$ , we take  $W_\lambda = V_\lambda \times I^{\{\alpha\}}$ . Each  $W_\lambda$  is open in  $I^{[0, \alpha]}$  and  $\bigcap_{\lambda < \kappa} W_\lambda = \{f : [0, \alpha] \rightarrow I \mid f \upharpoonright [0, \alpha] \in C_p([0, \alpha], I)\}$ .

For each  $(\gamma, \xi, E) \in \alpha \times \alpha \times \mathcal{E}_n$ , we take  $B(\gamma, \xi, E) = \prod_{\lambda \leq \alpha} J_\lambda$  where  $J_\lambda = E$  if  $\lambda \in \{\xi + \gamma, \alpha\}$ , and  $J_\lambda = I$  otherwise. Let  $B(\gamma, \xi, n) = \bigcup_{E \in \mathcal{E}_n} B(\gamma, \xi, E)$ .

Finally, we define  $B(\gamma) = \bigcup_{\xi < \alpha} B(\gamma, \xi, n)$ , which is an open subset of  $I^{[0, \alpha]}$ . We denote by  $M$  the set  $\bigcap_{\lambda < \kappa} W_\lambda \cap \bigcap_{\gamma < \alpha} B(\gamma)$ . We are going to prove that  $C_p([0, \alpha], I) = M$ .

Let  $f \in C_p([0, \alpha], I)$ . We know that  $f \in \bigcap_{\lambda < \kappa} W_\lambda$ , so we only have to prove that  $f \in \bigcap_{\gamma < \alpha} B(\gamma)$ . For  $n < \omega$ , there is  $E \in \mathcal{E}_n$  such that  $f(\alpha) \in E$ . Since  $f \in C([0, \alpha], I)$ , there are  $\gamma_0 < \alpha$  and  $r_0 \in I$  such that  $f(\lambda) = r_0$  if  $\gamma_0 \leq \lambda < \alpha$ . Let  $\chi < \alpha$  such that  $\chi + \gamma \geq \gamma_0$ . Thus,  $f \in B(\gamma, \chi, n) \subset B(\gamma)$ . Therefore,  $C_p([0, \alpha], I) \subset M$ .

Take an element  $f$  of  $M$ . Since  $f \in \bigcap_{\lambda < \alpha} W_\lambda$ ,  $f$  is continuous at every  $\gamma < \alpha$ , thus  $f \upharpoonright [\gamma_0, \alpha) = r_0$  for a  $\gamma_0 < \alpha$  and an  $r_0 \in I$ .

For each  $n < \omega$ , and each  $\gamma \geq \gamma_0$ ,  $f \in B(\gamma, \xi, n)$  for some  $\xi < \alpha$ . Then,  $|r_0 - f(\alpha)| = |f(\gamma + \xi) - f(\alpha)| < 1/2^n$ . But, these relations hold for every  $n$ . So,  $f(\alpha)$  must be equal to  $r_0$ , and this means that  $f$  is continuous at every point.

Therefore,  $\check{C}(C_p([0, \alpha], I)) \leq |\alpha| \cdot \check{C}(C_p([0, \alpha), I))$ . Since  $\check{C}(C_p([0, \alpha), I)) \geq ec([0, \alpha]) = |\alpha|$ ,  $\check{C}(C_p([0, \alpha], I)) \leq \check{C}(C_p([0, \alpha), I))$ . Finally, Lemma 2.3 gives us the inequality  $\check{C}(C_p([0, \alpha), I)) \leq \check{C}(C_p([0, \alpha], I))$ .  $\square$

**Theorem 2.7.** *For every ordinal number  $\alpha > \omega$ ,*

$$|\alpha| \cdot \mathfrak{d} \leq \check{C}(C_p([0, \alpha), I)) \leq kcov(|\alpha|^\omega).$$

*Proof.* Because of Theorem 7.4 in [1], Corollary 4.8 in [8] and Lemma 2.3 above,  $|\alpha| \cdot \mathfrak{d} \leq \check{C}(C_p([0, \alpha), I))$ .

Now, if  $\omega < \alpha < \omega_1$ , we have that  $\check{C}(C_p([0, \alpha), I)) \leq kcov(|\alpha|^\omega)$  because of Corollary 4.2 in [1].

