Genome-wide prediction and integrative

2 functional characterization of Alzheimer's

3 disease-associated genes

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27 Abstract

The mechanism of Alzheimer's disease (AD) remains elusive, partly due to the incomplete 28 29 identification of risk genes. We developed an approach to predict AD-associated genes 30 by learning the functional pattern of curated AD-associated genes from brain gene 31 networks. We created a pipeline to evaluate disease-gene association by interrogating 32 heterogeneous biological networks at different molecular levels. Our analysis showed that 33 top-ranked genes were functionally related to AD. We identified gene modules associated 34 with AD pathways, and found that top-ranked genes were correlated with both neuropathological and clinical phenotypes of AD on independent datasets. We also 35 36 identified potential causal variants for genes such as FYN and PRKAR1A by integrating brain eQTL and ATAC-seq data. Lastly, we created the ALZLINK web interface, enabling 37 38 users to exploit the functional relevance of predicted genes to AD. The predictions and 39 pipeline could become a valuable resource to advance the identification of therapeutic 40 targets for AD.

41 **Keywords**: Alzheimer's disease; disease gene prediction; functional gene networks

42 Introduction

Alzheimer's disease (AD) is a complex and progressive neurodegenerative disorder that accounts for the majority of all dementia cases¹. Its clinical symptoms include progressive memory loss, personality change, and impairments in thinking, judgment, language, problem-solving, and movement². The two neuropathological hallmarks of AD are extracellular amyloid- β (A β) plaques and intracellular neurofibrillary tangles (NFTs), which are known to contribute to the degradation and death of neurons in the brain³. The number

of patients with AD worldwide is currently rising. Specifically, it is estimated that approximately 50 million people are currently living with AD or other forms of dementia, and this number is expected to increase to over 152 million by 2050¹. AD not only causes suffering in both patients and their families but also places a severe burden on society. However, the drug development for AD is slowly progressing⁴, partly due to the incomplete understanding of the neuropathological mechanisms.

55 AD is partly caused by genetic mutations⁴. Its two subtypes, *i.e.*, early-onset AD (EOAD, 56 onset age before 65 years) and late-onset AD (LOAD, onset age later than 65 years), 57 have different genetic risk factors. In EOAD, rare mutations in APP, PSEN1 and PSEN2 have been identified⁴. LOAD is markedly more complex, with APOE being a well-known 58 59 risk gene for this subtype. Most known or putative AD-associated genes were discovered 60 through genome-wide association studies (GWAS). Previously, GWAS identified CLU, 61 CR1, and PICALM, along with approximately 20 more genes⁴. In addition, network 62 approaches are used to identify AD-associated molecular networks or pathways. For 63 example, a module-trait network approach was proposed and applied to identify gene 64 coexpression modules that were associated with cognitive decline⁵, while a large-scale 65 proteomic analysis identified an energy metabolism-linked protein module, strongly 66 associated with AD pathology⁶. However, a large proportion of the phenotypic variances 67 in AD cannot be explained by known risk genes^{7, 8, 9}, which suggests additional AD-68 associated genes that remain to be discovered. Since experimental approaches are often 69 time consuming and expensive, computational approaches provide a promising 70 alternative to discovering AD-associated genes.

71 Previous studies have shown that functional gene networks (FGNs) are promising for predicting disease-associated genes^{10, 11}. In a FGN, a node represents a gene and the 72 73 edge between two genes represents the co-functional probability (CFP) that the two 74 genes take participate in the same biological process or pathway¹². For example, Guan et al. constructed a global (i.e., non-tissue specific) FGN for mice, and identified Timp2 75 76 and Abcg8 as two novel genes associated with bone-mineral density^{13, 14}. Using the same network, Recla *et al.* discovered *Hydin* as a new thermal pain gene^{13, 14}. Because gene 77 78 interactions might be rewired in different tissues, global networks cannot reveal the 79 differences of gene networks among tissues. To address this limitation, tissue-specific 80 networks have been proposed to more accurately capture gene interactions in tissues. Greene et al. established 144 human tissue-specific networks and investigated these 81 networks for the interpretation of gene functions and diseases¹⁵. Using the brain-specific 82 network¹⁵, Krishnan *et al.* predicted disease genes for autism spectrum disorder¹¹. By 83 84 leveraging the functional genomic data of model species with similar genetic backgrounds, 85 including mice and rats, a human brain-specific network was constructed, and its 86 application to the identification of brain disorder-associated genes was illustrated in our 87 previous work¹⁶.

Because AD is a brain disorder with genetic contributions, we hypothesized that brainspecific FGNs are informative for predicting AD-associated genes. It should be pointed out that our predictions of AD-associated genes do not indicate any causality, that is, the predicted genes may be either directly or indirectly associated with AD. To build models for AD-associated gene prediction, we first compiled AD-associated genes from multiple resources. These genes were used as positives for training models. We proposed a

94 functional enrichment-based approach to identify negative genes that are not likely associated with AD. Next, we obtained ten brain-specific FGNs from the GIANT¹⁵ and 95 96 BaiHui¹⁶ databases. After assessing the predictivity of each network by cross-validation 97 of state-of-the-art machine learning models, we built a final model for predicting ADassociated genes through an optimal selection of networks and machine learning 98 99 methods. We scored all the other human genes that were not used in model training for 100 their association with AD. We created a pipeline to evaluate top-ranked novel candidate 101 genes by interrogating multiple biological networks. We then identified gene modules from 102 an AD-related network. We assessed the association of these modules and top-ranked 103 genes with AD-related phenotypes, including Consortium to Establish a Registry for 104 Alzheimer's Disease (CERAD) score, Braak stage, and clinical dementia rating (CDR) on 105 an independent dataset. We next identified a set of genes by combining our predictions 106 and seven types of genomic evidence. We further identified potential variants that may 107 affect the expression of prioritized genes. Lastly, we developed the ALZLINK web 108 interface to enable the expoitation of predicted AD-associated genes. The resulting 109 predictions and pipeline could be valuable to advance the identification of risk genes for 110 AD.

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112 **Results**

113 Prediction of AD-associated genes

Our approach leverages machine learning and a brain FGN to predict AD-associated genes. The approach consists of three main components: compilation of AD-associated (positive) and non-AD (negative) genes, construction of a feature matrix based on a brain

117 FGN, and prediction of AD-associated genes using machine learning models (Fig. 1). We 118 first compiled a set of AD-associated genes and non-AD genes to train models (see the 119 Methods section; Supplementary Note 1). We showed that the negative genes were 120 superior to those selected by the random sampling approach (Supplementary Fig. 1) and 121 that the negative genes were poorly associated with AD (Supplementary Fig. 2). In 122 addition, we tested their enrichment in three AD-related gene sets associated with cognitive decline (the m109 module with 390 genes)⁵, amyloid-beta (15 genes), and Tau 123 pathology (28 genes)¹⁷ respectively, from two recent studies^{5, 17}. The results showed that 124 125 the negative genes were not enriched in any of the three modules or pathways (p-values 126 = 0.99, 1, 1 respectively). Next, we extracted a feature matrix for the positive and negative 127 genes based on FGNs. For each gene (positives, negatives, or the other genes), its CFPs 128 with the positive genes in the network were collected into a 147-dimensional feature 129 vector. We considered the 10 collected brain FGNs (nine from GIANT and one from 130 BaiHui) and evaluated their ability to predict AD-associated genes using state-of-the-art machine learning methods, including LR, SVM, RF, and ExtraTrees, which were shown 131 to be promising in a previous study¹⁸. We found that the network in the BaiHui database 132 133 achieved the best performance based on the four methods tested and that ExtraTrees 134 performed better than the other methods in terms of both the area under the receiver 135 operating characteristic curve (AUROC) and the area under the precision-recall curve 136 (AUPRC) (Fig. 2A; Supplementary Fig. 3-5). Finally, we selected this network in 137 combination with ExtraTrees to construct the model for predicting AD-associated genes.

