

## Candidate gene loci in asthmatic and allergic inflammation

Medical genetics was revolutionised during the 1980s by the application of genetic mapping to locate the genes responsible for simple Mendelian diseases. Most diseases, however, do not follow simple inheritance patterns, and geneticists have now taken up the even greater challenge of the genetic dissection of complex diseases such as hypertension, ischaemic heart disease, and asthma. This editorial sets out to review recent work which has attempted to determine the genetic basis of atopy and asthma with a focus on immunopharmacological mechanisms. The review excludes recent reports of  $\beta$  adrenoceptor polymorphisms, asthma severity, and bronchodilator responsiveness, nor do we discuss the genetics of chronic obstructive pulmonary disease (COPD) which have recently been reviewed elsewhere.<sup>1,2</sup>

One of the first problems encountered in this endeavour is definition of the phenotype. Asthma is a clinical diagnosis with no foolproof diagnostic test, so surrogate markers for the disease are used including atopy, bronchial hyperresponsiveness, and clinical history. Inevitably this leads to disagreement between various research groups and an inability to compare results achieved using different definitions. Genetic heterogeneity, incomplete penetrance, and environmental factors may also confound statistical analysis and make it difficult to reproduce positive findings.

Strategies for determining the genetic basis for asthma and allergy include using random markers to screen the entire genome looking for evidence of linkage to the disease or disease associated traits; this approach is now feasible given the increasing number of DNA polymorphisms available for such a purpose and has been used successfully by Todd's group in Oxford in their search of the human genome for genes that predispose to type 1 insulin dependent diabetes mellitus.<sup>3</sup> Another approach would be to examine markers in and around candidate loci whose products are thought to be important in the pathogenesis of the disease; examples of this include the IgE receptor on chromosome 11, the cytokine cluster on chromosome 5, and the T cell receptors on chromosomes 7 and 14. Both these approaches have been used in recent studies on the genetics of asthma and atopy.

### IgE, atopy and asthma

Problems with definition of the asthma phenotype have led researchers to study atopy, a major risk factor for the development of asthma, as characterised by a persistent IgE-mediated response to common environmental allergens. Atopy, which contributes to diseases such as asthma, eczema, and allergic rhinitis, is defined as a disorder of the IgE response to common allergens such as pollen, animal dander, house dust mites, and fungi.<sup>4</sup> These diseases are frequently detected by a raised total serum IgE level, a raised specific IgE level, and positive skin tests to common aeroallergens.

Burrows *et al*<sup>5</sup> investigated the association of self-reported asthma or allergic rhinitis with serum IgE levels and skin test reactivity to allergens in 2657 subjects in a general population study. Regardless of the atopic status of the subjects or their age group, the prevalence of asthma was closely related to the serum total IgE level standardised for age and sex. No asthma was present in the 177 subjects

with the lowest IgE levels for their age and sex. The conclusion reached was that asthma is almost always associated with some type of IgE-related reaction and therefore has an allergic basis. Further evidence for the relationship between IgE levels and asthma has been provided by Sears *et al* who studied the relationship between serum total IgE levels and airway responsiveness to methacholine challenge in the presence or absence of asthma in a birth cohort of New Zealand children.<sup>6</sup> The prevalence of diagnosed asthma was significantly related to the serum IgE level, and airway hyperresponsiveness was still related to an allergic diathesis as reflected by the serum total IgE level even in children who had been asymptomatic throughout their lives and had no history of atopic disease.

### Bronchial hyperresponsiveness, atopy and asthma

Further work by Sears *et al* on the same cohort of children has looked at the relationship between airway hyperresponsiveness, asthma and atopy.<sup>7</sup> Airway hyperresponsiveness (methacholine PC<sub>20</sub> FEV<sub>1</sub> <8 mg/ml) was found to be strongly correlated with reported asthma and wheezing and with atopy as defined by positive skin prick test, particularly to house dust mite and cat. Furthermore, all the children with diagnosed asthma and airway hyperresponsiveness were atopic. They concluded that atopy was a major determinant of airway hyperresponsiveness in children, not only in those with a reported history of asthma and wheezing, but also in those without any history suggestive of asthma and rhinitis.