We are going to finish the proof by induction. Assume that the inequality  $\check{C}(C_p([0, \gamma), I)) \leq kcov(|\gamma|^\omega)$  holds for every  $\omega < \gamma < \alpha$ . By Lemma 2.4 and inductive hypothesis, if  $\alpha$  is a limit ordinal, then

$$\check{C}(C_p([0, \alpha), I)) \leq |\alpha| \cdot \sup_{\gamma < \alpha} kcov(|\gamma|^\omega) \leq kcov(|\alpha|^\omega).$$

If  $\alpha = \gamma_0 + 2$ , then  $\check{C}(C_p([0, \alpha), I)) = \check{C}(C_p([0, \gamma_0 + 1), I)) \leq kcov(|\gamma_0 + 1|^\omega) = kcov(|\alpha|^\omega)$ .

Now assume that  $\alpha = \gamma_0 + 1$ ,  $\gamma_0$  is a limit and  $cof(\gamma_0) = \omega$ . We know by Lemma 2.2 that  $\check{C}(C_p([0, \gamma_0 + 1), I)) \leq \check{C}(C_p([0, \gamma_0), I)) \cdot kcov(|\gamma_0|^\omega)$ . So, by inductive hypothesis we obtain what is required.

The last possible case:  $\alpha = \gamma_0 + 1$ ,  $\gamma_0$  is limit and  $cof(\gamma_0) > \omega$ .

By Lemma 2.6, we have  $\check{C}(C_p([0, \gamma_0 + 1), I)) = |\alpha| \cdot \check{C}(C_p([0, \gamma_0), I))$ . By inductive hypothesis,  $\check{C}(C_p([0, \gamma_0), I)) \leq kcov(|\alpha|^\omega)$ . Since  $|\alpha| \leq kcov(|\alpha|^\omega)$ , we conclude that  $\check{C}(C_p([0, \alpha), I)) \leq kcov(|\alpha|^\omega)$ .  $\square$

As a consequence of Proposition 3.6 in [8] (see Proposition 2.11, below) and the previous Theorem, we obtain:

**Corollary 2.8.** *For an ordinal number  $\omega < \alpha < \omega_\omega$ ,  $\check{C}(C_p([0, \alpha), I)) = |\alpha| \cdot \mathfrak{d}$ .*

In particular, we have:

**Corollary 2.9.**  $\check{C}(C_p([0, \omega_1], I)) = \check{C}(C_p([0, \omega_1], I)) = \mathfrak{d}$ .

By using similar techniques to those used throughout this section we can also prove the following result.

**Corollary 2.10.** *For every ordinal number  $\alpha > \omega$  and every  $1 \leq n < \omega$ ,*

$$|\alpha| \cdot \mathfrak{d} \leq \check{C}(C_p([0, \alpha]^n, I)) \leq \text{kcov}(|\alpha|^\omega).$$

For a generalized linearly ordered topological space  $X$ ,  $\chi(X) \leq \text{ec}(X)$ , so  $\chi(X) \leq \check{C}(C_p(X, I))$ , where  $\chi(X)$  is the character of  $X$ . This is not the case for every topological space, even if  $X$  is a countable  $EG$ -space, as was pointed out by O. Okunev to the authors. Indeed, let  $X$  be a countable dense subset of  $C_p(I)$ . We have that  $\chi(X) = \chi(C_p(I)) = \mathfrak{c}$  and  $\check{C}(C_p(X, I)) = \mathfrak{d}$ . So, it is consistent with  $ZFC$  that there is a countable  $EG$ -space  $X$  with  $\chi(X) > \check{C}(C_p(X, I))$ .

One is tempted to think that for every linearly ordered space  $X$ , the relation  $\check{C}(C_p(X, I)) \leq \text{kcov}(\chi(X)^\omega)$  is plausible. But this illusion vanishes quickly; in fact, when  $\mathfrak{d} < 2^\omega$  and  $X$  is the double arrow, then  $X$  has countable character and  $\text{ec}(X) = |X| = 2^\omega$ . Hence,  $\check{C}(C_p(X, I)) \geq 2^\omega > \mathfrak{d} = \text{kcov}(\chi(X)^\omega)$  (compare with Theorem 2.7, above, and Corollary 7.7 in [1]).