We performed five-fold cross-validation with ExtraTrees. Each of the five models established during cross-validation was used to score all other human genes that were

140 not included in the training dataset. To achieve robust predictions, we repeated the cross-141 validation 100 times and calculated an average score for each gene. The average 142 AUROC and AUPRC based on cross validation are 0.91 and 0.76, respectively, 143 suggesting the model is accurate. A higher score indicates that a gene is more likely to 144 be associated with AD. The scores for predicted genes are provided in our developed 145 web interface (www.alzlink.com). Our literature search showed that 12 of the top-ranked 146 20 genes were likely associated with AD with some evidence (Supplementary Table 1), 147 suggesting that our model has captured molecular signature of AD and makes confident 148 predictions. Note that our prediction for AD-associated genes was based on only the 149 machine learning model; the subsequent analysis such as enrichment, coexpression, and 150 PPI relatedness was used separately to evaluate the association of predicted genes with 151 AD.

152 The top-ranked genes are functionally related to AD based on multiple lines

153 of genomic evidence

154 The top-ranked genes are enriched in AD-associated functions and phenotypes

155 We hypothesize that genes with higher scores are more likely to be enriched in AD 156 phenotype-related gene sets. To test this hypothesis, we excluded all genes in the training 157 dataset, ranked the remaining ones based on their scores, and tested their enrichment in 158 AD-related gene sets. We collected four gene sets associated with AD pathology. The 159 first gene set was collected from AlzGene, which contained 277 genes. The other three 160 gene sets, namely, the learning or memory pathway (214 genes), the cognition pathway 161 (247 genes), and the amyloid-beta related pathway (51 genes), were collected from the 162 Gene Ontology (GO) database. Using the decile enrichment test (see the Methods section), we observed that the top-ranked genes were significantly enriched in the four gene sets: AlzGene (*p-value* = 7.3×10^{-13}), learning or memory pathway (*p-value*= 6.6×10^{-12}), cognition pathway (*p-value* = 1.4×10^{-11}), and amyloid-beta pathway (*p-value*= 1.1×10^{-11}) (Fig. 2B).

We next tested whether the top-ranked genes were functionally similar to AD-167 associated genes. From the ranked genes, we selected the same number of top-ranked 168 169 genes as the curated positive genes (n=147). We then performed GO enrichment analysis 170 of both the curated positive genes and the top-ranked genes using PANTHER¹⁹. The 171 known positive genes and our predicted AD-associated genes were enriched in 771 and 2573 terms, respectively, with 518 of these terms being shared, which was significant 172 173 compared with the baseline in that no more than 1 pathway was shared (p-value<0.01). 174 The 10 most significant shared terms are listed in Supplementary Table 2. We found that 175 many known AD-related functions, including learning or memory, cognition, regulation of 176 endocytosis, regulation of immune system process, regulation of cell death, and regulation of amyloid-beta formation, were shared pathways, implying that our predicted 177 178 genes might be involved in AD pathology. Specifically, we tested whether the top-scored 179 genes (score > 0.7) were involved in neuron development. Based on GO enrichment 180 analysis, we found that they were enriched in both neuron development (GO:0048666) 181 (FDR = 3.86×10^{-76}) and central nervous system neuron development (GO:0021954) 182 $(FDR = 5.63 \times 10^{-14}).$

We further tested whether the top-ranked genes overlap with gene modules that were associated with AD in published studies. A recent study identified gene coexpression modules that were related to AD⁵. Module 109 (m109) containing 390 genes was most

186 strongly associated with cognitive decline. 350 genes overlapped with the brain FGN used 187 in our work and therefore had predicted scores. We found that 101 genes in m109 were 188 among the top-scored genes (score > 0.7), which was significant compared to the random 189 baseline (p < 0.0001). We also obtained two gene sets from another recently published 190 network association study on AD¹⁷. For protein phosphorylation events in AD, the study 191 derived 28 kinases which were possibly implicated in AD, with 22 kinases having 192 scores >0.7. Among the 14 genes in the amyloid-beta correlated cascade reported by the 193 authors (after removing CLU because it is in the training set), nine had scores > 0.7. 194 These results provide additional evidence that our predicted genes are associated with AD. 195

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197 The top-ranked genes show higher sequence similarity with AD-associated genes

198 We evaluated whether the sequences of the top-ranked genes were similar to those of 199 AD-associated genes using the sequence similarity method (see the *Methods* section). 200 Let $k \in [100, 200, 500]$ denote the number of top-ranked genes for testing. We found that 201 the top-ranked genes had significantly higher sequence similarity with AD-associated 202 genes than randomly selected genes (p-value < 0.0001, Supplementary Fig. 6). Taking 203 the top-ranked 200 genes as an example (Fig. 2C), the standardized SEQSIM-score was 204 6.09, which was significantly higher than that of the randomly selected genes (SEQSIM-205 score=-0.0006). The sequence similarity implies the functional similarity between 206 predicted and known AD-associated genes.

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208 The top-ranked genes are coexpressed with AD-associated genes

For the top-ranked $k \in [100, 200, 500]$ genes, we showed that they were coexpressed with more AD-associated genes than random baseline on the independent Mayo RNA-seq dataset²⁰ (*p*-value<0.0001) (Supplementary Fig. 7; see Methods). For example, the number of coexpressed gene pairs between the top-ranked 200 genes and the ADassociated genes was significantly higher than that of randomly selected genes (*p*-value <0.0001, Fig. 2C), suggesting an association of our top predicted genes with AD.

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216 The top-ranked genes interact strongly with AD-associated genes in PPI networks

217 We hypothesized that the top-ranked k genes were more likely to interact with AD-218 associated genes if the prediction is accurate. We obtained PPI networks from two 219 databases: HuRI and STRING (see Methods). To avoid circularity, we removed those 220 interactions which were used to construct the brain FGN from the two databases. We 221 found that the top-ranked $k \in [100, 200, 500]$ genes showed significantly more interactions 222 with AD-associated genes (p-value < 0.0001, Supplementary Fig. 8). Taking the top-223 ranked 200 genes as an example, the total number of interactions with AD-associated 224 genes was 48 in HuRI, whereas only 11 interactions were found for the randomly selected 225 genes (p-value <0.0001, Fig. 2C).