There is clearly a link between atopy, airway hyperresponsiveness, and asthma, although the precise relationship remains a source of considerable debate. There is a tendency to dichotomise subjects as hyperresponsive or non-responsive on the basis of whether or not their forced expiratory volume in one second (FEV<sub>1</sub>) falls by 20% at a given dose of inhaled histamine or methacholine, and different cut off doses have been used by different workers. On the basis of this, one would hope to be able to discriminate clearly between asthmatics and non-asthmatics. However, some atopic subjects with no evidence of symptomatic asthma will also demonstrate bronchial hyperresponsiveness according to the same criteria, as will a small percentage of normal subjects.<sup>8</sup> Enhanced bronchial responsiveness has a strong association with clinically defined asthma, and the association appears to be stronger in those with more immediate and severe symptoms and with greater treatment requirements, although the overlap between groups is large.<sup>9</sup> Moreover, there is documentation from longitudinal studies that bronchial hyperresponsiveness may not be present in some people at a time when they have unmistakable asthma symptoms and airway obstruction and, conversely, that greatly enhanced bronchial responsiveness may be present in the absence of symptoms, or may develop after symptoms have become manifest as occurs in seasonal asthma.<sup>10,11</sup> Thus, although bronchial hyperresponsiveness and asthma are related, the two are not synonymous.

A number of variables have been shown to affect both serum IgE levels and bronchial hyperresponsiveness. Smoking, for example, has been shown to lead to an increase in total serum IgE levels.<sup>12</sup> The effects of age on

serum IgE levels are not clear, with studies showing variably a decline, no change, or an increase.<sup>13</sup> Environmental factors undoubtedly influence basal levels of IgE and will vary depending on the age of the subject and on the time of year the sample was taken. Ideally, therefore, multiple samples should be taken and looked at for seasonal variation. The prevalence of atopy has been shown to be higher in boys than girls,<sup>14</sup> although mean IgE levels do not differ significantly between the sexes.<sup>6</sup> Bronchial hyperreactivity may also be affected by smoking and previous history of respiratory illness.<sup>15,16</sup> These variables should be taken into account in any analysis which uses IgE or bronchial hyperresponsiveness as surrogate markers of atopy or asthma.

### Genetics of IgE

The familial incidence of atopic disease is high; most family studies report a positive family history in approximately 50% of cases. However, the mode of inheritance is disputed, and a single dominant gene with partial penetrance, a single recessive gene with partial penetrance, and multigenic inheritance have all been suggested. Studies looking at IgE levels in twins have found that monozygotic twins are substantially more similar to each other than are otherwise comparable dizygotic twins of a pair.<sup>17-20</sup>

There are several theories as to the mode of inheritance of IgE. Gerrard *et al* studied IgE levels in parents and children.<sup>21</sup> The results from an analysis of 80 families were consistent with low levels of IgE being determined by two dominant genes, the absence of one or the other permitting high levels to occur. Further data acquired from 173 nuclear families supported the hypothesis of a regulatory locus for IgE occupied by two alleles with the dominant allele suppressing persistently high levels of IgE. Their data were subsequently reanalysed and the findings not confirmed.<sup>22</sup> A further study of IgE distribution in three large pedigrees suggested a strong hereditary involvement with high levels being determined by a dominant allele.<sup>23</sup> A study of IgE levels in five pedigrees selected through breast cancer probands provided evidence for the presence of a polygenic component in the determination of IgE with no evidence of a major gene effect.<sup>24</sup> Meyers *et al* attempted to resolve the confusion over the genetic basis of IgE production by studying 42 families (278 individuals) deliberately *not* selected for the presence of atopy. Segregation analysis showed that the mixed model of recessive inheritance of high levels was most appropriate for their data, with approximately 36% of the total phenotypic variation in log (IgE) attributable to genetic factors equally divided between Mendelian and more general polygenic components.<sup>25</sup>