In [8] the following was remarked:

**Proposition 2.11.**

- (1) *For every cardinal number  $\omega \leq \tau < \omega_\omega$ ,  $\text{kcov}(\tau^\omega) = \tau \cdot \mathfrak{d}$ ,*
- (2) *for every cardinal  $\tau \geq \lambda$ ,  $\text{kcov}((\tau^+)^\lambda) = \tau^+ \cdot \text{kcov}(\tau^\lambda)$ , and,*
- (3) *if  $\text{cf}(\tau) > \lambda$ , then  $\text{kcov}(\tau^\lambda) = \tau \cdot \sup\{\text{kcov}(\mu^\lambda) : \mu < \tau\}$ .*

**Lemma 2.12.** *For every cardinal number  $\kappa$  with  $\text{cof}(\kappa) = \omega$ , we have that  $\text{kcov}(\kappa^\omega) > \kappa$ .*

*Proof.* Let  $\{K_\lambda : \lambda < \kappa\}$  be a collection of compact subsets of  $\kappa^\omega$ . Let  $\alpha_0 < \alpha_1 < \dots < \alpha_n < \dots$  be an strictly increasing sequence of cardinal numbers converging to  $\kappa$ . We are going to prove that  $\bigcup_{\lambda < \kappa} K_\lambda$  is a proper subset of  $\kappa^\omega$ . Denote by  $\pi_n : \kappa^\omega \rightarrow \kappa$  the  $n$ -projection. Since  $\pi_n$  is continuous and  $K_\lambda$  is compact,  $\pi_n(K_\lambda)$  is a compact subset of the discrete space  $\kappa$ , so, it is finite. Thus, we have that  $|\bigcup_{\lambda < \alpha_n} \pi_n(K_\lambda)| \leq \alpha_n < \kappa$  for each  $n < \omega$ . Hence, for every  $n < \omega$ , we can take  $\xi_n \in \kappa \setminus \bigcup_{\lambda < \alpha_n} \pi_n(K_\lambda)$ . Consider the point  $\xi = (\xi_n)_{n < \omega}$  of  $\kappa^\omega$ . We claim that  $\xi \notin \bigcup_{\lambda < \kappa} K_\lambda$ . Indeed, assume that  $\xi \in K_{\lambda_0}$ . There is  $n < \omega$  such that  $\lambda_0 < \alpha_n$ . So,  $\xi_n \in \bigcup_{\lambda < \alpha_n} \pi_n(K_\lambda)$  which is not possible.  $\square$

Recall that *the Singular Cardinals Hypothesis (SCH)* is the assertion:

*For every singular cardinal number  $\kappa$ , if  $2^{\text{cof}(\kappa)} < \kappa$ , then  $\kappa^{\text{cof}(\kappa)} = \kappa^+$ .*

A proposition, apparently weaker than *SCH*, is: “for every cardinal number  $\kappa$  with  $\text{cof}(\kappa) = \omega$ , if  $2^\omega < \kappa$ , then  $\kappa^\omega = \kappa^+$ .” But this last assertion is equivalent to *SCH* as was settled by Silver (see [6], Theorem 23).

**Proposition 2.13.** *If we assume SCH and  $\mathfrak{c} \leq (\omega_\omega)^+$ , and if  $\tau$  is an infinite cardinal number, then*

$$(*) \quad kcov(\tau^\omega) = \begin{cases} \tau \cdot \mathfrak{d} & \text{if } \omega \leq \tau < \omega_\omega \\ \tau & \text{if } \tau > \omega_\omega \text{ and } cof(\tau) > \omega \\ \tau^+ & \text{if } \tau > \omega \text{ and } cof(\tau) = \omega \end{cases}$$

*Proof.* Our proposition is true for every  $\omega \leq \tau < \omega_\omega$  because of (1) in Proposition 2.11.

Assume now that  $\kappa \geq \omega_\omega$  and that (\*) holds for every  $\tau < \kappa$ . We are going to prove the assertion for  $\kappa$ .

**Case 1:**  $cof(\kappa) = \omega$ . By Lemma 2.12,  $kcov(\kappa^\omega) > \kappa$ . On the other hand,  $kcov(\kappa^\omega) \leq \kappa^\omega$ .