226

227 The top-ranked genes are associated with AD based on miRNA-target networks

228 miRNAs are important post-transcriptional regulators and have been implicated in AD²¹. 229 We investigated whether top-ranked genes were functionally related to AD-associated 230 genes or miRNAs. First, we observed that they shared more miRNAs with AD-associated 231 genes than randomly selected genes (Supplementary Fig. 9; Methods). For instance, the

top-ranked 200 genes shared a significant number of miRNAs with AD-associated genes
(Fig. 2C, p-value<0.0001). Second, we found that the top-ranked genes interacted with a
significant number of AD-associated miRNAs (Fig. 2C; Supplementary Fig. 9). These
results imply that top-ranked genes are likely to be involved in post-transcriptional
regulatory pathways associated with AD.

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AD-related regulatory networks reveal hub genes and hub miRNAs associated with AD

We constructed two regulatory networks. One is a transcriptional regulatory network (TRN) 240 extracted from the TRRUST database²² (version 2.0) that included only known and top-241 242 ranked AD-associated genes (Fig. 3A and the Methods section). From this network, we 243 identified hub genes based on outdegrees and indegrees. The genes with outdegree and 244 indegree represent transcription factors (TFs) and target genes, respectively. The other 245 regulatory network is a miRNA-target interaction network (Fig. 3B) extracted from 246 mirTarBase²³ (version 7.0) by considering only AD-associated genes and miRNAs 247 (Methods).

We found that the hub genes in the AD-related TRN were supported by the literature and interaction evidence (Table 1). For example, *RELA* regulates 13 AD-associated genes including *APOE* and *BACE1*, interacts with 8 AD-associated genes in PPI networks, and is coexpressed with 16 AD-associated genes. Furthermore, *RELA* was shown to be associated with neuroprotection, learning, and memory^{24, 25}. Another hub gene is *JUN*. It regulates 11 known AD-associated genes such as *APP*, *BCL3*, *RELB*, and *PLAU*, and interacts with the proteins encoded by 10 AD-associated genes such as *MS4A2* and

255 *GSK3B*. Besides, *JUN* is also responsible for A β -induced neuroinflammation through a 256 signaling pathway²⁶.

257 We identified genes such as CCND1 and CDKN1A as hubs in the miRNA-based 258 regulatory network (Fig. 3B). Although some studies have reported their associations with 259 AD^{27, 28}, the mechanisms underlying these associations are not well understood. These 260 genes might contribute to AD by perturbing the post-transcriptional regulatory network 261 mediated by miRNAs (Table 1 and Fig. 3B). For example, CCND1 was associated with 262 16 miRNAs that also bind to known AD-associated genes, including six miRNAs (miR-16-263 5p, miR-106b-5p, miR-106a-5p, miR-20a-5p, miR-17-5p and miR-101-3p) that bind to APP and four miRNAs (miR-29b-3p, miR-186-5p, miR-29c-3p and miR-124-3p) that bind 264 to BACE1. In addition, knockout experiments of CCND1 showed its protective role in 265 neurodegeneration in the hippocampus²⁹. Comparing the two networks focusing on only 266 267 predicted (Fig. 3B) and known (Fig. 3C) AD-associated genes, we observed hub miRNAs 268 such as miR-17b-5p, miR-26b-5p, miR-155-5p, miR-124-3p, and miR-106b-5p that were 269 shared between them, indicating that the shared miRNAs might play roles in the 270 pathology of AD.

Gene modules in the integrated gene interaction network are associated with

272 AD-related functions, neuropathological and clinical phenotypes in

273 independent data

We constructed an integrated gene interaction network by aggregating multiple lines of genomic evidence and identified four gene modules with a community cluster algorithm (Methods). The modules (denoted by M1, M2, M3, and M4) are shown in Fig. 4 (the genes in each module are provided in Supplementary Table 3). For each module, we performed

enrichment analysis using PANTHER¹⁹ and identified the significantly enriched biological 278 279 process terms (FDR < 0.05). As many of the enriched terms were redundant, we selected 280 representative GO terms with REVIGO³⁰. All four modules were enriched in AD-281 associated biological processes (Fig. 4). For example, M1 was enriched in regulation of cell death and regulation of neurogenesis; M2 was enriched in functions including 282 283 response to amyloid-beta; M3 was enriched in learning or memory, regulation of synaptic 284 plasticity; M4 was enriched in functions such as regulation of lipid transport and 285 cholesterol efflux. These enrichments imply that the gene modules are not only 286 biologically meaningful but also related to AD.

Next, we tested whether the modules were correlated with AD-related traits using a 287 288 well established method³¹. For each module, we extracted the gene expression matrix 289 containing the genes only in that module. We then computed the eigengene (*i.e.* the first 290 principal component) of the expression matrix followed by correlating the eigengene with 291 the AD-related traits of interest. We performed this analysis on the independent MSBB 292 RNA-seq dataset with data available for three traits: the CERAD, Braak and CDR score. 293 We conducted a total of twelve correlation tests resulting from all combinations of the four 294 modules and the three traits. We found that the results of all correlation tests were 295 significant (FDR < 0.05), suggesting that our identified modules were associated with AD 296 traits. Taking the eigengene of M1 as an example, it was significantly correlated with the 297 CERAD (r=-0.37, FDR=2.2×10⁻⁷), Braak (r=-0.41, FDR=1.5×10⁻⁸), and CDR score (r=-298 0.42, FDR=6.1×10⁻⁹) (Figure 4B). Another example was M2, whose eigengene was 299 significantly correlated with the three traits (Figure 4B). The correlation of M3 and M4 with 300 the AD-related traits are provided in Supplementary Fig. 10.

Individual top-ranked genes are associated with neuropathological and clinical phenotypes on independent datasets

303 We hypothesized that the top-ranked genes were more likely to be associated with AD-304 related phenotypes if our prediction was accurate. We tested this hypothesis using the 305 independent MSBB RNA-seg dataset described above. For each gene, we calculated its 306 PCC with the CERAD, Braak and CDR score (see the Methods section). To better 307 investigate the trends between our prediction and the gene's absolute correlation with 308 AD-related phenotypes, we ranked all the predicted genes, divided them into 50 groups, 309 and calculated the mean PCC for each bin. We found that higher ranks (higher predicted 310 scores) were associated with higher mean PCC values for all three phenotypes. The 311 predicted ranks were well correlated with the CERAD (r = 0.68). Braak (r = 0.70) and CDR 312 (r = 0.73) score. The eigengenes for the top-ranked 100, 200 and 500 genes were all 313 significantly correlated with CERAD, Braak and CDR scores (Supplementary Fig. 11).

314 We then examined the correlations of individual top-ranked genes (those not included 315 in the training set) with AD-related phenotypes⁵. Among the top-ranked 200 genes, we 316 identified 95, 98 and 108 genes that were significantly correlated with CERAD. Braak and 317 CDR scores, respectively (FDR < 0.05). Of them, 84 were correlated with all three phenotypes (Supplementary Table 4). Looking at FYN, its correlations with CERAD, 318 319 Braak and CDR scores were 0.37, 0.35 and 0.37, while PRKAR1A had Pearson 320 correlation coefficients of -0.25, -0.31 and -0.29 for the three traits respectively. These 321 results indicate that our top-ranked genes were likely candidate genes for AD.