It is apparent from the plethora of studies addressing this issue and reaching different conclusions that the study of the genetics of IgE production is fraught with difficulties. Ascertainment of the sample population to be analysed may introduce a substantial source of potential bias into any results. A study published by Cookson *et al*<sup>26</sup> found evidence for vertical transmission of atopy as defined by a positive skin prick test (>1 mm than the negative control), a serum total IgE level >2.5 standard deviations above the mean for the normal population, and one or more positive RAST tests to a panel of allergens. Only one of the above criteria had to be met for the patient to be designated atopic. It was concluded from an analysis of the data that atopy is inherited as an autosomal dominant trait. The study was based on a sample of 20 nuclear families recruited via asthmatic probands from outpatients at a chest clinic with a control group recruited from patients admitted to hospital for other reasons. In addition, three large families

with asthmatic members were recruited – two by means of letters to general practitioners and one in response to an article in the local newspaper. It was felt that the inheritance pattern of atopy with particular regard to the extended families clearly indicated autosomal dominant transmission. With such a broad definition of atopy and the inclusion of extended pedigrees with such a strong history of atopy, it is difficult to reject an autosomal dominant model, but this does not necessarily imply that it is correct. Furthermore, when the same group undertook segregation analysis on an Australian cohort of 234 random families “adjusting” total IgE for atopy, they reported a major recessive gene which does not seem consistent with the previously held view of atopy as an autosomal dominant trait.<sup>27</sup>

### Chromosome 11q

In 1989 the Oxford group presented evidence for a single major autosomal dominant “atopy gene” linked to the D11S97 marker on chromosome 11q13 with strong evidence for linkage (lod score = 5.58) at a recombination fraction of 0.1. Most of the lod score (3.14) was contributed by a single family having 23 meioses.<sup>28</sup> A second study to confirm this finding was undertaken on a sample of 64 nuclear families recruited from asthma and allergy clinics and by appeal in the media. Linkage analysis to D11S97 this time provided a lod score of 3.80 at a recombination fraction of 0.07.<sup>29</sup> Pooling of these two samples gave a lod score of 9.33 at a recombination fraction of 0.92. In a summary paper, a lod score of 10 was reported on a sample of “over 800 individuals from over 50 nuclear and 20 extended British families”.<sup>30</sup> This agrees substantially with the sum of their two published samples. Shortly afterwards a lod score of 3.88 was reported with a recombination fraction of 0.25.<sup>31</sup> No explanation has been advanced for the loss of more than 60% of the information between the studies.

Support for linkage data to chromosome 11q comes from a study published by Shirakawa *et al* on four families with 69 meioses selected from 270 families using an extreme definition of atopy, and a report by Collee *et al* in a small sample of affected sibling pairs.<sup>32,33</sup>

Following on from initial linkage studies, the Oxford group showed that the  $\beta$  unit of the high affinity receptor for IgE (Fc $\epsilon$ R1 $\beta$ ) was also located on chromosome 11q13 and was in close linkage with the gene for atopy.<sup>34</sup> IgE-mediated mast cell or basophil activation occurs through the interaction of multivalent antigen with antigen-specific IgE bound to high affinity IgE receptors (Fc $\epsilon$ R1).<sup>35</sup> The Fc $\epsilon$ R1 receptor consists of one alpha chain, one beta chain, and two gamma chain subunits (fig 1).<sup>36</sup> The  $\alpha$  chain contains one membrane-spanning region and the single IgE binding site. The  $\beta$  chain has four membrane-spanning domains with both the amino and carboxy terminals protruding into the cell cytoplasm. The two  $\gamma$  chains have a single membrane-spanning region. The  $\beta$  subunit is involved in the critical process of tyrosine phosphorylation of cross-linked Fc $\epsilon$ R1 subunits leading to the assembly of a “signalling complex” of receptor associated proteins. This, in turn, leads to the production of inositol phospholipids which cause a rise in intracellular calcium essential for the release of vasoactive mediators from inflammatory cells.<sup>37</sup> Stimulation of the receptor on mast cells and basophils also provokes the release of interleukin 4 which, through a cognate interaction with CD40 and its ligand on B cells, may lead to isotype B cell switching to IgE synthesis.<sup>38,39</sup>