**First two subcases:** Either  $\mathfrak{c} < \omega_\omega$  or  $\kappa > \omega_\omega$ . In both subcases, we can apply SCH and conclude that  $kcov(\kappa^\omega) = \kappa^+$ .

**Third subcase:**  $\mathfrak{c} = (\omega_\omega)^+$  and  $\kappa = \omega_\omega$ . In this case we have  $kcov((\omega_\omega)^\omega) \leq (\omega_\omega)^\omega \leq \mathfrak{c}^\omega = \mathfrak{c} = (\omega_\omega)^+$ . Moreover, by Lemma 2.12,  $(\omega_\omega)^+ \leq kcov((\omega_\omega)^\omega)$ . Therefore,  $kcov((\omega_\omega)^\omega) = (\omega_\omega)^+$ .

**Case 2:**  $cof(\kappa) > \omega$ . By Proposition 2.11 (3),  $kcov(\kappa^\omega) = \kappa \cdot \sup\{kcov(\mu^\omega) : \omega \leq \mu < \kappa\}$ . By inductive hypothesis we have that for each  $\mu < \kappa$

$$(**) \quad kcov(\mu^\omega) = \begin{cases} \mu \cdot \mathfrak{d} & \text{if } \omega \leq \mu < \omega_\omega \\ \mu & \text{if } \mu > \omega_\omega \text{ and } cof(\mu) > \omega \\ \mu^+ & \text{if } \mu > \omega \text{ and } cof(\mu) = \omega \end{cases}$$

**First subcase:**  $\kappa$  is a limit cardinal. For every  $\mu < \kappa$ ,  $kcov(\mu^\omega) < \kappa$  (because of (\*\*)) and because we assumed that  $\kappa > (\omega_\omega)^+ \geq \mathfrak{c} \geq \mathfrak{d}$ ; and so  $\sup\{kcov(\mu^\omega) : \mu < \kappa\} = \kappa$ . Thus,  $kcov(\kappa^\omega) = \kappa$ .

**Second subcase:** Assume now that  $\kappa = \mu_0^+$ . In this case, by Proposition 2.11,  $kcov(\kappa^\omega) = \kappa \cdot kcov(\mu_0^\omega)$ . Because of (\*\*)) and because  $\mu_0 \geq \omega_\omega$ ,  $kcov(\mu_0^\omega) \leq \kappa$ . We conclude that  $kcov(\kappa^\omega) = \kappa$ .  $\square$

**Proposition 2.14.** *Let  $\kappa$  be a cardinal number with  $cof(\kappa) = \omega$ . Then*

$$\check{C}(C_p([0, \kappa], I)) > \kappa.$$

*Proof.* Let  $0 = \alpha_0 < \alpha_1 < \dots < \alpha_n < \dots$  be a strictly increasing sequence of cardinal numbers converging to  $\kappa$ . Assume that  $\{V_\lambda : \lambda < \kappa\}$  is a collection of open sets in  $I^{[0, \kappa]}$  which satisfies  $C_p([0, \kappa], I) \subset \bigcap_{\lambda < \kappa} V_\lambda$ . We are going to prove that  $\bigcap_{\lambda < \kappa} V_\lambda$  contains a function  $h : [0, \kappa] \rightarrow I$  which is not continuous. In order to construct  $h$ , we are going to define, by induction, the following sequences:

(i) elements  $t_0, \dots, t_n, \dots$  which belong to  $[0, \kappa]$  such that

- (1)  $0 = t_0 < t_1 < \dots < t_n < \dots$ ,
- (2)  $t_i \geq \alpha_i$  for each  $0 \leq i < \omega$ ,
- (3) each  $t_i$  is an isolated ordinal, and
- (4)  $\kappa = \lim(t_n)$ ;

(ii) subsets  $G_0, \dots, G_n, \dots \subset [0, \kappa]$  with  $|G_i| \leq \alpha_i$  for every  $i < \omega$ , and such that each function which equals 0 in  $G_i$  and 1 in  $\{t_0, \dots, t_i\}$  belongs to  $\bigcap_{\lambda < \alpha_i} V_\lambda$  for every  $0 \leq i < \omega$  and  $(\bigcup_n G_n) \cap \{t_0, \dots, t_n, \dots\} = \emptyset$ ;

(iii) functions  $f_0, f_1, \dots, f_n, \dots$  such that  $f_0 \equiv 0$ , and  $f_i$  is the characteristic function defined by  $\{t_0, \dots, t_{i-1}\}$  for each  $0 < i < \omega$ .