322 Multiple evidence-supported AD-associated genes and their regulatory variants

323 In the above sections, we have shown that the top-ranked genes are associated with AD 324 based on multiple lines of functional genomic evidence. Here we performed further 325 screening for AD-associated genes by aggregating these evidence, which are divided into 326 two categories: (1) molecular interaction evidence reflecting the interaction of predicted 327 genes with compiled AD-associated genes, and (2) phenotypic correlation evidence 328 supported by correlation of predicted genes with AD traits. The former includes three 329 types of evidence, which are protein interaction, mRNA coexpression, and miRNA sharing 330 with AD-associated genes. The latter includes four types of evidence, which were the 331 correlation with CERAD, Braak and CDR scores based on the MSBB dataset, and differential expression based on the ROSMAP dataset³². 332

333 To narrow down the predicted candidates, we focused on the top-ranked 200 genes 334 (after excluding the compiled AD-associated genes). The seven types of genomic 335 evidence for these genes are visualized as a circus plot (Figure 5), from which the 336 evidence for each gene can be easily identified. We also obtained their enriched GO 337 biological process terms and showed the functional annotation of these genes (Figure 5). 338 We then applied strict criteria on functional evidence to screen for potentially confident 339 AD-associated genes. That is, only one molecular interaction evidence and one 340 phenotypic correlation evidence is allowed to be missing for each gene. From this, 36 out 341 of the top-ranked 200 genes were retained (Supplementary Table 5), providing a set of 342 multiple evidence-based candidate genes to the community for further functional 343 experiments. As the function of a gene is directly related to the cell type it is expressed 344 in, we further investigated the cell type specificity of their expression. Zhang et al. provides 345 a set of genes that show cell type-specific expression in five major brain cell types

including astrocyte, microglia, endothelial, oligodendrocytes and neuron³³. Using this
dataset, we found that 14 of the 36 genes showed specific expression in cell types such
as astrocytes and microglia (Supplementary Table 6), while the others are expressed in
two or more cell types.

350 Taking FYN as an example, it encodes a membrane-associated tyrosine kinase that 351 is implicated in the control of cell growth and shows specific expression in astrocytes 352 (Supplementary Table 6). It interacts with proteins encoded by 13 AD-associated genes 353 such as APP and MAPT in PPI, shows significant coexpression with 10 AD-associated 354 genes like CLU and interacts with 5 AD-assocaited miRNAs like hsa-mir-106b. Its expression was up-regulated based on the ROSMAP dataset (posterior error probability 355 356 $(PEP) = 0.04)^{32}$. Its up-regulation in AD patients was further supported by the positive 357 correlation with CERAD (PCC = 0.37), Braak (PCC = 0.35) and CDR (PCC = 0.37) scores 358 (FDR < 0.001) on the MSBB dataset. The expression of FYN for the sample groups 359 partitioned based on CERAD, Braak and CDR scores is shown in Figure 5A. PRKAR1A 360 encodes a regulatory subunit of the cAMP-dependent protein kinases involved in the 361 cAMP signaling pathway. It is functionally related with AD-associated genes through PPI, 362 coexpression and miRNA-target network, and its expression is negatively correlated with 363 the above three neuropathological traits (Figure 5A). Altered expression of *PRKAR1A* in 364 AD patients was also identified³⁴, providing independent evidence supporting our 365 prediction.

Having shown that the expression level of the above genes was correlated with AD traits, we next exploited which genetic variants (SNP) might causally regulate the expression of these genes by integrating genetic and regulatory data. A SNP is likely

369 causal if it is not only an eQTL but also resides in the transcriptional factor binding site 370 (TFBS) within the promoter of the target gene³⁴. By integrating eQTL and ATAC-seq data, 371 we identified seven genes (FYN, PRKAR1A, PPP3R1, BMPR1A, LMNA, EGFR and 372 KRAS), for which their eQTLs are also located in the TFBS (Supplementary Table 7). For 373 instance, the SNP rs61202914 is an QTL for the expression of a FYN isoform. Further, 374 we found that this SNP also resided in the TFBS of multiple transcription factors within 375 the promoter region of FYN, thus likely affecting the binding affinity of the transcription 376 factor and therefore expression level. As an illustration, RFX1 HUMAN.H11MO.0.B, 377 which is a motif representing the TFBS of the transcription factor RFX1, harbors the SNP 378 rs61202914 (Figure 6B). This evidence suggests that rs61202914 is likely a variant 379 causally affecting the expression of FYN. For PRKAR1A, one TFBS in its promoter region 380 harbors its eQTL (rs8080306) (Figure 6B), indicating that rs8080306 is likely a causal 381 variant that regulates the expression of *PRKAR1A*. To summarize, our integrated analysis 382 of eQTL and TFBS in active promoters suggests potential genetic variants that may be associated with AD through regulating the expression of their corresponding target gene. 383 384 These results may be valuable to prioritize genes for further experimental studies.

385

386 ALZLINK: a web resource for interrogating AD-associated genes

To facilitate the interrogation of AD-associated genes and the use of the statistical evaluation pipeline developed in this work, we created the interactive web resource ALZLINK (available at: www.alzlink.com). This site provides the predicted genes along with their predicted scores and functional genomic evidence, facilitating experts in the field of AD to select candidates for further experimental testing. Also, the statistical

392 methods to evaluate the association of an individual gene or a gene set with AD are 393 implemented and available as an online pipeline. For an individual gene, users can guery 394 its interactions with known AD-associated genes in heterogeneous interaction networks 395 and its correlation with AD-related traits including CERAD, CDR and Braak scores. For a 396 gene set, users can statistically test its association with AD using the sequence or 397 network-based methods, outputting the distribution of the test metric along with a p-value 398 measuring the significance. For each interaction network such as PPI, the local network 399 involving the gueried gene or gene set and the known AD-associated genes is visualized 400 on the web. The data and pipelines on ALZLINK could serve as a valuable resource for 401 experts to prioritize AD-associated genes for further testing.

402

403 **Discussion**

404 AD is a neurodegenerative disease with heterogeneous pathologies^{8, 35, 36, 37}. However, 405 predicting AD-associated genes is challenging because AD, as a complex disease, is 406 caused mainly by common variants of multiple genes and the disruption of related 407 pathways. FGNs are an important model for characterizing complex functional 408 relationships between genes and have been successfully applied to predict candidate genes for complex diseases, including autism¹¹ and Parkinson's disease³⁸. Since AD is 409 410 caused by gene dysregulation in the brain, we considered brain FGNs as the basis for 411 predicting AD-associated genes. The key idea of our approach was to discover the 412 pattern of AD-associated genes from a brain FGN using machine learning methods. Using 413 our model, we were able to predict novel candidate genes for AD.

414 We evaluated the association of top-ranked genes with AD by investigating their 415 enrichment in AD-related functions and phenotypes along with examining their 416 association with AD through multiple heterogeneous biological networks. We found that 417 the top-ranked genes were associated with AD. Based on the analyses of the 418 independent MSBB data, we observed that the top-ranked genes were correlated with 419 AD-related neuropathological (CERAD and Braak scores) and clinical (CDR) phenotypes, 420 suggesting that they were likely associated with AD. We also explored gene modules from 421 the AD-related network. We found that these modules were enriched in many AD-related 422 pathways and phenotypes and were also correlated with three AD-related phenotypes, 423 implicating their biological relevance. Combining the genomic data and our predictions, 424 we identified a set of 36 genes whose association with AD was supported by multiple 425 lines of evidence, indicating these genes as potential promising candidates. We further 426 identified potential causal variants for 7 of the 36 genes by integrating brain eQTL and 427 ATAC-seq data.