Shirakawa *et al* performed DNA sequence analysis on six atopic and six non-atopic individuals and revealed one

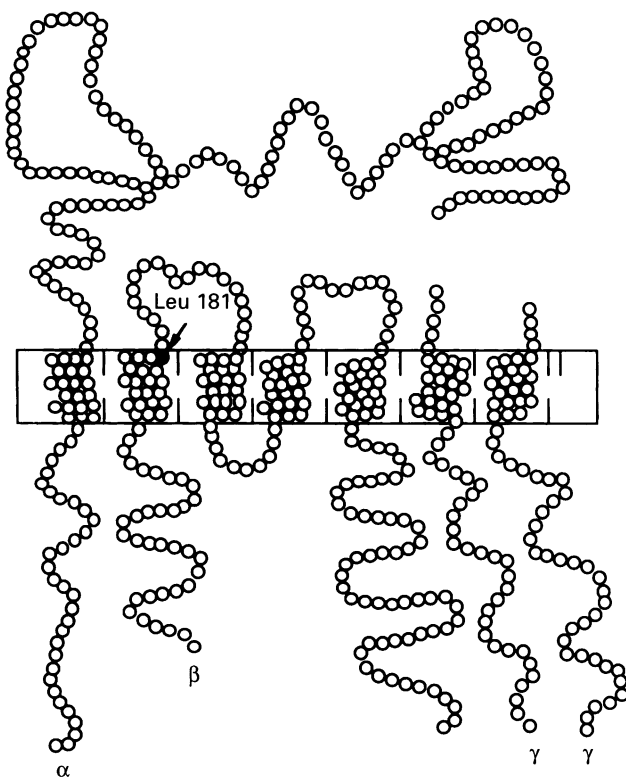


Figure 1 Schematic representation of Fc<sub>ε</sub>R1 showing the Leu 181 substitution in the fourth transmembrane domain of the β subunit.

patient with a variant sequence in which a leucine was substituted for an isoleucine at position 181 in the fourth transmembrane domain of the β subunit (fig 1).<sup>40</sup> The presence of this variant and its association with atopy was examined in a random sample of patients unselected for atopy and 60 families ascertained through a young asthmatic proband with at least one other sibling and both parents available for study. Associations were reported between the presence of Leu 181 and high total serum IgE levels. From the 60 nuclear families with allergic asthmatic probands, Leu 181 was identified in 10 (17%), was maternally inherited in each, and was reported to show a strong association with atopy. While reporting linkage to 11q, Collee *et al* failed to reveal evidence of significant linkage to the Fc<sub>ε</sub>R1 locus implying that other genes on this chromosome may be involved.<sup>33</sup>

These potentially exciting findings were consistent with a further observation that the transmission of atopy at the 11q locus was only detectable through the maternal line.<sup>41</sup> It was argued that this was consistent with paternal imprinting or with maternal modification of the developing immune response. Their data showed that 125 (62%) of sibling pairs affected by atopy shared the maternal 11q13 allele and 78 (38%) did not. This distribution differs significantly from the expected 50/50 distribution ( $p = 0.001$ ), suggesting an excess sharing of maternal alleles in affected siblings.

Intrauterine environmental factors may in part account for the maternal influence over the immune response of the progeny in favour of atopy. Work from the MRC Environmental Epidemiology at Southampton has provided interesting data concerning possible maternal effects on the developing immune response of the fetus.<sup>42</sup> It has been shown that a raised serum IgE concentration in adult men and women was strongly correlated with a large head circumference at birth. This association was independent of adult size, social class, smoking, or gestational age at

birth. One hypothesis that might explain this phenomenon is that disproportionate fetal growth resulting in a larger head circumference at birth may be associated with impaired thymic development with a diminished production of Th1 cells which are more sensitive to adverse environmental stimuli.<sup>43</sup> Th1 cells produce interferon  $\gamma$ , low levels of which at birth are thought to be a risk factor for the development of atopy.<sup>44,45</sup>