Let  $f_0$  be the constant function equal to 0. Assume that we have already defined  $t_0, \dots, t_{s-1}$ ,  $G_0, \dots, G_{s-1}$  and  $f_0, \dots, f_{s-1}$ . We now choose an isolated point  $t_s \in [\alpha_s, \kappa] \setminus G_0 \cup \dots \cup G_{s-1}$  (this is possible because  $|G_0 \cup \dots \cup G_{s-1}| < \kappa$ ). Consider the characteristic function defined by  $\{t_0, \dots, t_{s-1}, t_s\}$ ,  $f_s$ . This function is continuous, so it belongs to  $\bigcap_{\lambda < \alpha_s} V_\lambda$ . For each  $\lambda < \alpha_s$ , there is a canonical open set  $A_\lambda^s$  of the form  $[f_s; x_1^s, \dots, x_{n^s(\lambda)}^s; 1/m^s(\lambda)] = \{f \in I^{[0, \kappa]} : |f_s(x_i^s) - f(x_i^s)| < 1/m^s(\lambda) \forall 1 \leq i \leq n^s(\lambda)\}$  satisfying  $f_s \in A_\lambda^s \subset V_\lambda$ . For each  $\lambda < \alpha_s$  we take  $F_\lambda^s = \{x_1^s, \dots, x_{n^s(\lambda)}^s\}$ . Put  $G_s = \bigcup_{\lambda < \alpha_s} F_\lambda^s \setminus \{t_0, \dots, t_s\}$ . It happens that  $\{f \in I^{[0, \kappa]} : f(x) = 0 \forall x \in G_s \text{ and } f(t_i) = 1 \forall 0 \leq i \leq s\}$  is a subset of  $\bigcap_{\lambda < \alpha_s} V_\lambda$ . This finishes the inductive construction of the required sequences.

Now, consider the function  $h : [0, \kappa] \rightarrow [0, 1]$  defined by  $h(x) = 0$  if  $x \notin \{t_0, \dots, t_n, \dots\}$ , and  $h(t_n) = 1$  for every  $n < \omega$ . This function  $h$  is not continuous at  $\kappa$  because  $h(\kappa) = 0$ ,  $\kappa = \lim(t_n)$ , and  $h(t_n) = 1$  for all  $n < \omega$ .

Now, take  $\lambda_0 \in \kappa$ . There exists  $l < \omega$  such that  $\lambda_0 < \alpha_l$ . Since  $h$  is equal to 0 in  $G_l$  and 1 in  $\{t_0, \dots, t_l\}$ , then  $h \in \bigcap_{\lambda < \alpha_l} V_\lambda$ . Therefore,  $h \in V_{\lambda_0}$ . So,  $C_p([0, \kappa], I)$  is not equal to  $\bigcap_{\lambda < \kappa} V_\lambda$ . This means that  $\check{C}(C_p([0, \kappa], I)) > \kappa$ .  $\square$

**Theorem 2.15.** *SCH +  $\mathfrak{c} \leq (\omega_\omega)^+$  implies:*

$$\check{C}(C_p([0, \alpha], I)) = \begin{cases} 1 & \text{if } \alpha \leq \omega \\ |\alpha| \cdot \mathfrak{d} & \text{if } \alpha > \omega \text{ and } \omega \leq |\alpha| < \omega_\omega \\ |\alpha| & \text{if } |\alpha| > \omega_\omega \text{ and } \text{cof}(|\alpha|) > \omega \\ |\alpha| & \text{if } \text{cof}(|\alpha|) = \omega \text{ and } \alpha \text{ is a cardinal number } > \omega_\omega \\ |\alpha| & \text{if } |\alpha| = \omega_\omega \text{ and } \mathfrak{d} < (\omega_\omega)^+ \\ |\alpha|^+ & \text{if } \text{cof}(|\alpha|) = \omega, |\alpha| > \omega_\omega, \alpha \text{ is not a cardinal number} \\ |\alpha|^+ & \text{if } |\alpha| = \omega_\omega \text{ and } \mathfrak{d} = (\omega_\omega)^+ \end{cases}$$

*Proof.* If  $\alpha \leq \omega$ ,  $C_p([0, \alpha], I) = I^{[0, \alpha]}$ , so  $\check{C}(C_p([0, \alpha], I)) = 1$ .