Our contributions are mainly three-fold. First, we compiled a set of genes that were 428 429 likely related to AD by performing an intensive, stringent hand curation of multiple 430 resources, providing a potential resource for the community. For negative gene selection, 431 we proposed a pathway-based approach that works by removing any gene that was likely 432 to be associated with AD. Thus, it can be expected that negative genes have been 433 identified. We illustrated that this approach helped improve the accuracies of models in 434 terms of both AUROC and AUPRC. Our model for predicting AD-associated genes 435 depends on the non-AD (negative) genes. Different ways of negative gene selection could 436 lead to bias in the model and thus the prediction. As our method selects negative genes

437 by removing any gene that has a potential association with AD, a possible bias is that the 438 predicted genes are more likely to be functionally related to and share GO terms with the 439 compiled AD-associated genes. Second, we predicted novel candidate genes and 440 showed that the top-ranked genes exhibit significant associations with AD through 441 functional enrichment analysis and the investigation of multiple biological networks. 442 Moreover, the genes were found to be correlated with AD-related phenotypes on 443 independent datasets. Taking advantage of the functional genomic data, we identified a 444 set of 36 AD-associated genes supported by multiple lines of evidence, indicating 445 promising candidates. Third, we developed ALZLINK, a web interface to facilitate the use 446 of data and pipeline developed in this study. It should be pointed out that the pipeline to 447 evaluate the relevance of the predicted genes to AD is generic and can be applied to any 448 other diseases.

449 Although our predictions are promising, as supported by our systematic analysis, our 450 model for predicting AD-associated genes could be improved in several ways. First, our 451 predictions were made at the gene level without differentiating the splice isoforms generated from the same gene through alternative splicing^{39, 40}. This factor is essential 452 453 because isoforms of the same gene might have different or even opposite functions. 454 Isoforms have been implicated in diseases such as ovarian cancers⁴¹. The prediction of 455 AD-associated genes at the isoform level could have the potential to promote our 456 understanding of AD. Second, the human brain consists of multiple heterogeneous 457 structures, each of which contains many different cell types. The association of the 458 predicted genes with AD in different cell types remains to be resolved. Integrating single-459 cell genomic data^{42, 43, 44} with our predicted genes could be helpful for addressing this

question. Lastly, our predictions do not implicate causality. The genes predicted using ourmethod are statistically significantly associated with AD.

In summary, we predicted novel AD-associated genes and provided evidence for their association with AD. However, further studies are needed to test the validity of our predictions. This pipeline of prediction and validation is generic and can be readily used for other diseases, such as Parkinson's disease, cancers and heart diseases. We expect that the predicted genes might become a useful resource for experimental testing by the community and that our proposed pipeline could be used in other diseases.

468

469 **Methods**

470 Compilation of AD-associated and non-AD genes

471 AD-associated (positives) and non-AD (negatives) genes are needed to build a machine 472 learning model. First, we performed intensive hand-curation to identify confident AD-473 associated genes from various disease gene resources, including AlzGene⁴⁵, AlzBase⁴⁶, 474 OMIM⁴⁷, DisGenet⁴⁸, DistiLD⁴⁹, and UniProt⁵⁰, Open Targets⁵¹, GWAS Catalog⁵², differentially expressed genes (DEGs) in ROSMAP³² and published literature. The 475 476 curated genes from each resource as well as the corresponding criteria were provided in 477 Supplementary Note 1. As the AD-associated genes and their reliability vary across these 478 resources, we applied a voting strategy and selected only those that were present in at 479 least two resources to ensure higher reliability (see details in Supplementary Note 1). In 480 this way, we obtained 147 AD-associated genes. Second, we selected a set of non-AD 481 genes, which had no or minimal association with AD. The main idea of our method for 482 non-AD gene selection was to remove any genes that exhibit potential associations with AD. We removed genes that (i) were annotated to the same Gene Ontology (GO) term enriched for the AD-associated genes or (ii) showed any association with AD based on the above-described resources (see details in Supplementary Note 1). In this way, we identified 1651 non-AD genes.

487 Model development for predicting AD-associated genes

We first constructed the feature matrix for all human genes based on the brain-specific FGN. This FGN was built by integrating heterogeneous functional genomic data, including gene expression, protein-protein interaction (PPI), protein docking and gene-tophenotype annotation using the well-established Bayesian framework¹⁶. The Bayesian network model predicts a co-functional probability (CFP) for every pair of genes by using the following formula:

$$P(F_1, F_2, \dots, F_n) = \frac{1}{c} P(y=1) \prod_{i=1}^n P(y=1)$$
[1]

where P(y=1) is the prior probability for a sample (*i.e.* a gene pair in this study) to be positive, $P(F_i|y=1)$, i = 1, 2, ..., n, is the probability of observing the value of the *i*-th feature under the condition that the gene pair is functionally related, and *C* is a constant normalization factor. In the resulting network, a node is a gene, and an edge represents CFP that two linked genes participate in the same biological process or pathway.

For each gene, we extracted its CFP with the compiled AD-associated genes (147 genes) from the network as features based on a previously proposed method¹⁸. As a result, each gene is characterized by a 147-dimensional vector. The feature data for the training set (147 positives and 1651 negatives, resulting in a total of 1798 genes) are represented by a 1798x147 matrix **X**. The label (1 for positives and 0 for negatives) of

seach gene is stored in a vector *y*. The feature matrix of all other genes not in the training
set was extracted.

507 To develop a model for predicting AD-associated genes, we compared the different 508 combinations of FGNs and machine learning models. To identify optimal FGNs for feature 509 matrix construction, we obtained ten networks for the whole brain or brain-regions, 510 including the brain, forebrain, frontal lobe, temporal lobe, hippocampus, thalamus, amygdala, glia and astrocytes from the GIANT database¹⁵ and the BaiHui database¹⁶. 511 We considered these ten regions because they have been implicated in AD^{53, 54}. As AD-512 associated genes are likely to operate in immune cells^{55, 56}, we investigated how well 513 514 immune cells were represented in these networks. As microglia is the dominant immune 515 cell in the brain and cell type-specific genes are indicators of the cell type of interest, we 516 analyzed how microglia-specific genes were represented in these networks. We obtained 517 a set of microglia-specific genes from the work³³. We found that more than 95% of them 518 existed in each of these networks, suggesting that immune cells are well represented in 519 these networks. For the machine learning models, we considered logistic regression (LR), 520 support vector machine (SVM), random forest (RF) and extremely randomized trees 521 (ExtraTrees) for their promising accuracy shown in our previous work¹⁸.