Although several groups have failed to replicate the findings of the Oxford group,<sup>46-50</sup> all the studies cited have used different definitions and protocols, and on the whole sample sizes were small. We have also attempted to replicate the Oxford data on a large sample of 131 families recruited at random from general practitioner registers in Wessex. The families were selected solely for having three or more children, and all were typed for three markers on chromosome 11q. Using combined segregation and linkage analysis, no evidence was found for linkage to atopy, and mother-child and father-child correlations were virtually identical, making it difficult to substantiate imprinting of either parent.<sup>51</sup> Neither is the theory of paternal imprinting supported by expression studies of imprinting from the homologous region of the mouse genome.<sup>52</sup> To substantiate the findings reported by the Oxford group on chromosome 11q, further studies are needed in populations enriched for asthma.

#### Chromosome 14

Atopic individuals differ in the allergens to which they react. The difference is clinically important, since asthma and bronchial hyperresponsiveness may be associated with allergy to house dust mite antigen but not necessarily to grass pollen.<sup>53</sup> Genetic regulation of specific IgE responses is probably different from that of the general atopic response. Specific IgE reactions might be constrained by variation in the HLA or T cell receptor (TCR) proteins, since these molecules are central to the handling and recognition of foreign antigens.<sup>54</sup> The role of the TCR in allergic reactions is unclear. The receptors consist of  $\alpha$  and  $\beta$  chains; the former arises from chromosome 14 and the latter from chromosome 7. Genetic linkage has recently been shown between specific IgE reactions to highly purified major allergens and the TCR- $\alpha$  complex on chromosome 14.<sup>55</sup> Antigens tested included highly purified proteins from the house dust mite, *Dermatophagoides pterynissimus*, the domestic cat and dog, grass pollen, and the mould *Alternaria alternata*. Two independent sets of families, one British and one Australian, were studied. No linkage of IgE serotypes to TCR- $\beta$  microsatellite alleles was found, but significant linkage to TCR- $\alpha$  microsatellite alleles was seen in British sibling pairs with IgE responses to the house dust mite ( $p = 0.0001$ ). In Australian subjects there was excess sharing of alleles in siblings responsive to grass pollen ( $p < 0.005$ ). It has been concluded that a gene in the TCR- $\alpha$  region modifies the specific IgE response.

#### Chromosome 5

There have been many studies looking at the relationship of HLA D encoded MHC class 2 genes and specific IgE responses suggesting linkage of certain haplotypes with individual responses to purified allergens.<sup>54,56</sup> This is referred to as the cognate, antigen specific arm of the IgE response. From Mossman's initial studies in mice,<sup>57</sup> T helper lymphocytes in humans designated Th1-like and Th2-like play a crucial role in facilitating the immune response. Atopic subjects preferentially expand T cell clones with a "Th2" phenotype. The antigen-cognate interaction of Th2 cells with B cells involves the CD40-