If  $\alpha > \omega$  and  $\omega \leq |\alpha| < \omega_\omega$ , we obtain our result because of Theorem 2.7 and Proposition 2.13.

If  $|\alpha| > \omega_\omega$  and  $\text{cof}(|\alpha|) > \omega$ , by Theorem 2.7 and Proposition 2.13,

$$|\alpha| \cdot \mathfrak{d} = |\alpha| \leq \check{C}(C_p([0, \alpha], I)) \leq \text{kcov}(|\alpha|^\omega) = |\alpha|.$$



If  $\text{cof}(|\alpha|) = \omega$  and  $\alpha$  is a cardinal number  $> \omega_\omega$ , by Lemma 2.4,

$$\check{C}(C_p([0, \alpha], I)) = |\alpha| \cdot \sup_{\gamma < \alpha} \check{C}(C_p([0, \gamma], I)).$$

The number  $\alpha$  is a limit ordinal and for every  $\gamma < \alpha$ ,

$$\check{C}(C_p([0, \gamma], I)) \leq |\gamma|^+ \cdot \mathfrak{d}.$$

Since  $\mathfrak{d} \leq (\omega_\omega)^+ < |\alpha|$ , then  $\check{C}(C_p([0, \alpha], I)) = |\alpha|$ .

By Lemma 2.4 and Theorem 2.7, if  $|\alpha| = \omega_\omega$ , then

$$\omega_\omega \cdot \mathfrak{d} \leq \check{C}(C_p([0, \alpha], I)) = |\alpha| \cdot \sup_{\gamma < \alpha} \check{C}(C_p([0, \gamma], I)) \leq |\alpha| \cdot \sup_{\gamma < \alpha} (|\gamma|^+ \cdot \mathfrak{d}).$$

Thus, if  $|\alpha| = \omega_\omega$  and  $\mathfrak{d} < (\omega_\omega)^+$ ,  $\check{C}(C_p([0, \alpha], I)) = |\alpha|$ .

Assume now that  $\text{cof}(|\alpha|) = \omega$ ,  $|\alpha| > \omega_\omega$  and  $\alpha$  is not a cardinal number. There exists a cardinal number  $\kappa$  such that  $\kappa = |\alpha|$  and  $[0, \alpha] = [0, \kappa] \oplus [\kappa + 1, \alpha]$ . So,  $\check{C}(C_p([0, \alpha], I)) = \check{C}(C_p([0, \kappa], I)) \cdot \check{C}(C_p([\kappa + 1, \alpha], I)) = \check{C}(C_p([0, \kappa], I))$  (see Proposition 1.10 in [8] and Lemma 2.3). By Theorem 2.7 and Proposition 2.14,  $\kappa \cdot \mathfrak{d} \leq \check{C}(C_p([0, \kappa], I)) \leq \kappa^+$ . Being  $\kappa$  a cardinal number  $> \omega_\omega$  with cofinality  $\omega$ , it must be  $> (\omega_\omega)^+$ ; so  $\kappa > \mathfrak{d}$  and, then,  $\kappa \leq \check{C}(C_p([0, \kappa], I)) \leq \kappa^+$ . Now we use Proposition 2.14, and conclude that  $\check{C}(C_p([0, \alpha], I)) = \kappa^+ = |\alpha|^+$ .

Finally, assume that  $|\alpha| = \omega_\omega$  and  $\mathfrak{d} = (\omega_\omega)^+$ . By Theorems 2.7 and Proposition 2.13 we have

$$|\alpha| \cdot \mathfrak{d} \leq \check{C}(C_p([0, \alpha], I)) \leq \text{kcov}(|\alpha|^\omega) = (\omega_\omega)^+.$$

And we conclude:  $\check{C}(C_p([0, \alpha], I)) = |\alpha|^+$ . □

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