522 Statistical assessment of the relevance of top-ranked genes to AD

523 We evaluated the relevance of the top-ranked genes to AD using the following method

- 524 (the genes in the training set were excluded). These methods are based on the sequence,
- 525 pathway and various biological networks, as described below.
- 526 Decile enrichment test for AD pathways and phenotypes

527 If the prediction is accurate, it is expected that AD-associated genes are more likely to be 528 enriched in the top-ranked genes. Using the decile enrichment test proposed in the 529 previous study¹¹, we statistically assessed whether a larger proportion of a given AD-530 related gene set falls into the first decile of the ranked genes. To do so, we excluded the 531 genes in the training set, ranked the remaining genes, and split genes into 10 evenly binned deciles. Let *P_{net}* and *P_{random}* denote the proportion of a given gene set that falls 532 533 into the first decile based on our prediction and random chance, respectively. We tested 534 whether *P_{net}* was significantly larger than *P_{random}* by using the binomial test (see details in 535 the previous work¹¹).

536

537 Evaluation based on sequence similarity

Genes with similar sequences are likely to carry out similar functions. For a set of kpredicted genes denoted by G_k , we evaluate its functional relationship with AD-associated genes using a <u>sequence similarity-based score</u> (SEQSIM-score), which measures the average similarity between predicted and known AD-associated genes. It is calculated as:

543
$$SEQSIM-score(g_k) = \frac{1}{k} \sum_{i=1}^k \max_{g_j \in G_P} (score(g_i, g_j))$$
[2]

544 , where G_P denotes the set of compiled positive genes, $score(g_i, g_j)$ is the sequence 545 identity between a predicted gene g_i and the AD-associated gene g_j calculated using 546 BLAST⁵⁷. The higher the *SEQSIM-score* is, the more similar to AD-associated genes the 547 predicted gene is. *SEQSIM-score* was standardized to have zero mean and unit variance 548 using *z*-transform. For the top-ranked $k \in [100, 200, 500]$ genes, their scores are denoted 549 by the *SEQSIM-score*_{observed}. In the same way, we also calculated the *SEQSIM-*

*score*_{random} for a set of *k* randomly selected genes. We calculated 10,000 such scores from 10,000 randomly sampled gene sets. Let N_{sig} denote the number of random scores that are higher than *SEQSIM-score*_{observed}. We computed the *p*-value as N_{sig} /10000.

553

554 Evaluation based on coexpression with AD-associated genes

555 Compared to randomly selected genes, reliably predicted genes are more likely to be 556 coregulated with AD-associated genes. Based on this hypothesis, we calculated the 557 number of coexpressed gene pairs between top-ranked k genes and known AD-558 associated genes using independent gene expression data. That's to say, in each pair, 559 one is a predicted gene and the other is a known AD-associated gene. The coexpression 560 was measured with Pearson correlation coefficient (PCC). A gene pair was considered to 561 be coexpressed if the PCC \geq 0.7. To test whether the coexpression is significant, we 562 generated 10,000 gene lists, each containing k randomly sampled genes. We calculated 563 the number of coexpressed gene pairs for the top-ranked genes and for the randomly 564 selected genes, denoted by *E*_{observed} and *E*_{random}. We calculated the *p*-value to measure 565 whether $E_{observed}$ is significantly higher than E_{random} .

566 We used the Mayo RNA-seg dataset generated from the Accelerating Medicines 567 Partnership-Alzheimer's Disease (AMP-AD) project (publicly available at https://www.synapse.org/#!Synapse: syn2580853) for coexpression evaluation. Note that 568 569 this dataset was not used for constructing the brain FGN that was used to build the model 570 for predicting AD-associated genes, so circularity was avoided. This dataset contains 571 gene expression data of the temporal cortex obtained from 82 cases and 80 controls. The

572 log₂-transformed Fragments Per Kilobase of transcript per Million mapped reads (FPKM)
573 was used for this analysis.

574

575 Evaluation based on PPI networks

We tested whether the top-ranked k genes were more likely to interact with AD-associated 576 genes in PPI networks. We used the PPI data from Human Reference Interactome 577 (HuRI)⁵⁸ and Search Tool for the Retrieval of Interacting Genes/Proteins (STRING)⁵⁹. 578 579 Because some PPI data were integrated to build the brain FGN, such PPIs have been 580 first removed from the two databases to avoid circularity. The interaction data in HuRI 581 were experimentally identified. In STRING, a score is used to measure the interaction 582 strength between two proteins; a score > 700 indicates an interaction with high confidence. 583 Only the confident interaction was considered. We tested k values in [100, 200, 500]. For a given k value, we computed the number of genes in the top-ranked k genes that 584 585 interacted with at least one AD-associated gene, denoted by Nobserved. Similarly, we also 586 calculated N_{random} , which represents the number of genes in k randomly sampled genes 587 that interacted with at least one AD-associated gene. With the same method described in 588 the previous section, a *p*-value was calculated to measure the significance.

589

590 Evaluation based on miRNA-target interaction networks

591 This analysis was motivated by the assumption that top-ranked genes were more likely 592 related to AD-associated genes or miRNAs based on miRNA-target interaction networks. 593 First, we tested whether top-ranked genes and AD-associated genes share more miRNAs. 594 We downloaded miRNA-target interaction data from miRTarBase²³, a high-quality

595 database of validated interactions. We computed the number of shared miRNAs of the 596 top-ranked $k \in [100, 200, 500]$ genes with AD-associated genes. Based on randomly 597 sampled genes, we calculated a *p*-value to test whether the number of shared miRNAs 598 was significant. Second, we tested top-ranked genes for their binding to AD-associated 599 miRNAs. We retrieved AD-associated miRNAs from the Human microRNA Disease 600 Database (HMDD) (v3.2). Similarly, for the top-ranked *k* genes, we calculated a *p*-value 601 to measure their significance of binding to AD-associated miRNAs.

602

603 Construction of AD-related regulatory networks

To analyze the regulatory relationship between the predicted candidates and ADassociated genes and obtain hub genes^{60, 61}, we constructed two AD-related regulatory networks: one was a transcriptional regulation network, the other was a miRNA-target interaction network.

The human transcriptional regulatory network was downloaded from the Transcriptional Regulatory Relationships Unraveled by Sentence-based Text mining (TRRUST) database²². The full network contains 795 transcription factors (TFs) and 2492 target genes. First, we extracted an AD-related transcriptional regulatory network by retaining only the TF-target gene pairs in which one node is known or predicted AD-associated gene (among the top-ranked 200). We identified hub genes according to the outdegree or indegree.

For constructing the AD-related miRNA-target interaction network, we first collected 44
 AD-associated miRNAs from an up-to-date review²³. Then from the above-described
 miRTarBase²³ (version 7.0), we extracted two networks. One contains only the interaction

between AD-associated miRNAs and AD-associated genes, and the other contains only
the interaction between AD-associated miRNAs and predicted AD-associated genes.