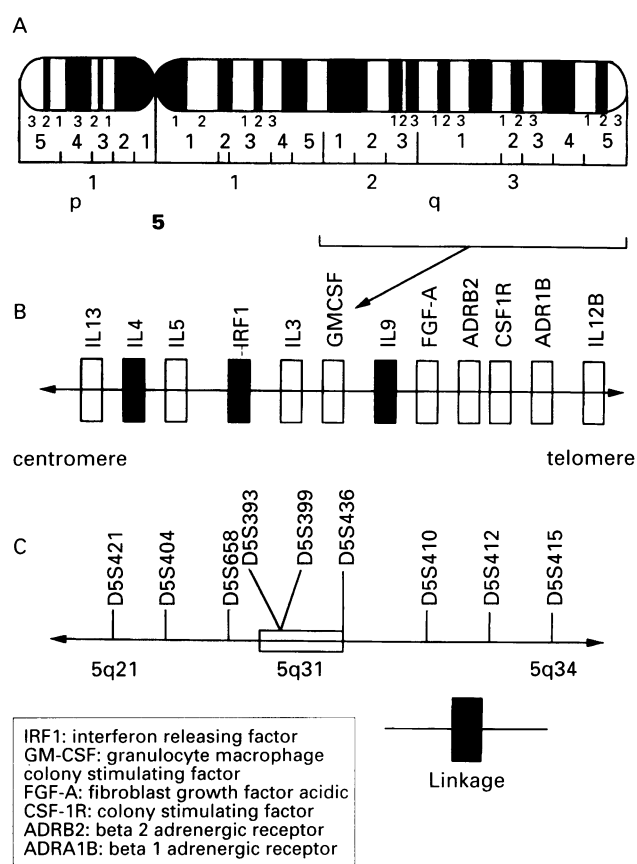


Figure 2 (A) Schematic representation of the banding pattern on chromosome 5. (B) Simplified genetic map of 5q21-q34 showing IL-4 cytokine gene cluster. (C) Map of genetic markers on chromosome 5.

CD40L binding, B cell activation, and the release of IL-4 and IL-13 from the Th2 cells. This process leads to Ig heavy chain class switching to the  $\epsilon$  isotype, resulting in specific IgE antibody responses.<sup>39,58</sup> Interleukin 4 is crucial for the development and functioning of Th2 cells, including their ability to express IL-5, the cytokine largely responsible for eosinophilia in allergic disorders. It has also been shown that basophils and mast cells both produce IL-4.<sup>59,60</sup> The interaction of basophils and mast cells with B cells via the CD40-CD40L also leads to the production of IgE.<sup>38</sup> This interaction is not antigen driven and is therefore said to be non-cognate. The IL-4 gene has emerged as a major candidate for IgE responsiveness and atopy, with other candidate genes for atopic disease including IL-5 and IL-13 mapping within the "IL-4 gene cluster" in chromosome 5q31.1, or within 5q31.2-q33. Marsh *et al* looked for linkage between total serum IgE and multi-allergen IgE antibody and several polymorphic genetic markers in and around chromosome 5q31.1-q33, with a primary focus on markers mapping within the IL-4 gene itself and in or close to the IL-4 cluster (fig 2). The analysis centred on 170 subjects from 11 large Caucasian Amish families who were selected on the basis of detectable serum IgE to common inhalant allergens in at least one child.<sup>61</sup> Using the sibling pair method of analysing the data, Marsh found significant evidence for linkage for IL4-R1, interferon releasing factor 1 (IRF-1), IL-9, D5S393, and D5S399 located in 5q31.1 with the total serum level of IgE. The p value for IL4-R1 was 0.0069, and with adjustment for multiallergen IgE antibody this improved slightly to 0.0023. However, somewhat strangely, none of the markers showed evidence for linkage with specific or cognate IgE antibody responses. Subsequent exclusion of all siblings who had detectable

IgE antibody by the multiallergen test and analysis of only "non-atopic" individuals strengthened the evidence for linkage with total IgE, the p value for IL4-R1 improving further to 0.000004.

Marsh employed complex segregation analysis to analyse the distribution of total IgE among the 170 Amish family members and found significant evidence for the genetic determination of total IgE with a dominant high IgE model being slightly favoured over the dominant low model. When both of these models were used in lod score analyses, the data were consistent with linkage to the 5q31.1 markers in each case, with the maximum lod scores being in the range of 1.5-2.0. These workers interpret their findings as demonstrating a likely role for IL-4 or neighbouring gene in determining non-cognate IgE production.

Further evidence for the potential importance of the cytokine cluster on chromosome 5q has been provided by the study of Meyers *et al*.<sup>62</sup> Segregation analysis was performed on data from 92 families from Northern Holland ascertained through a parent with a diagnosis of asthma who were first studied approximately 25 years ago. Families were selected through a proband with symptomatic asthma who met the following criteria at the time of the first study: <45 years of age, bronchial hyperresponsiveness to histamine, and non-smoking. The original evaluation included skin tests to a variety of allergens, blood and sputum eosinophil counts, pulmonary function testing, and bronchial responsiveness to histamine. Total serum IgE levels were not measured during the initial evaluation. Current evaluation included a standardised respiratory questionnaire, pulmonary function testing, bronchial responsiveness to inhaled histamine, skin tests, specific IgE to house dust mite and grass mix, and total IgE. Genotyping was performed on 55 families and the following markers were tested for linkage: IL-9, D5S393, fibroblast growth factor acidic (FGF-A), D5S436, colony stimulating factor-1R (CSF-1R), D5S410, D5S412, and D5S415. The first two of these were also used by Marsh and both were found to be significant. Linkage was looked for using the sibling pair method and the lod score method using the genetic model obtained from the segregation analysis of recessive inheritance of high IgE levels. Positive evidence for linkage of a gene for IgE production was found to IL-9 (p=NS), D5S393 (p=0.01), D5S436 (p<0.0005), and CSF-1R (p<0.05). Lod scores for these markers ranged from 0.82 to 3.61.