620

621 Identification of gene modules in the integrated network

622 To better understand the functions of the predicted genes, we constructed an integrated 623 network by aggregating evidence from the brain FGN, PPI, coexpression network, 624 miRNA-target network and transcriptional regulatory network. This network included the 625 top-ranked 200 genes and the compiled 147 AD-associated genes. Two genes were 626 connected with an edge if they were direct neighbors in any of the networks above. In 627 detail, all TF-target interactions, which satisfy the above condition, were extracted from 628 the transcriptional regulatory network in the TRRUST database²². We also included the 629 genes with a CFP \ge 0.7, and then expanded the resulting network by including other 630 genes that have a CFP \ge 0.95 with at least one known AD-associated gene. From the 631 gene coexpression network, we retained only edges with PCCs higher than 0.7. From the 632 PPI network, we included gene pairs whose encoded proteins show interaction in HuRI 633 or STRING. For the miRNA-target interaction data, we computed a network in which the 634 weight of the edge between two genes was calculated as $w=N_{share}/N_{max}$, where N_{share} 635 represents the number of miRNAs shared by the two genes and $N_{max} = max(N_1, N_2)$ with 636 N_1 and N_2 denoting the number of miRNAs binding to the two genes, respectively. The 637 range of w is from 0 to 1. The interaction with $w \ge 0.3$ was considered. By applying the 638 GLay algorithm implemented in Cytoscape [44] to the integrated network, we identified 639 gene modules within which genes were closely connected.

640 The Independent Mountain Sinai Brain Bank (MSBB) dataset with AD-related 641 neuropathological and clinical traits

642 We obtained an independent dataset with AD-related neuropathological and clinical traits from the MSBB study⁶². We used the data from Brodmann area 36 (parahippocampal 643 644 gyrus), which is one of the most vulnerable regions to AD⁶³. This dataset contains gene 645 expression data from 215 donors for which AD-related phenotypes are also available. 646 These phenotypes include the neuritic plaque density assessed by CERAD score, 647 neurofibrillary tangle severity by Braak score, and severity of dementia by CDR score. 648 The dataset contains 23021 genes measured for the 215 individuals and is available at 649 the AMP-AD portal (https://www.synapse.org/#!Synapse:syn3159438). For each gene, its 650 PCC with the CERAD, Braak and CDR scores was calculated.

Based on the CERAD score, we extracted control and AD samples using the criteria provided on https://www.synapse.org/#!Synapse:syn6101474; based on the Braak score, we followed the practice in ⁶³ and divided samples into three groups in the ranges of [0, 2], [3, 4] and [5, 6], representing different levels of tau pathology; Based on CDR, the samples were partitioned into three groups in the range of [0], [0.5, 2] and [3, 5] in the same way as used in ⁶³, representing different degrees of severity of clinical dementia.

657

658 Brain eQTL and ATAC-seq data

We identify potentially causal regulatory variants by testing whether eQTL for a target gene also resides in the transcriptional factor binding site (TFBS) in its promoters through the integration of eQTL and ATAC-seq data. Both gene- and isoform-expression eQTLs were considered. We obtained brain gene eQTLs from GTEx (version: v8), PsychEncode

(http://resource.psychencode.org/) CommonMind Consortium 663 and the 664 (https://www.synapse.org/#!Synapse:syn4622659). The latter two resources contain 665 isoform eQTLs, which were also used. We used active promoters from the human brain ATAC-seg peak data in the BOCA database⁶⁴. We identified TFBSs in these promoters 666 using the FIMO tool⁶⁵, with the transcription factor binding motif in the HOCOMOCO 667 database (version 11) as reference. 668

669 Data Availability

All accession codes, unique identifiers, or web links for publicly available datasets are

671 described in the paper. All data supporting the findings of the current study are listed in

672 Supplementary Tables 1-7, Supplementary Figures 1-11, and our web interface

673 (www.alzlink.com).

674 Code Availability

675 The codes for model development are publicly available at 676 https://github.com/genemine/alzlink.

677

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940 Author contributions

941 C.X.L., H.D.L. and W.S.L. developed the statistical method, performed the analysis, and
942 wrote the manuscript. D.C. and C.X.L developed the web interface. X.M.Z., J.W., F.X.W.
943 and D.W. provided instructions on the analysis. J.X.W. conceived and supervised the
944 research and contributed to the manuscript.

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946 Additional information

947 Supplementary Information accompanies this paper at http://www.nature.com/ nature948 communications.

949 **Competing financial interests**: The authors declare no competing financial interests.

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951 Supplementary information

952 Supplementary Notes

953 Supplementary Note 1. Description for compiling AD-associated genes.

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955 Supplementary Figures

- 956 Supplementary Fig. 1. Comparison in model performance of two methods in negative
- 957 non-AD gene selection.
- 958 Supplementary Fig. 2. Comparison of the negative controls and randomly selected genes
- 959 based on their association with AD.
- 960 Supplementary Fig. 3. Performances of different brain-region networks based on Random
- 961 Forest (RF).
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- 963 vector machines (SVM).
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- 966 Supplementary Fig. 6. Validation of the top-ranked genes based on sequence similarity
- 967 with AD-associated genes.
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- 969 with known AD-associated genes.
- 970 Supplementary Fig. 8. Validation of the top-ranked genes based on protein-protein 971 interaction networks in the STRING and HuRI database.
- 972 Supplementary Fig. 9. Validation of the top-ranked genes based on miRNA-target binding
- 973 networks.

- 974 Supplementary Fig. 10. The correlation with three AD traits of the eigengenes of modules
- 975 3 and 4.
- 976 Supplementary Fig. 11. The correlation with three AD traits of the eigengenes of the top-
- 977 ranked genes.

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979 Supplementary Tables:

- 980 Supplementary Table 1. The top-ranked genes (excluding training set) that are likely
- associated with AD based on literature.
- 982 Supplementary Table 2. The top ten shared GO terms of the 147 AD-associated genes
- 983 with the top 147 predicted genes.
- Supplementary Table 3. Gene modules identified from the integrated gene interactionnetwork.
- 986 Supplementary Table 4. The correlation of 84 genes with CERAD, Braak Score and
- 987 CDR on the MSBB data.
- 988 Supplementary Table 5. The seven types of functional evidence for the selected 36

989 genes.

- 990 Supplementary Table 6. The 14 genes with cell type specific expression.
- 991 Supplementary Table 7. The seven genes with eQTLs located in the transcription factor
- binding site in the promoter region.

993

994 **Figure captions**

Fig. 1 Overview of the method for genome-wide prediction of AD-associated genes and their functional
characterization. A Selection of AD-associated genes. 147 AD-associated genes were compiled from
various resources, including AD-associated genes from OMIM, DisGeNet, Uniprot, DistiLD, AlzBase,

998 AlzBase, AlzGene, literature, Open Targets, ROSMAP-DEG and GWAS-catalog. The gene that was 999 present in at least two resources was selected. The AD-associated genes as well as potential positive 1000 genes inferred with a functional enrichment method were then removed from the full set of all human genes. 1001 The remaining genes were treated as non-AD genes (negatives). B Brain specific functional gene networks 1002 (FGNs) were used for feature matrix construction. For each gene, its cofunction probabilities with the 147 1003 positive genes in the network were extracted as features. Thus, each gene was characterized by a 147-1004 dimensional vector. C Selection of brain FGNs. We compared the ten networks collected for their predictivity 1005 of AD-associated genes with machine learning approaches. An optimal network was selected. D Validation. 1006 Predicted AD-associated genes were validated by AD-related pathways and various gene networks, 1007 including coexpression networks, protein-protein interaction networks, miRNA-target binding networks, 1008 transcriptional regulatory networks. E Functional implication in AD. The associations of the top predicted 1009 genes with AD-related phenotypes were evaluated. Gene modules from an AD-related network were 1010 identified.

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1012 Fig. 2 Model performance and statistical evaluation based on AD-related pathways and various gene 1013 networks. A Comparison of ExtraTrees models built from different functional gene networks in terms of 1014 AUROC and AUPRC based on cross-validation. B Enrichment of the genes ranked in the first decile in 1015 the four AD-associated gene sets or pathways with the decile enrichment test (described in Methods). C 1016 Validation of the top-ranked genes based on their sequence similarity, the number of shared miRNAs, the 1017 number of AD-associated miRNAs they can bind to, the number of coexpressed gene pairs, the number 1018 of interactions with AD-associated genes in HuRI and STRING. In all the subplots, the red vertical line 1019 and the distribution in yellow indicate the results for our top-ranked genes and randomly selected genes, 1020 respectively.

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Fig. 3 AD-related regulatory networks. A Transcriptional regulatory network including our compiled AD associated genes and the top-ranked genes. B The interaction network between predicted genes and

AD-relevant miRNAs. C The interaction network between the compiled AD-associated genes and AD relevant miRNAs.

1027

Fig. 4 Gene modules and their association with AD traits. The network was built by aggregating the
evidence from the protein-protein interaction network, coexpression network, miRNA-gene binding
network, transcriptional regulatory network and the brain FGN. This network contains the top-ranked 200
genes and the 147 compiled AD-associated genes. A Four gene modules, denoted by M1, M2, M3 and
M4, were identified by applying the GLay algorithm to the integrated network in Cytoscape. B The
association of M1 and M2 with the three AD-related phenotypes (the CERAD, Braak and CDR score) was
assessed. The results for all the tests were significant (FDR < 0.05).

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1036 Fig. 5. Visualization of functional evidence supporting the association of the top-ranked 200 genes with 1037 AD. The seven circles show the strength of the seven types of evidence, including the three molecular 1038 interaction evidence (the number of interacting AD-associated genes in PPI, coexpression network and 1039 miRNA-target binding network, respectively) and the four phenotypic correlation evidence (the Pearson 1040 correlation with CERAD, Braak and CDR on the MSBB dataset, and the log2-transformed fold change of 1041 expression obtained from the ROSMAP study). The darker the purple color is, the stronger the functional 1042 association is. The section corresponding to the blue arc shows the enriched GO biological process 1043 terms, where each curve points the gene annotated to the term.

1044

Fig. 6 Illustration of the association of the top-ranked individual genes with AD-related phenotypes and the potential regulatory variant of the gene. A Comparison of the expression of individual genes in different sample groups. The samples were divided into groups based on the CERAD, Braak or CDR score. The comparison for FYN and *PRKAR1A* is shown. B Potential regulatory SNPs that may regulate the expression. For *FYN*, the SNP rs61202914 not only resides in the TFBS within its promoter region but also is an eQTL (upper); the SNP rs8080306 is located in the TFBS and also an eQTL for *PRKAR1A*.

1052

Tables and Figures

- 1054 **Table 1**. Hub genes (after excluding known AD-associated genes) measured with the outdegree and
- 1055 indegree in AD-related transcriptional regulatory network (TRN) and with the degree in miRNA-based
- 1056 regulatory networks (MRN).

Hub Gene	Gene type	Outdegree, indegree in AD-related TRN	Degree in AD- related MRN	Association with AD
RELA NFKB3	oncogenic TF	45, 5	2	RELA is associated with learning and memory ^{24, 25}
JUN AP-1	oncogenic TF	38, 11	5	AP1 signaling pathway is responsible for Aβ-induced neuroinflammation ²⁶
TP53 p53	TF, tumor suppressor gene	24, 7	8	<i>TP53</i> was overexpressed in AD and involved in tau phosphorylation ⁶⁶
SIRT1	TF	14,3	8	SIRT1 is associated with the production of $A\beta^{67}$
CCND1	oncogene	1, 18	16	CCND1 knockout protects against neurodegeneration in hippocampus ²⁹ .
CDKN1A P21	oncogene	0, 24	15	Increased expression ²⁸
PTEN	tumor suppressor gene	0, 4	14	Recruitment of <i>PTEN</i> into synapses contributed to synaptic depression in AD ^{68,} ⁶⁹

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1060 Fig. 1 Overview of the method for genome-wide prediction of AD-associated genes and their functional 1061 characterization. A Selection of AD-associated genes. 147 AD-associated genes were compiled from 1062 various resources, including AD-associated genes from OMIM, DisGeNet, Uniprot, DistiLD, AlzBase, 1063 AlzBase, AlzGene, literature, Open Targets, ROSMAP-DEG and GWAS-catalog. The gene that was 1064 present in at least two resources was selected. The AD-associated genes as well as potential positive 1065 genes inferred with a functional enrichment method were then removed from the full set of all human genes. 1066 The remaining genes were treated as non-AD genes (negatives). B Brain specific functional gene networks 1067 (FGNs) were used for feature matrix construction. For each gene, its cofunction probabilities with the 147 1068 positive genes in the network were extracted as features. Thus, each gene was characterized by a 147-1069 dimensional vector. C Selection of brain FGNs. We compared the ten networks collected for their predictivity 1070 of AD-associated genes with machine learning approaches. An optimal network was selected. D Validation. 1071 Predicted AD-associated genes were validated by AD-related pathways and various gene networks, 1072 including coexpression networks, protein-protein interaction networks, miRNA-target binding networks, 1073 transcriptional regulatory networks. E Functional implication in AD. The associations of the top predicted 1074 genes with AD-related phenotypes were evaluated. Gene modules from an AD-related network were 1075 identified.



Fig. 2 Model performance and statistical evaluation based on AD-related pathways and various gene 1077 1078 networks. A Comparison of ExtraTrees models built from different functional gene networks in terms of 1079 AUROC and AUPRC based on cross-validation. B Enrichment of the genes ranked in the first decile in 1080 the four AD-associated gene sets or pathways with the decile enrichment test (described in Methods). C 1081 Validation of the top-ranked genes based on their sequence similarity, the number of shared miRNAs, the 1082 number of AD-associated miRNAs they can bind to, the number of coexpressed gene pairs, the number 1083 of interactions with AD-associated genes in HuRI and STRING. In all the subplots, the red vertical line 1084 and the distribution in yellow indicate the results for our top-ranked genes and randomly selected genes, 1085 respectively.



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Fig. 3 AD-related regulatory networks. A Transcriptional regulatory network including our compiled AD associated genes and the top-ranked genes. B The interaction network between predicted genes and
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1101 Fig. 5. Visualization of functional evidence supporting the association of the top-ranked 200 1102 genes with AD. The seven circles show the strength of the seven types of evidence, including 1103 the three molecular interaction evidence (the number of interacting AD-associated genes in PPI, 1104 coexpression network and miRNA-target binding network, respectively) and the four phenotypic 1105 correlation evidence (the Pearson correlation with CERAD, Braak and CDR on the MSBB 1106 dataset, and the log₂-transformed fold change of expression obtained from the ROSMAP study). 1107 The darker the purple color is, the stronger the functional association is. The section 1108 corresponding to the blue arc shows the enriched GO biological process terms, where each 1109 curve points the gene annotated to the term. 1110



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