The work by Marsh *et al* was carried out in the Amish population, a genetically isolated group, and the study by Meyers *et al* looked at a population selected for asthma but examined for atopy. With a trait as common as atopy affecting up to 40% of the population, it is important to perform linkage studies in a random population selected without reference to atopy. This was undertaken in a random sample of 131 families with three or more children from general practice registers in and around Southampton. The families comprised 685 individuals (262 parents and 423 offspring). The mean age of the parents was 41.5 years (range 31-58 years) and of the children 12.9 years (range 2-30 years). Seventeen (6.5%) of the parents had self-reported asthma, while 83 (19.8%) of children had self or parent-reported asthma, consistent with the prevalence of asthma in southern England. One hundred and seven (40.8%) of the parents and 189 (45.7%) of the children had a skin prick test of mean weal diameter of 3 mm or more. Each family member completed a structured written questionnaire on atopic symptoms and disease. Participants underwent skin prick testing for 14 common allergens, and bronchial responsiveness to histamine was measured. Total IgE levels were also assessed. A number of markers around candidate loci, namely IL-4 receptor (D16S298), CD23

(D19S177), interferon  $\alpha$  (IFN $\alpha$ ), IL-11 (D19S112), tumour necrosis factor  $\beta$  (TNF- $\beta$ ), IL-2 $\beta$  receptor, IFN $\gamma$ , Fc $\epsilon$ R1 $\alpha$  (D1S104), and IL-9, were examined. The alleles were sized using an ABI 373A automated DNA sequencer.

In considering the phenotype to be analysed the approach we adopted to define atopy in the analysis of the data was the use of stepwise principal component regression of six traits (log IgE, skin prick test, bronchial hyper-responsiveness, history of wheezing, history of eczema, and history of seasonal rhinitis).<sup>51</sup> Atopy was defined as the derived first principal component of the age and sex-adjusted traits. This definition of atopy was dominated by serum IgE, and so linkage and association analysis are based on age and sex-corrected log IgE. Linkage and association analysis on the sample of 131 random families demonstrated allelic association between the serum IgE level and IL-9 on chromosome 5q ( $p < 0.005$ ).<sup>63</sup> Given the numbers of markers tested, significant association is to be expected in some cases by chance, and these findings may be due to a type 1 error. However, this is unlikely for two reasons. Firstly, the interleukin cluster around IL-9 contains many attractive candidate loci including IL-4. The markers used in this study were not randomly selected, and candidate loci in this region have biological plausibility. Secondly, linkage for serum total IgE levels has previously been shown in this region of chromosome 5 in the two studies mentioned above.<sup>61,62</sup> Further work is now underway on a sample of multiplex families in which two or more members have a diagnosis of asthma. Markers in and around the cytokine cluster on chromosome 5 will be examined including D5S436, D5S393, D5S658, IL-4, and IL-9. The Fc $\epsilon$ R1 marker on chromosome 11q will also be tested in addition to another random marker on chromosome 11q, D11527.

## Conclusion

New techniques for scanning the human genome promise great advances in tracking the origins of disorders caused by multiple genes. However, it is clear from the studies presented in this overview that we are far from understanding the genetic basis of asthma and atopy and their interaction with the environment. It is also clear that agreement must be reached on definition of the phenotype and methods of ascertainment in order to carry out large multicentre collaborative studies. Positive findings need to be validated in different populations selected for the presence of the disease and then confirmed in a random population where the prevalence of asthma and atopy will also be expected to be significant.

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